

Physics of Neutrinos

Yonsei-Saga Workshop

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Outline

- Understanding of neutrino oscillations
- Origin of neutrino mixing matrix
- Origin of neutrino masses
- **New Physics** in Neutrino Oscillations
- Conclusion

Understanding of neutrino oscillations

- Two big discoveries over past two decades :

- Neutrinos are massive

- Leptons mix



T. Kajita



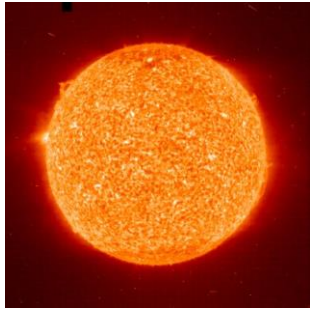
A. McDonald

- They have been achieved by the observation of neutrino oscillations



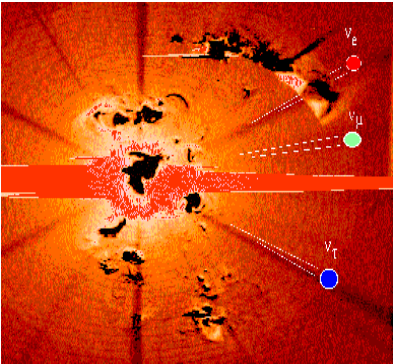
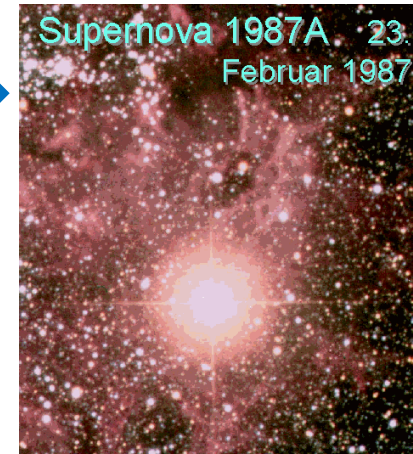
2015
Nobel
Prize

Neutrino Sources



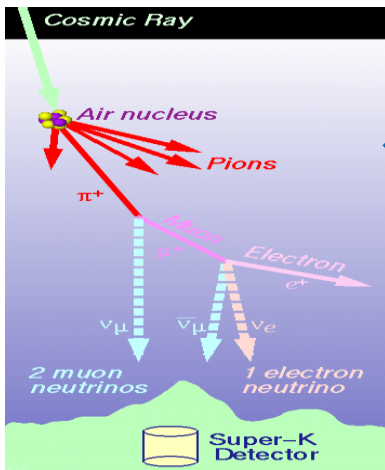
← Sun

Astronomy: →
Supernovae
GRBs
UHE ν 's



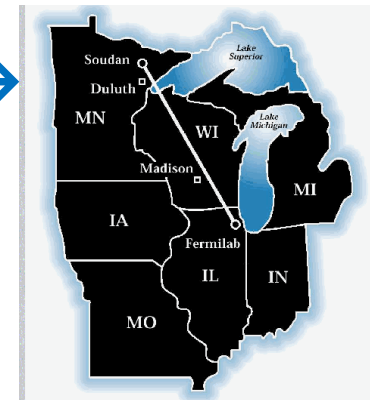
← Cosmology

Reactors →



← Atmosphere

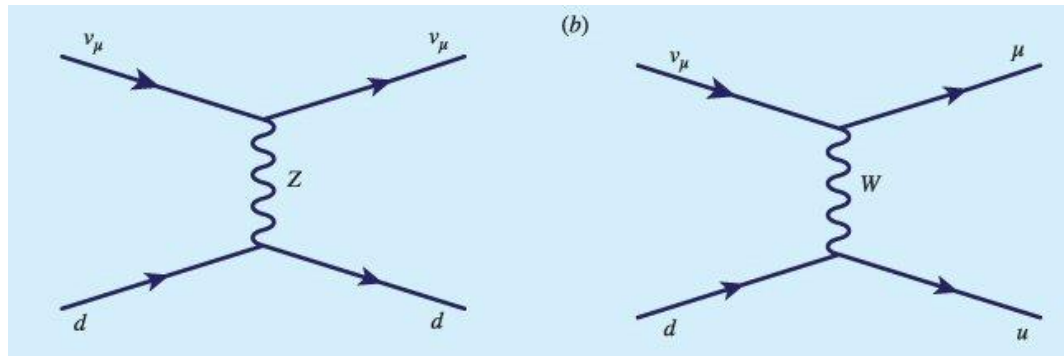
Accelerators →



← Earth

Why neutrinos mix ?

- Neutrino eigenstates involved in weak interactions



are **not mass eigenstates**:

3-flavor paradigm

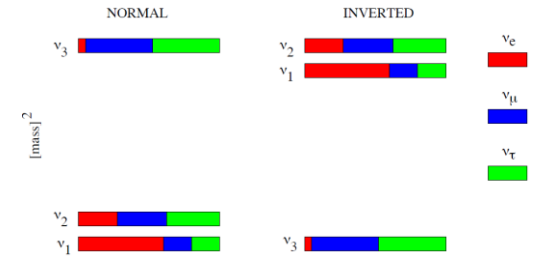
$$\begin{pmatrix} \nu_e \\ e^- \end{pmatrix}_L \quad \begin{pmatrix} \nu_\mu \\ \mu^- \end{pmatrix}_L \quad \begin{pmatrix} \nu_\tau \\ \tau^- \end{pmatrix}_L : \\ \text{weak eigenstates}$$



$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu1} & U_{\mu2} & U_{\mu3} \\ U_{\tau1} & U_{\tau2} & U_{\tau3} \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$



$$\text{mass eigenstates}$$



- Specific parameterization of lepton mixing matrix

$$U_{PMNS} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & -s_{23} \\ 0 & s_{23} & c_{23} \end{pmatrix} \begin{pmatrix} c_{13} & 0 & -s_{13}e^{-i\delta} \\ 0 & 1 & 0 \\ s_{13}e^{i\delta} & 0 & c_{13} \end{pmatrix} \begin{pmatrix} c_{12} & -s_{12} & 0 \\ s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} e^{i\alpha} & 0 & 0 \\ 0 & e^{i\beta} & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

How do we probe neutrino mixing ?

→ neutrino oscillation

In vacuum, $\nu_\alpha \rightarrow \nu_\beta$ transition probability :

=0 if $\delta = 0$ in U,
 $\alpha = \beta$

$$P_{\alpha\beta} = \delta_{\alpha\beta} - 4 \sum_{j \neq i}^n \text{Re}[U_{\alpha i}^* U_{\beta i} U_{\alpha j} U_{\beta j}^*] \sin^2 \left(\frac{\Delta_{ij}}{2} \right) + 2 \sum_{j \neq i} \text{Im}[U_{\alpha i}^* U_{\beta i} U_{\alpha j} U_{\beta j}^*] \sin(\Delta_{ij})$$

$$\frac{\Delta_{ij}}{2} = \frac{(E_i - E_j)L}{2} = 1.27 \frac{(m_i^2 - m_j^2)}{\text{eV}^2} \frac{L/E}{\text{Km/GeV}}$$

- Experimentally,
what is measured is

$$\langle P_{\alpha\beta} \rangle = \frac{\int dE \frac{d\Phi}{dE} \sigma(E) P_{\alpha\beta}(E) \epsilon(E)}{\int dE \frac{d\Phi}{dE} \sigma_{CC}(E) \epsilon(E)}$$

- From ν oscillation expts.
we can determine

$$- \Delta m_{21}^2, \Delta m_{31}^2$$

$$- \theta_{12}, \theta_{23}, \theta_{13}$$

$$- \delta$$

- Electron neutrino survival probability

$$P_{\nu_e \rightarrow \nu_e} = \left| \sum_{k=1}^3 |U_{ek}|^2 e^{-im_k^2 L/2E} \right|^2$$

$$|U_{e3}|^2 \ll |U_{e1}|^2, |U_{e2}|^2 \implies |U_{e1}|^2 \simeq \cos^2 \vartheta_{12}, |U_{e2}|^2 \simeq \sin^2 \vartheta_{12}$$

$$P_{\nu_e \rightarrow \nu_e} = 1 - \sin^2 2\vartheta_{12} \sin^2 \left(\frac{\Delta m_{21}^2 L}{4E} \right)$$

 It is decoupled from atmospheric ν osc.

- In the case that $\Delta m_{21}^2 \ll |\Delta m_{31}^2| \approx |\Delta m_{32}^2|$

$$P_{\nu_\alpha \rightarrow \nu_\beta} = \left| U_{\alpha 1}^* U_{\beta 1} + U_{\alpha 2}^* U_{\beta 2} + U_{\alpha 3}^* U_{\beta 3} \exp\left(-i \frac{\Delta m_{31}^2 L}{2E}\right) \right|^2$$

$$U_{\alpha 1}^* U_{\beta 1} + U_{\alpha 2}^* U_{\beta 2} = \delta_{\alpha\beta} - U_{\alpha 3}^* U_{\beta 3}$$

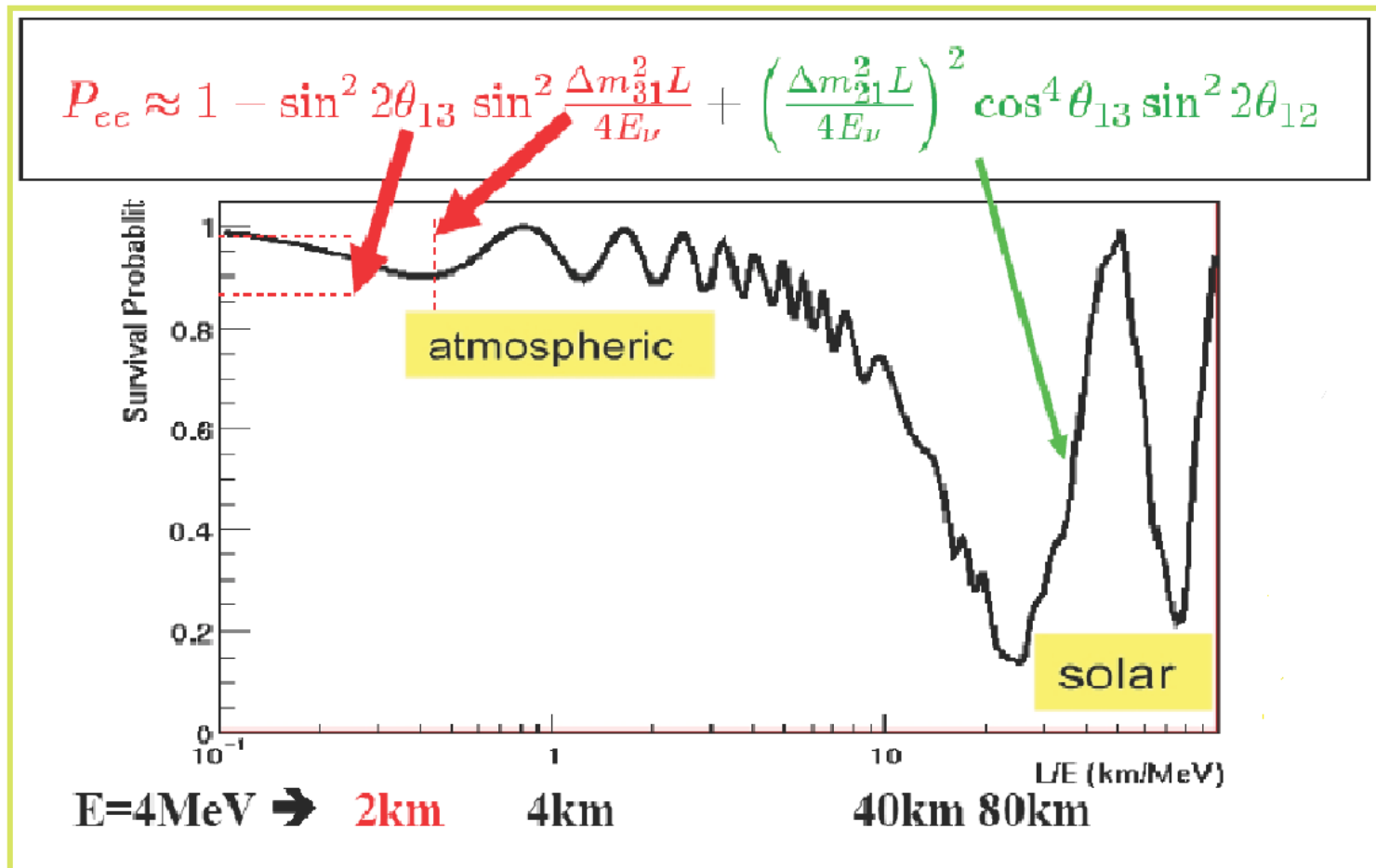
$$= \delta_{\alpha\beta} - 4|U_{\alpha 3}|^2 (\delta_{\alpha\beta} - |U_{\beta 3}|^2) \sin^2 \frac{\Delta m_{31}^2 L}{4E}$$

$$\alpha \neq \beta \implies P_{\nu_\alpha \rightarrow \nu_\beta} = 4|U_{\alpha 3}|^2 |U_{\beta 3}|^2 \sin^2 \left(\frac{\Delta m_{31}^2 L}{4E} \right)$$

$$\alpha = \beta \implies P_{\nu_\alpha \rightarrow \nu_\alpha} = 1 - 4|U_{\alpha 3}|^2 (1 - |U_{\alpha 3}|^2) \sin^2 \left(\frac{\Delta m_{31}^2 L}{4E} \right)$$

Atmospheric & reactor exp.

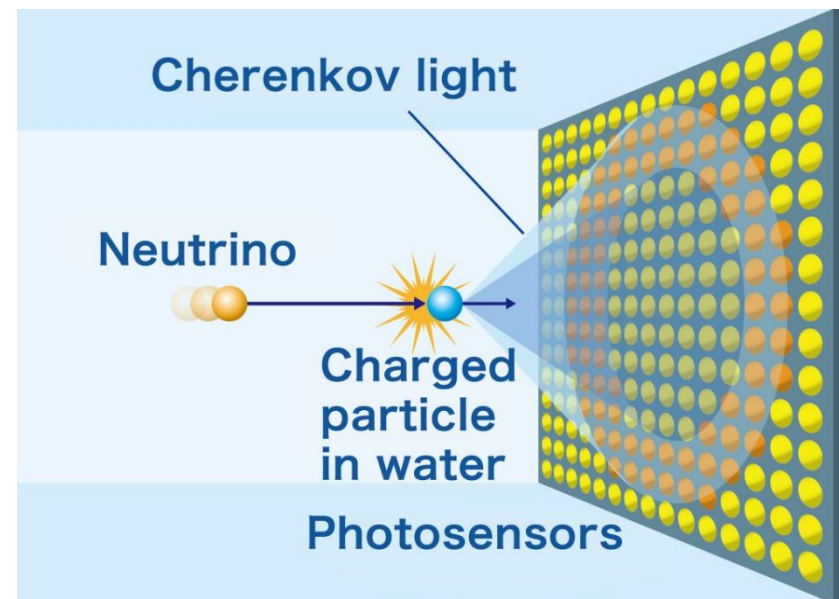
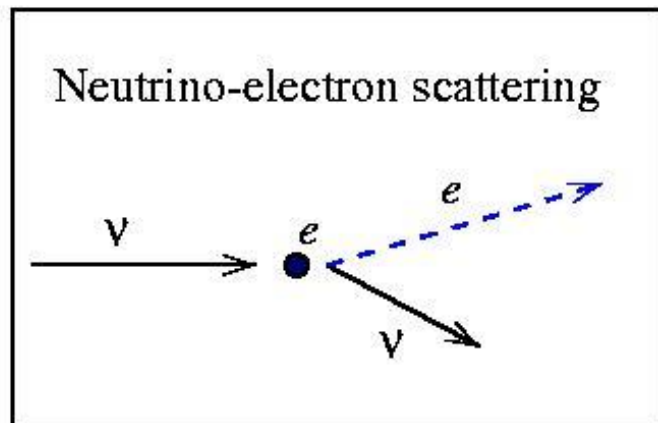
- Plot of P_{ee} for reactor neutrinos



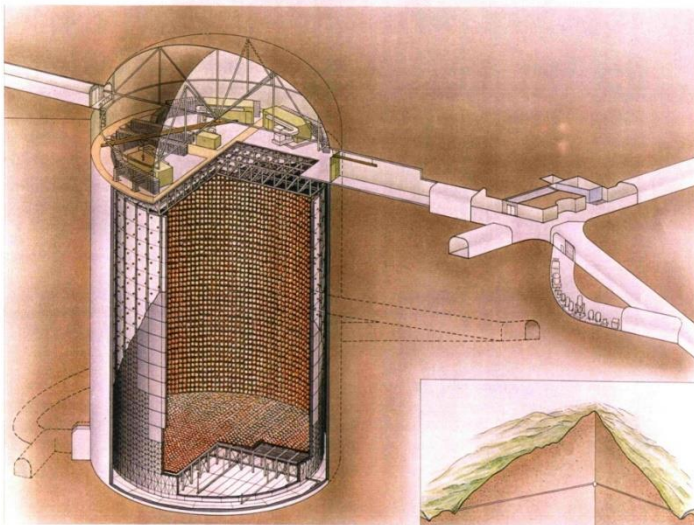
How can we detect Neutrinos?

- Cherenkov detectors : Using H_2O , D_2O

Cherenkov radiation : emitted when a charged particle passes through a medium at a speed greater than phase velocity of light



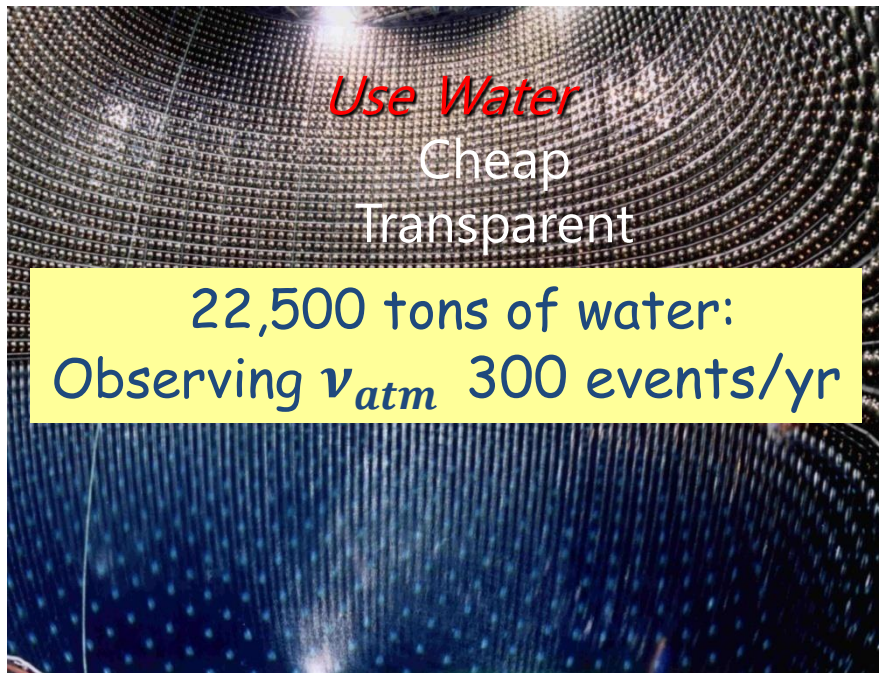
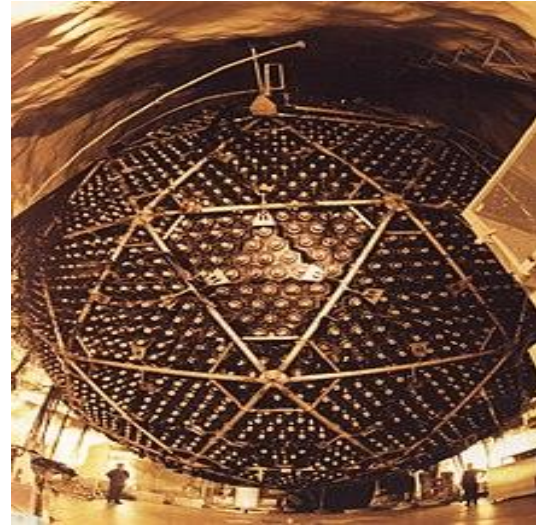
Super Kamiokande



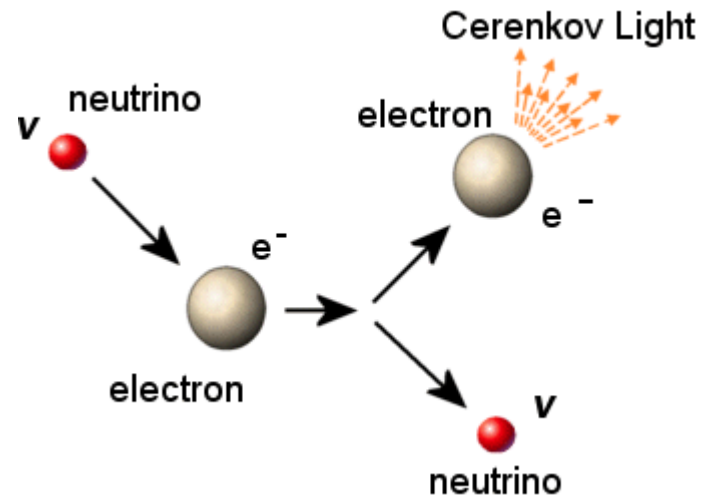
SUPERKAMIOKANDE INSTITUTE FOR COSMIC RAY RESEARCH UNIVERSITY OF TOKYO

NIKOLA STOKIC

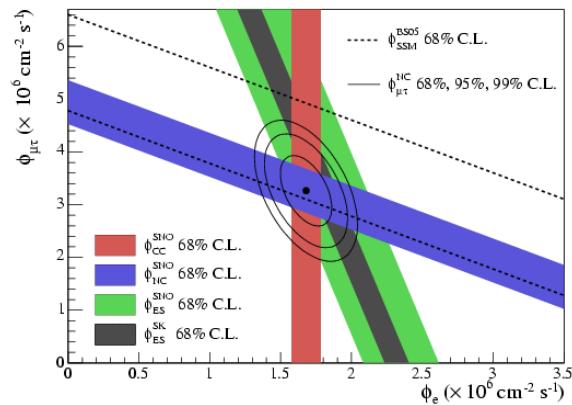
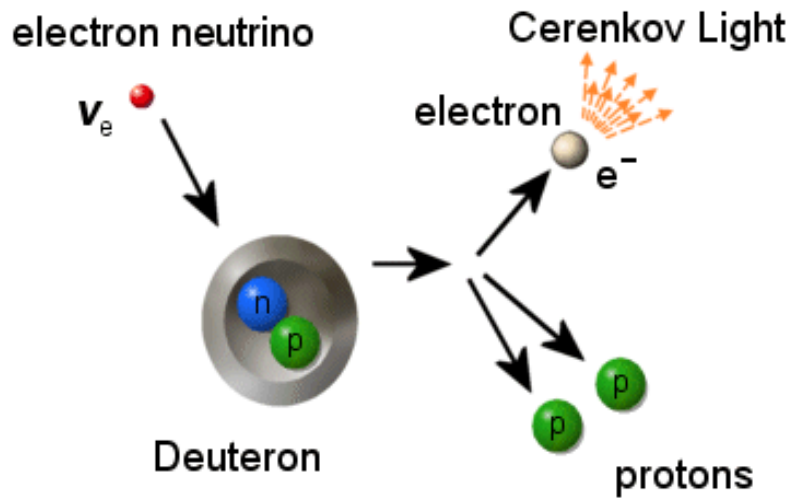
SNO



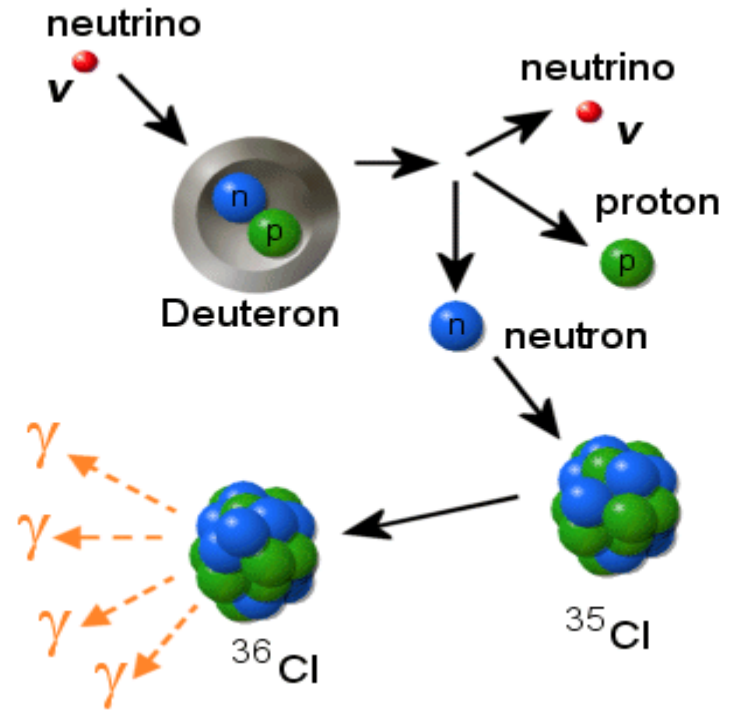
Electron Scattering Reaction



Charged-Current Reaction



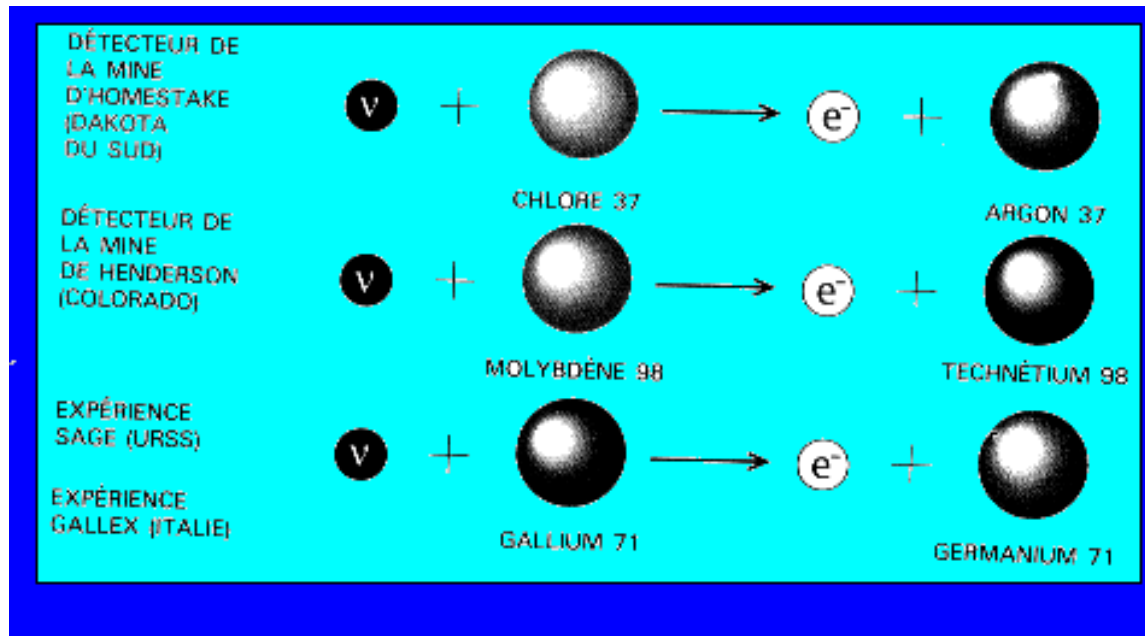
Neutral-Current Reaction



$$\frac{\Phi_{CC}}{\Phi_{NC}} = 0.301 \pm 0.033$$

Radiochemical detectors

(Homestake, SAGE, GALLEX)



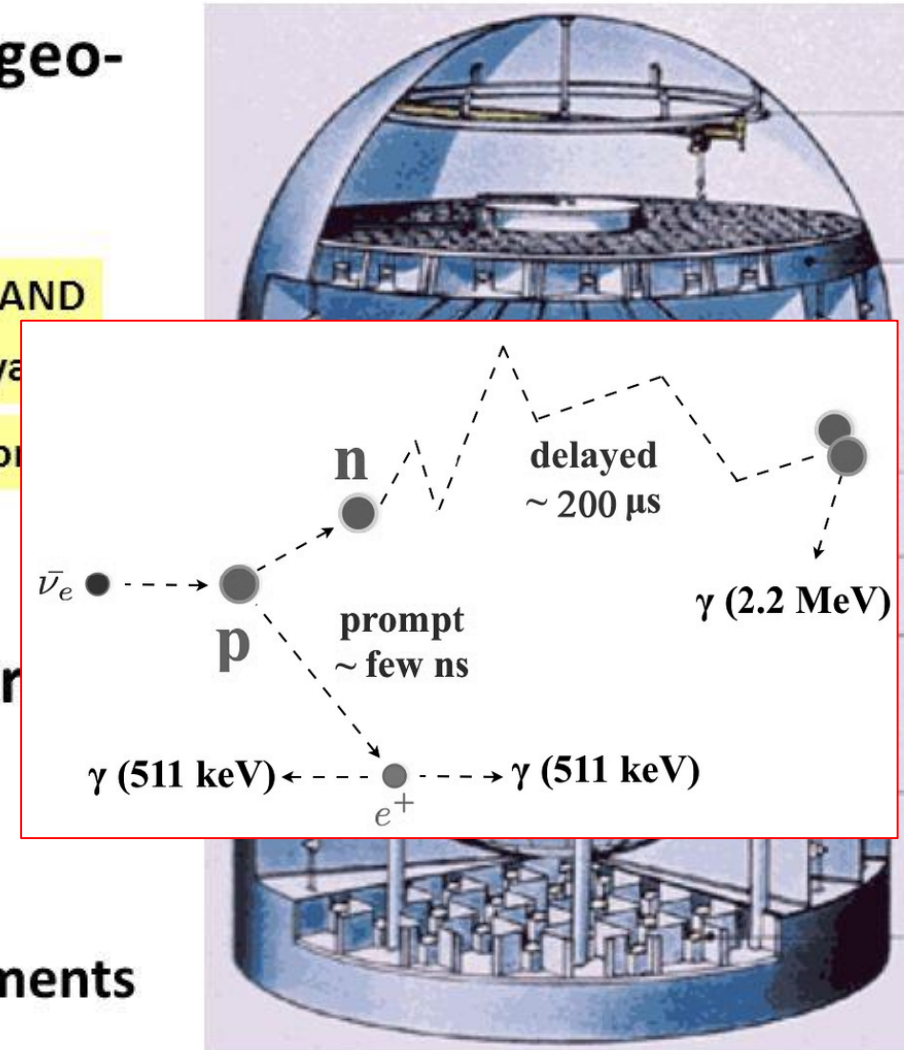
Liquid scintillator detectors

- Successful for reactor and geo-neutrinos
- Current benchmark:
 - Mass: 1 kt
 - Gd-loading LS: ~200t
 - Threshold: (0.1-0.3) MeV
 - Light yield: ~500 PE/MeV
 - PMT coverage: up to 80%
- Future → (10-50)t detector
 - LBNE
 - Supernova/geo-neutrinos
 - Mass hierarchy
 - Precision mixing matrix elements

KamLAND

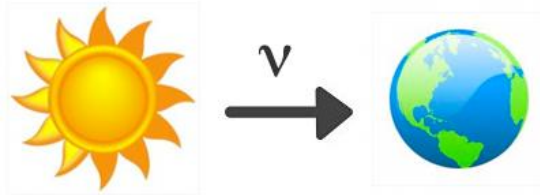
Daya

Bor

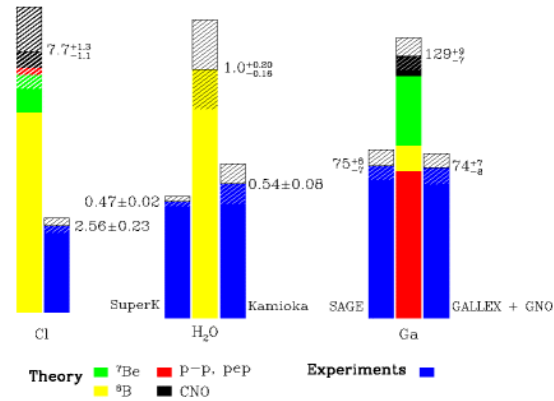


θ_{12} & Δm_{21}^2

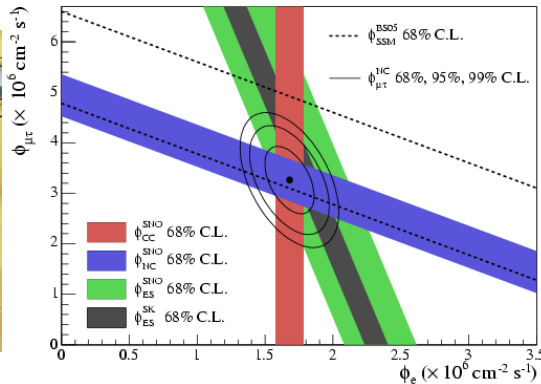
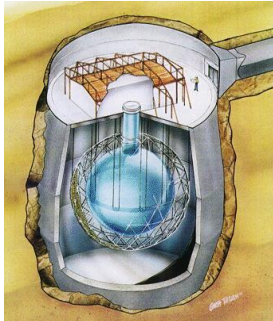
- Well measured by solar neutrino experiments and KamLAND



Total Rates: Standard Model vs. Experiment
Bahcall-Pinsonneault 2000

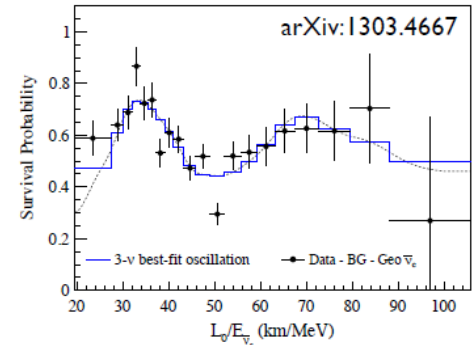
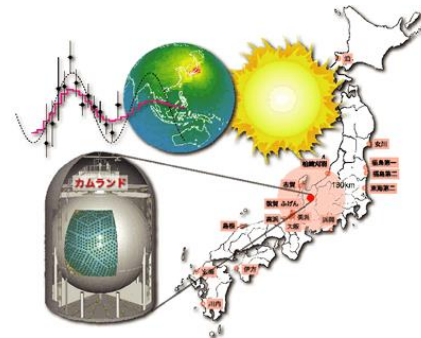


SNO



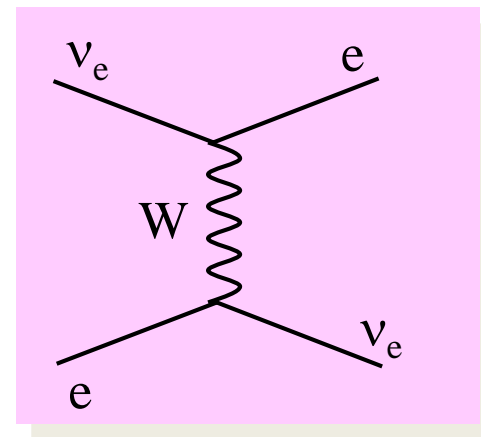
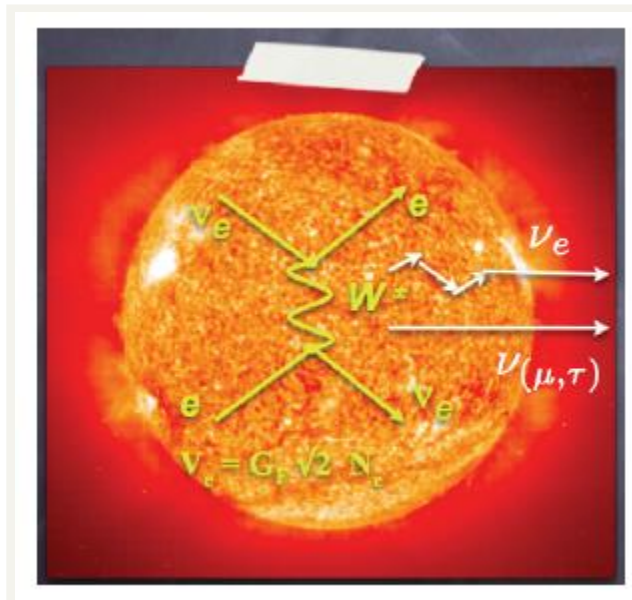
$$\frac{\Phi_{\text{CC}}}{\Phi_{\text{NC}}} = 0.301 \pm 0.033$$

KamLAND



• MSW matter effect

When neutrinos travel through a medium, they interact with the background of electron, proton and neutron and acquire effective mass.



Such a interaction modifies Hamiltonian causing shifts of neutrino flavor mixing and masses.

$$i \frac{d}{dt} \begin{pmatrix} |\nu_e\rangle \\ |\nu_\mu\rangle \end{pmatrix} = \begin{pmatrix} -\frac{\Delta m^2}{4E} \cos 2\theta + \sqrt{2} G_F N_e & \frac{\Delta m^2}{4E} \sin 2\theta \\ \frac{\Delta m^2}{4E} \sin 2\theta & \frac{\Delta m^2}{4E} \cos 2\theta \end{pmatrix} \begin{pmatrix} |\nu_e\rangle \\ |\nu_\mu\rangle \end{pmatrix}$$

$$\tan 2\theta_M = \frac{\tan \theta}{1 - \frac{A_{CC}}{\Delta m^2 \cos 2\theta}} \quad \Delta m_M^2 = \sqrt{(\Delta m^2 \cos 2\theta - A_{CC})^2 + (\Delta m^2 \sin 2\theta)^2}$$

$$A_{CC} = 2\sqrt{2} G_F N_e E$$

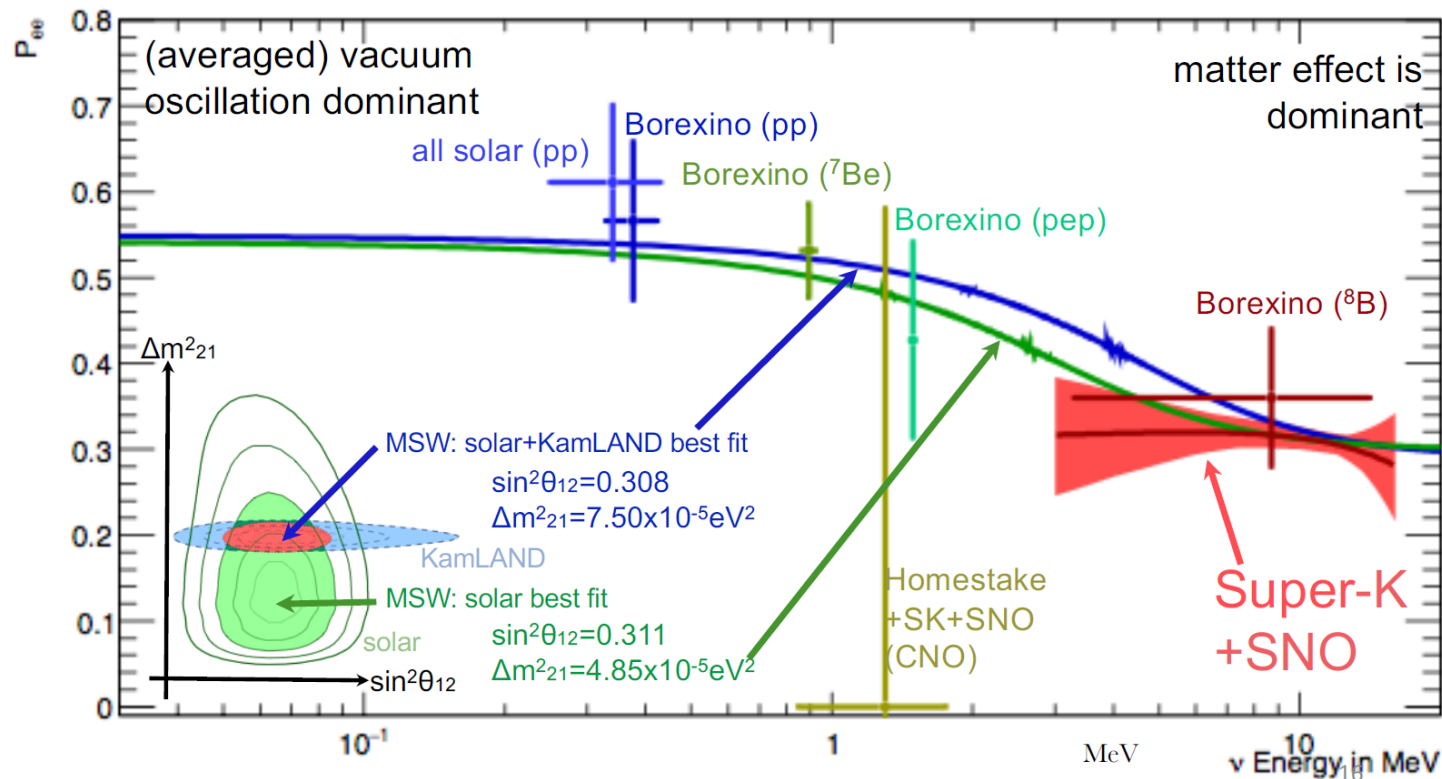
Probability for ν_e production in matter and detection in vacuum

$$P(\nu_e \rightarrow \nu_e; t) = \cos^2 \theta_m \cos^2 \theta + \sin^2 \theta_m \sin^2 \theta + \frac{1}{2} \sin 2\theta_m \sin 2\theta \cos\left(\frac{\delta(t)}{2E}\right),$$

- For solar neutrinos produced in matter

Averaging out oscillation phase in the probability

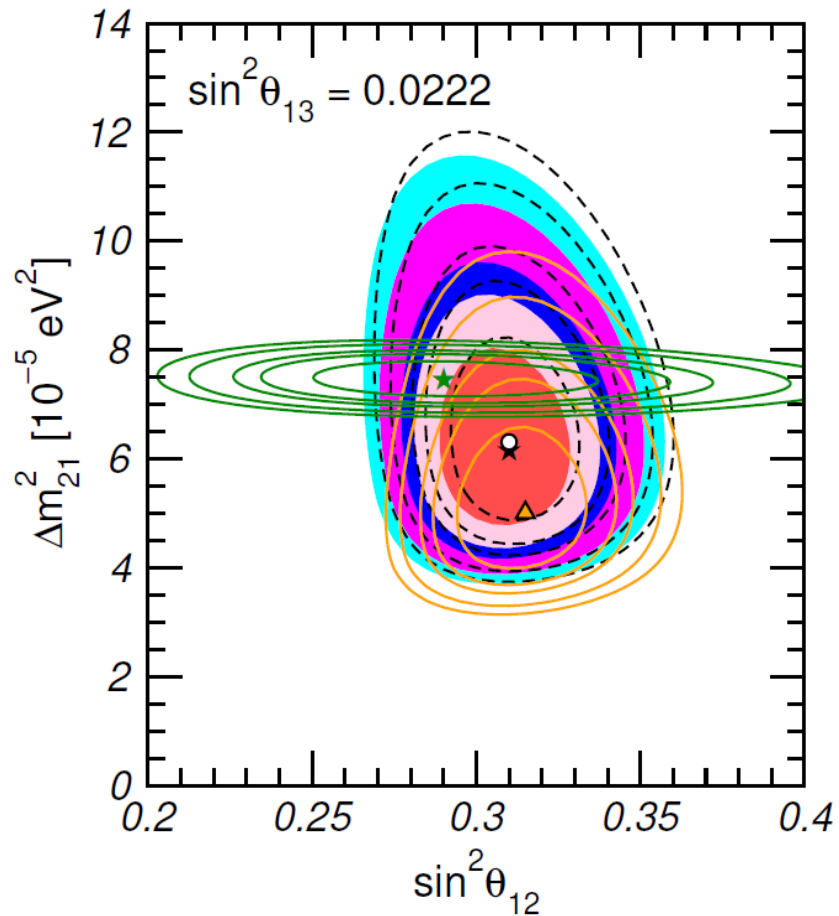
$$P(\nu_e \rightarrow \nu_e; t) = \frac{1}{2} [1 + \cos 2\theta_m \cos 2\theta].$$



M. Ikeda, Neutrino 2018

BOREXINO (Barbara Caccianiga 2019)

θ_{12} & Δm_{21}^2



NuFIT 5.0 (2020)

- Both solar neutrino data & KamLAND data are compatible at 1.1σ

θ_{23} & Δm_{31}^2

- Measured by atmospheric ν experiments (SK), ν telescope (IceCube, ANTARES), accelerator experiments (MINOS, T2K, NovA)

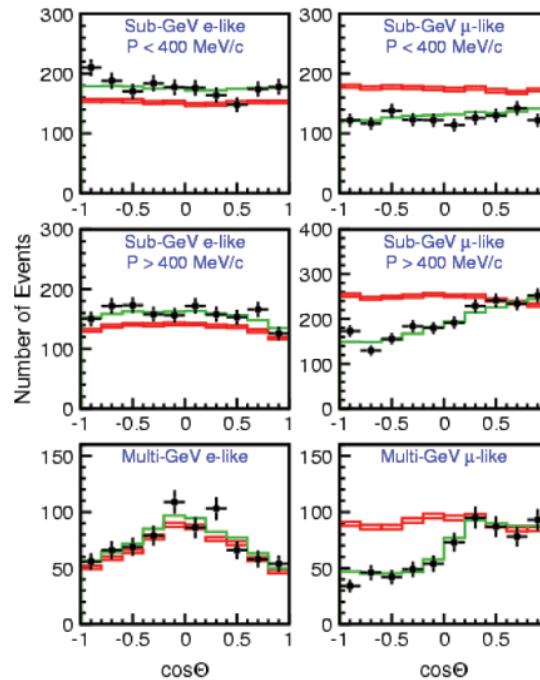
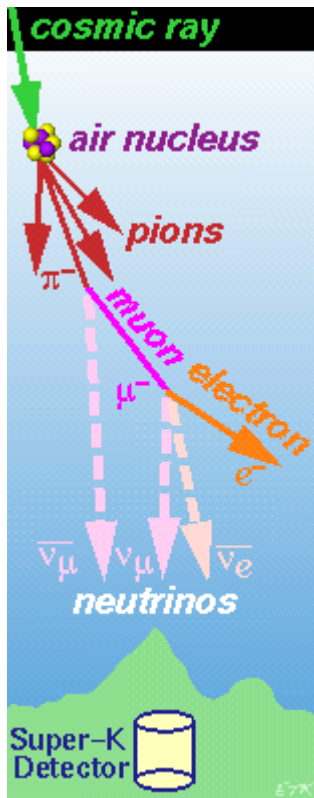
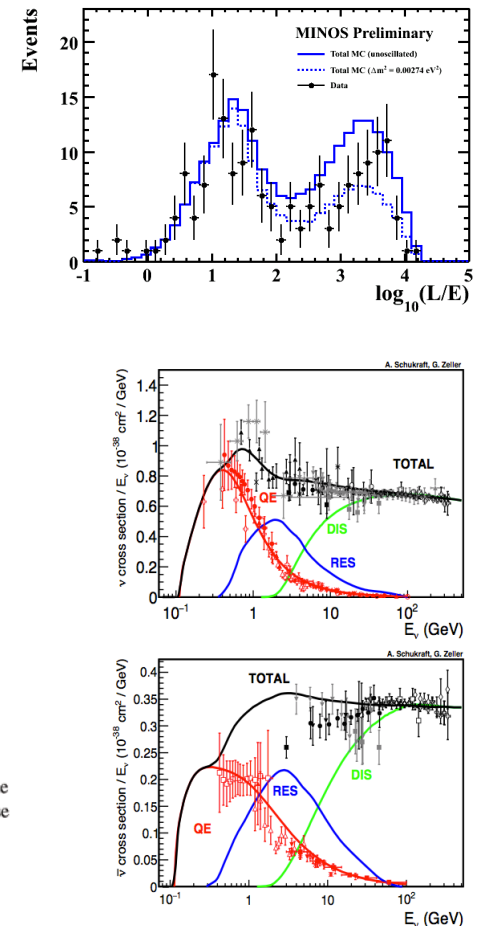


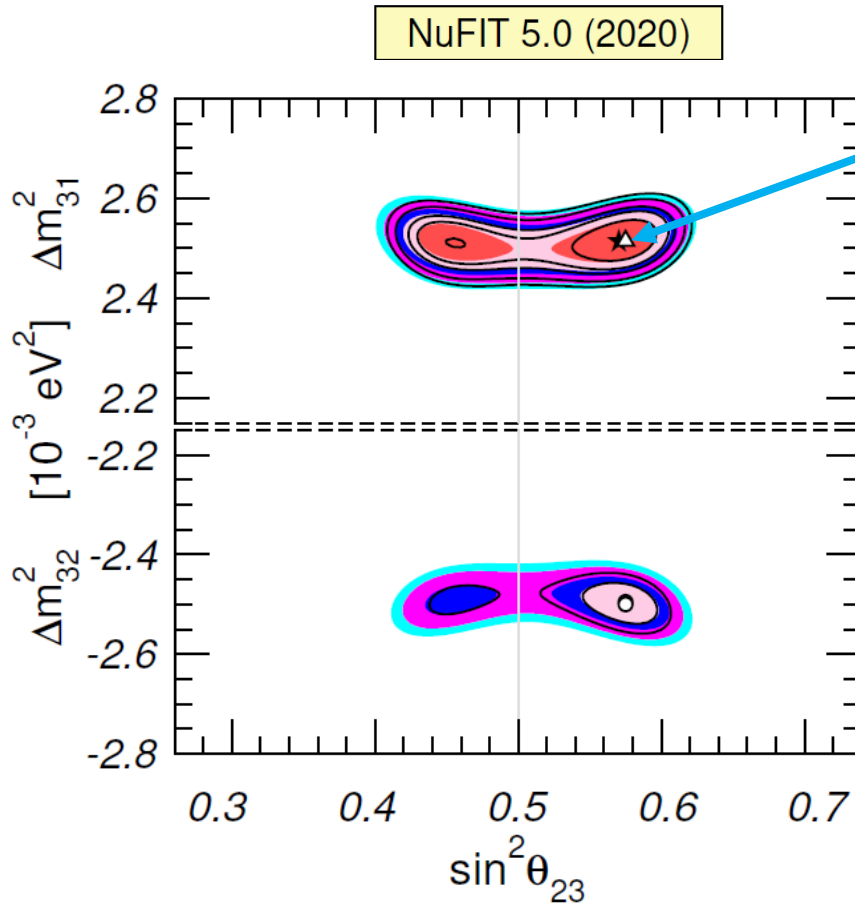
FIG. 1: Atmospheric neutrino data from Super-Kamiokande experiment, the left panel is the electron type events and the right panel is the muon type events. The energy range increase from top to down. From Ref. [8].



θ_{23} & Δm_{31}^2

NO

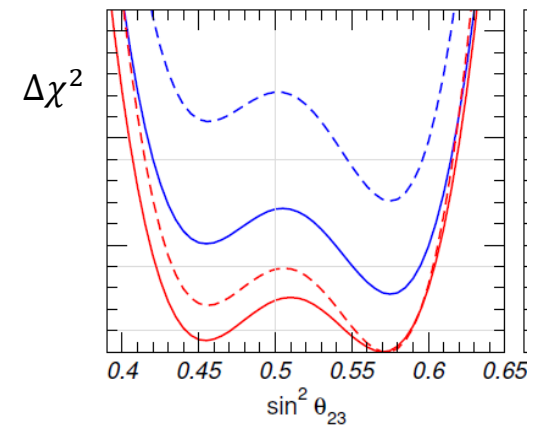
IO



Best fit

-Mild preference for 2nd octant of θ_{23} with bf at $\sin^2 \theta_{23} = 0.57$

-Maximal mixing is disfavored with $\Delta\chi^2 = 2.4(3.9)$ w(w/o) SK-atm



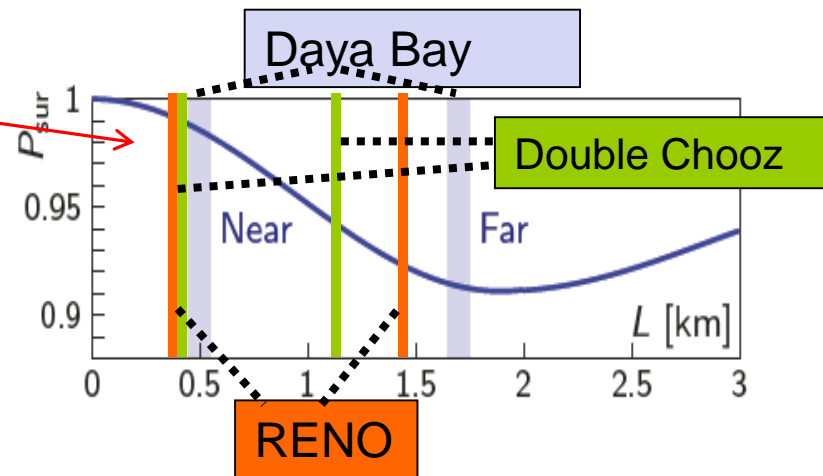
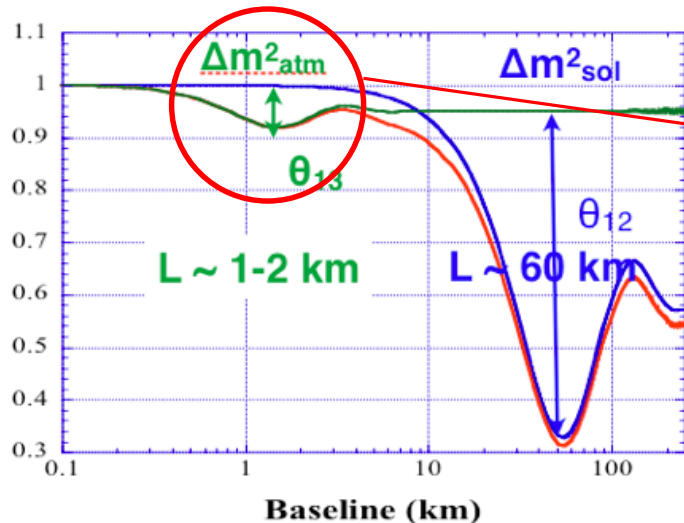
θ_{13}

- Measuring θ_{13} : important role in determining CP violation & mass hierarchy

$$J_{CP} = \text{Im}(U_{\mu 3} U_{e 3}^* U_{e 2} U_{\mu 2}^*) = \frac{1}{8} \sin 2\theta_{12} \sin 2\theta_{23} \sin 2\theta_{13} \cos \theta_{13} \sin \delta$$

- Using reactor antineutrino oscillation

$$P(\nu_e \rightarrow \nu_e) \approx 1 - \cos^4 \theta_{13} \sin^2 2\theta_{12} \sin^2 \left(\frac{1.27 \Delta m_{12}^2 L}{E_\nu} \right) - \sin^2 2\theta_{13} \sin^2 \left(\frac{1.27 \Delta m_{13}^2 L}{E_\nu} \right)$$

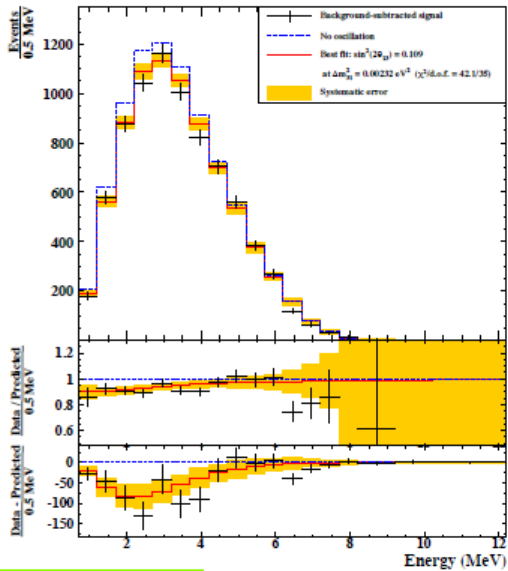


θ_{13}



Double Chooz

(Gd+H combined, EPS 2013, July)



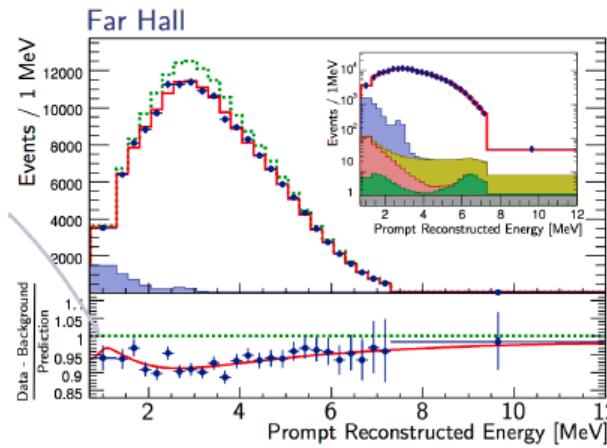
$\sin^2 2\theta_{13}$

0.109 ± 0.035



Daya Bay

(NuFact 2013, August)



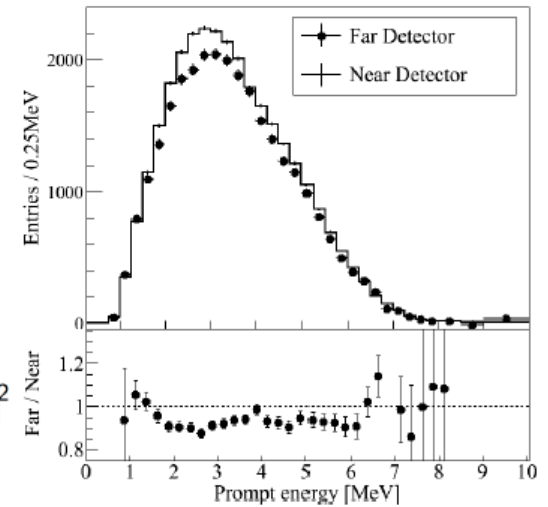
New results from Daya Bay
Rate+Shape fit just delivered

$0.090^{+0.008}_{-0.009}$



RENO

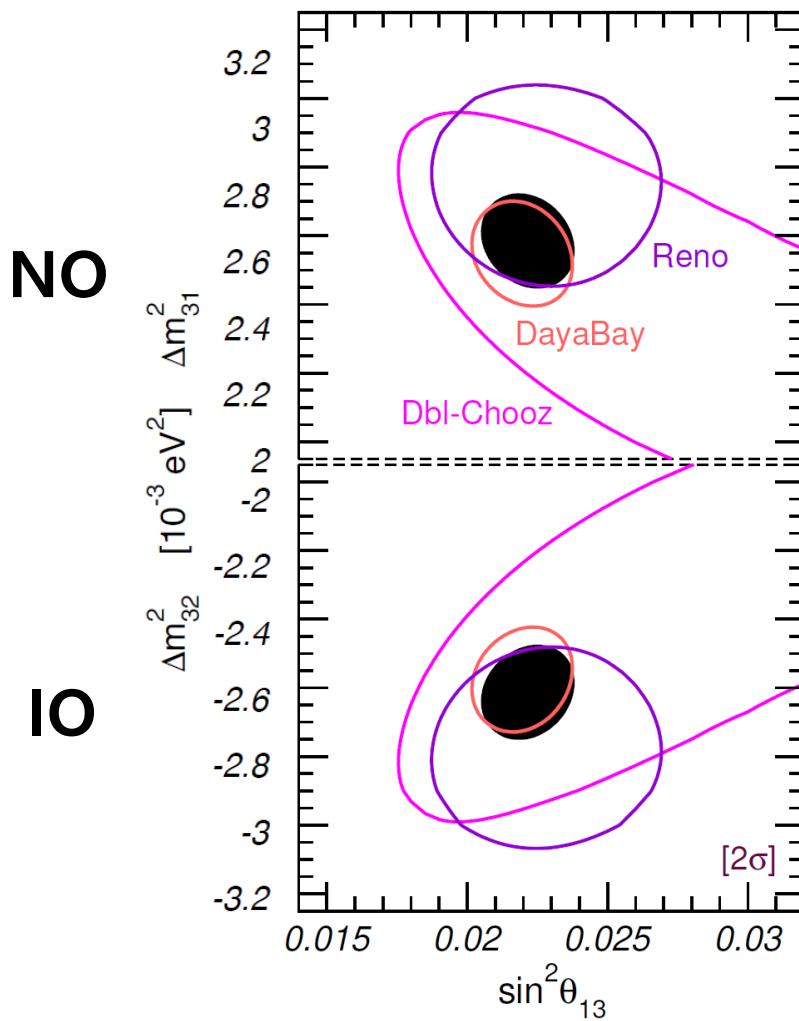
(NuTel 2013, March)



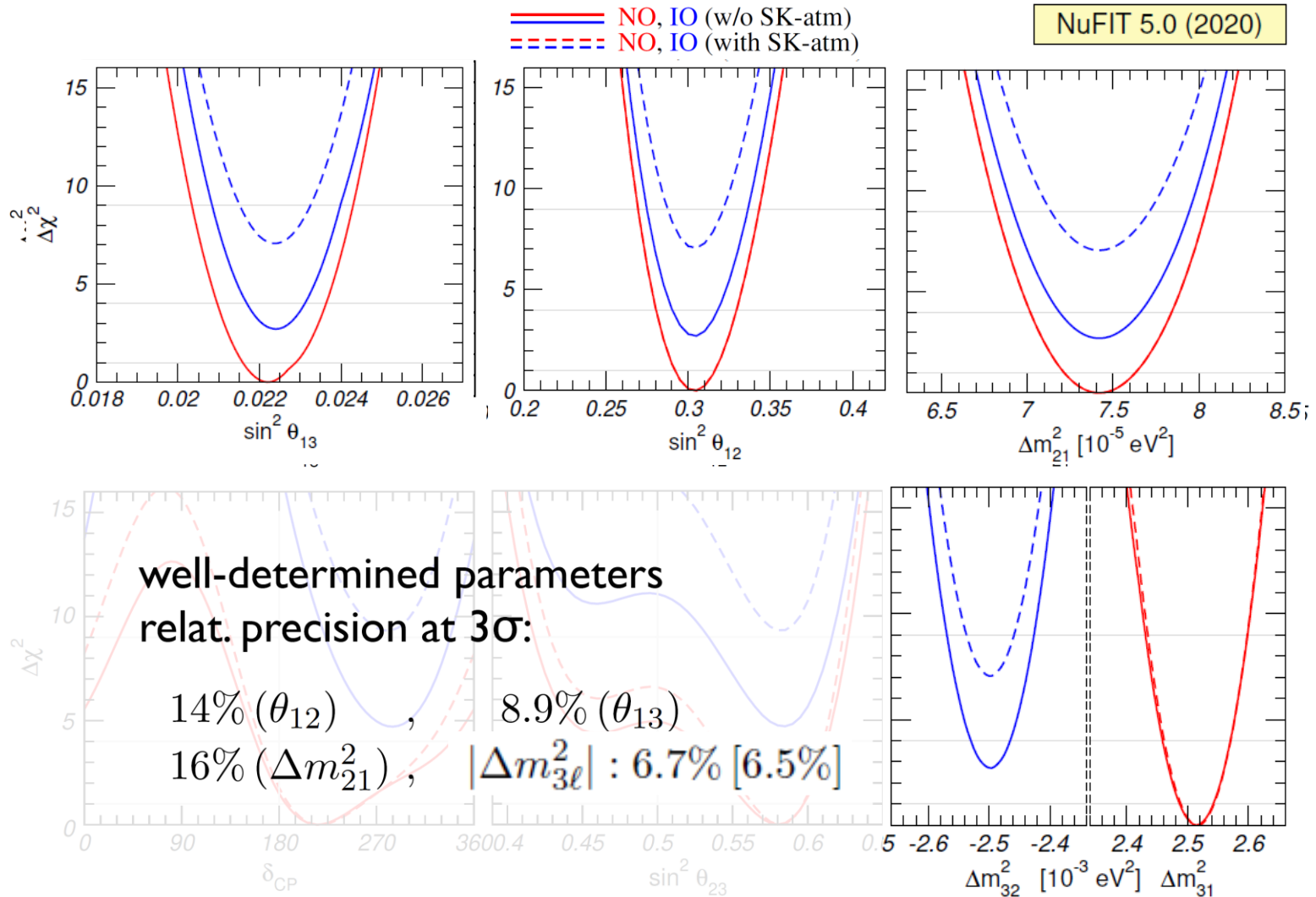
0.100 ± 0.018

θ_{13}

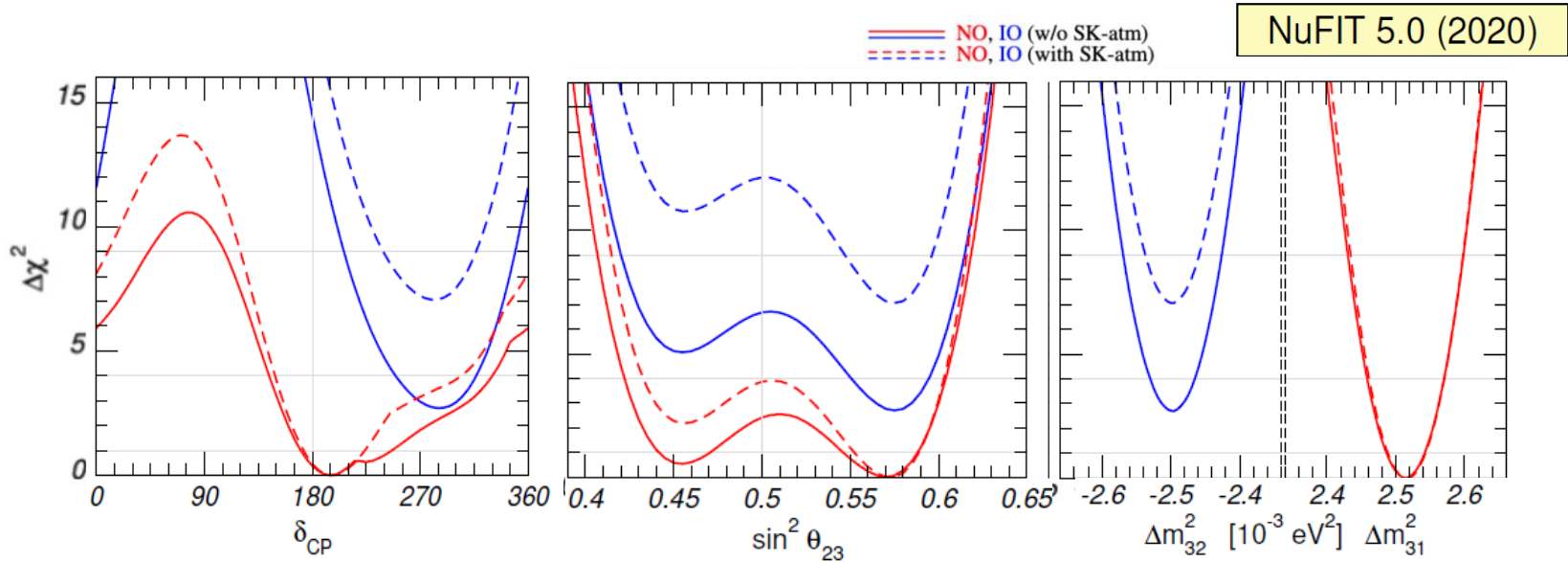
NuFIT 5.0 (2020)



How precisely determined?

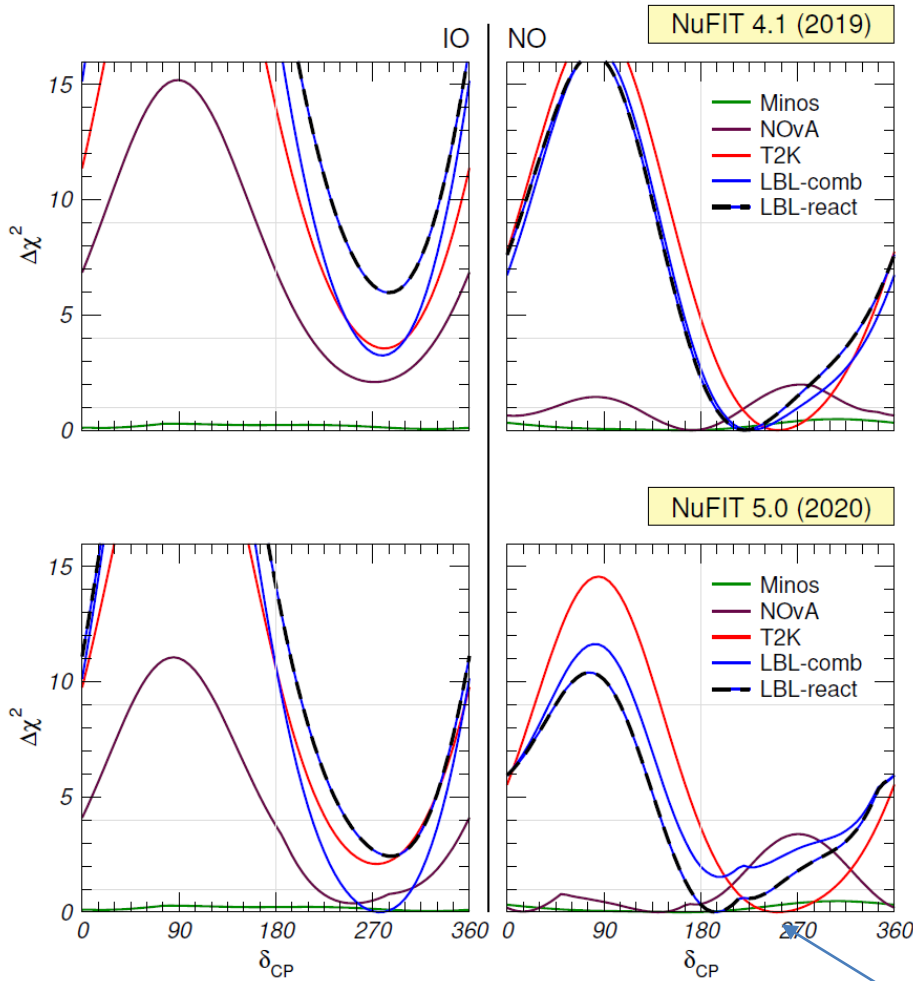


Not-so-well measured



- CP conservation allowed at $\Delta\chi^2 = 0.38(0.6 \sigma)$ but bf at $\delta = 195^\circ$
- Octant of θ_{23} : 2nd octant preferred, bf at $\sin^2\theta_{23} = 0.57$
- Mass ordering : NO is preferred over IO.
 - adding SK I-IV to the global fit \rightarrow IO disfavored at $\Delta\chi^2 = 7.3 (2.7\sigma)$
 - without SK I-IV to the global fit \rightarrow IO disfavored at $\Delta\chi^2 = 2.7 (1.6\sigma)$

Leptonic CP violation



- Both NovA & T2K are fitted best at $\delta \sim 3\pi/2$ for both NO & IO but, NO is preferred.

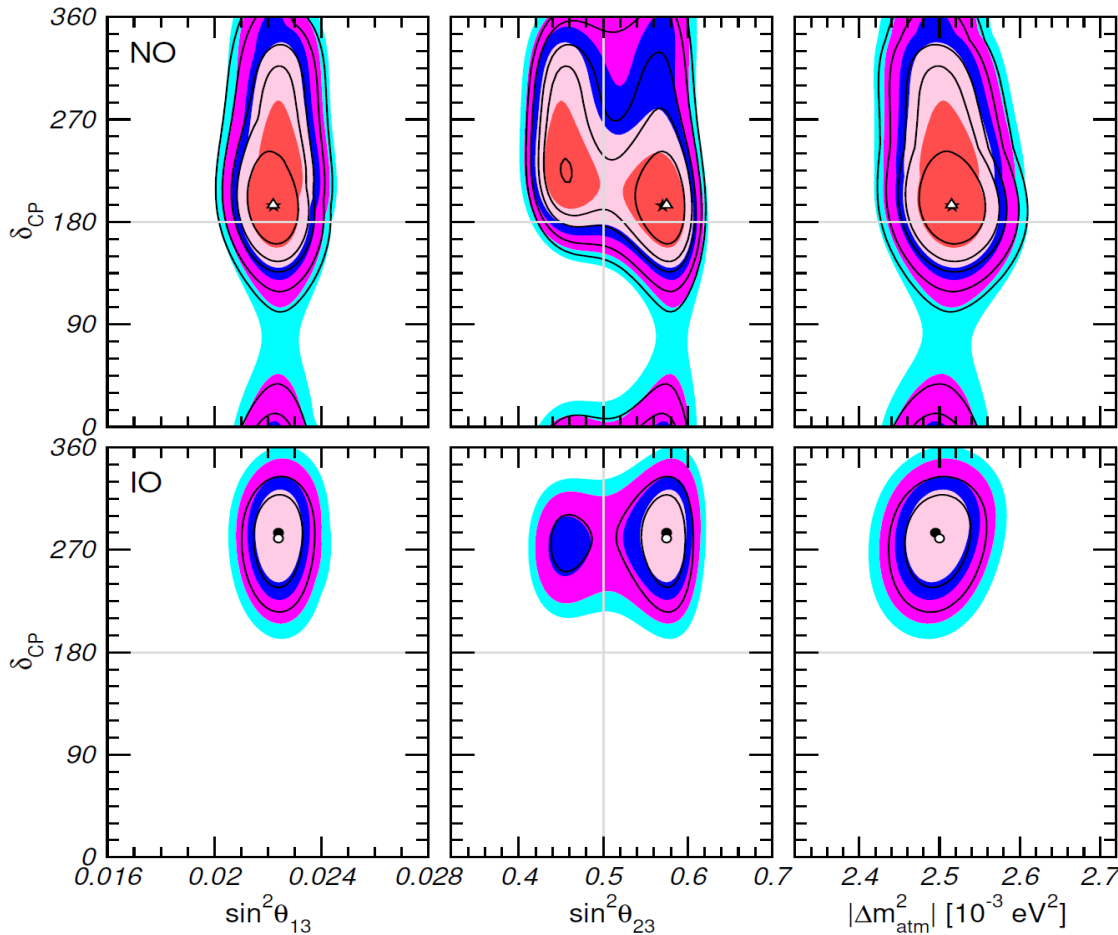
-For IO, Both NovA & T2K are fitted best at $\delta \sim 3\pi/2$

-For NO, T2K is fitted best at $\delta \sim 3\pi/2$ while NovA is fitted best at $\delta \sim 0.8\pi$ (tension)

Best fit from global analysis

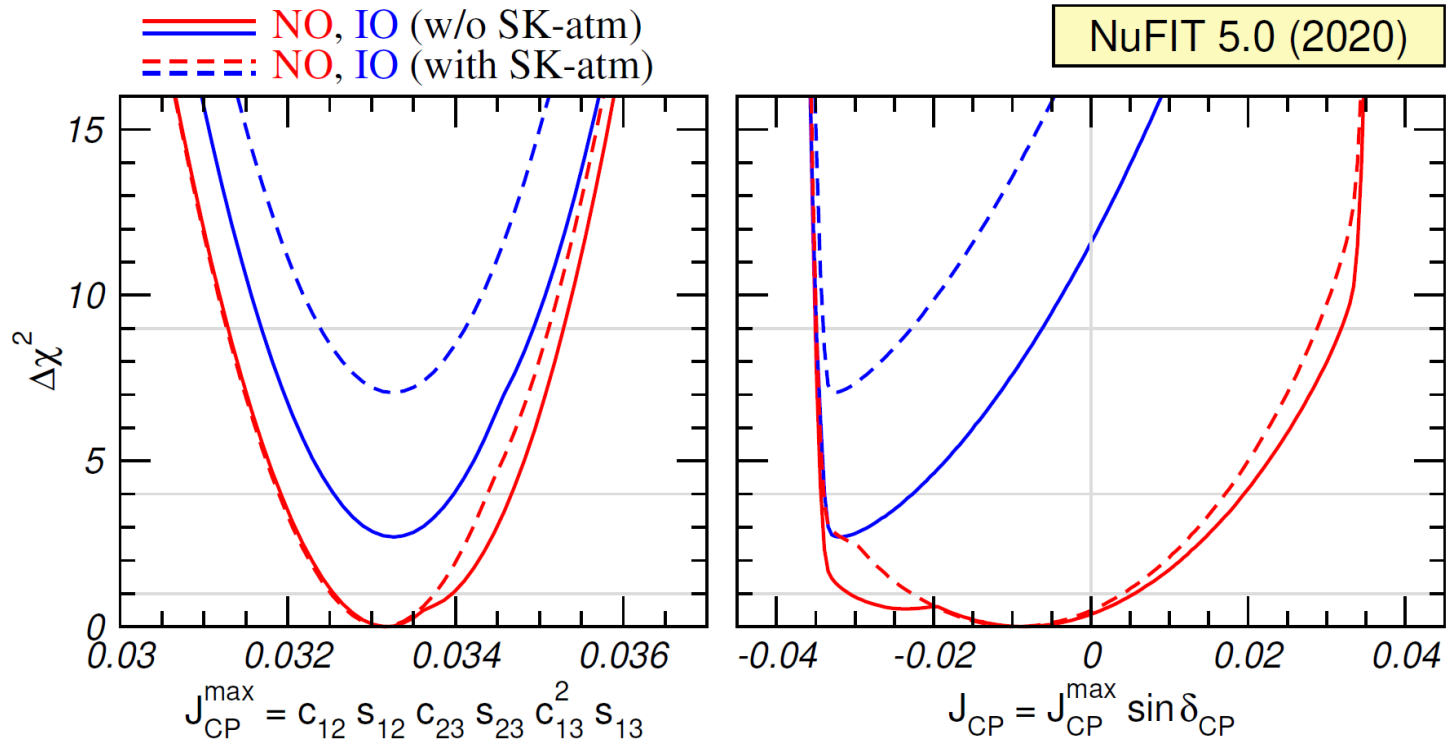
Leptonic CP violation

NuFIT 5.0 (2020)



- Best fit : $\delta_{CP} = 195^{\circ}$
- Comparing to previous results the allowed range is pushed towards CPC $\sim \pi$.
- If we restrict to IO, bf of δ_{CP} is close to maximal CPV with CPC being disfavored at 3σ

Leptonic CP violation



$$J_{\text{CP}} \equiv \text{Im} [U_{\alpha i} U_{\alpha j}^* U_{\beta i}^* U_{\beta j}]$$

$$\equiv J_{\text{CP}}^{\text{max}} \sin \delta_{\text{CP}} = \cos \theta_{12} \sin \theta_{12} \cos \theta_{23} \sin \theta_{23} \cos^2 \theta_{13} \sin \theta_{13} \sin \delta_{\text{CP}}$$

$$J_{\text{CP}}^{\text{max}} = 0.0332 \pm 0.0008 (\pm 0.0019)$$

the best fit value $J_{\text{CP}}^{\text{best}} = -0.0089$ is only favored over CP conservation $J_{\text{CP}} = 0$

Global fit results

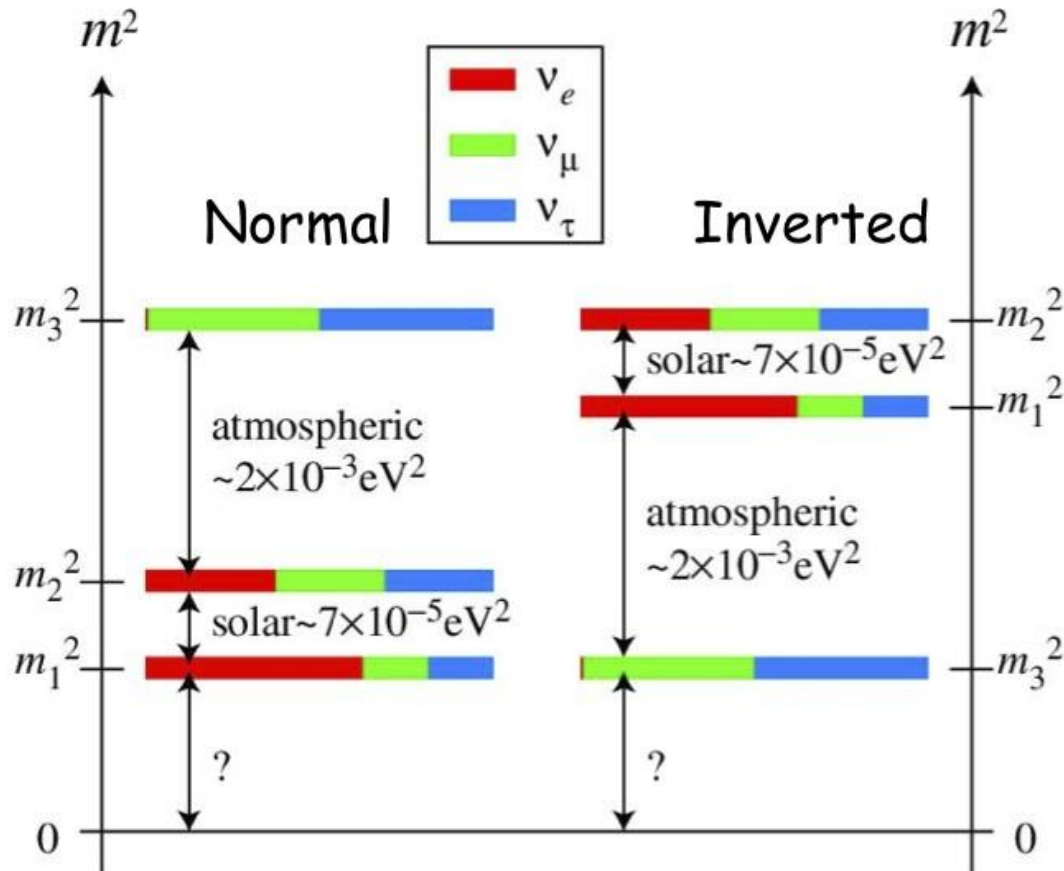
| | | Normal Ordering (best fit) | | Inverted Ordering ($\Delta\chi^2 = 2.7$) | |
|---|--|---------------------------------|-------------------------------|--|--|
| | | bfp $\pm 1\sigma$ | 3σ range | bfp $\pm 1\sigma$ | 3σ range |
| | | without SK atmospheric data | $\sin^2 \theta_{12}$ | $0.304^{+0.013}_{-0.012}$ | $0.269 \rightarrow 0.343$ |
| $\theta_{12}/^\circ$ | $33.44^{+0.78}_{-0.75}$ | | $31.27 \rightarrow 35.86$ | $33.45^{+0.78}_{-0.75}$ | $31.27 \rightarrow 35.87$ |
| $\sin^2 \theta_{23}$ | $0.570^{+0.018}_{-0.024}$ | | $0.407 \rightarrow 0.618$ | $0.575^{+0.017}_{-0.021}$ | $0.411 \rightarrow 0.621$ |
| $\theta_{23}/^\circ$ | $49.0^{+1.1}_{-1.4}$ | | $39.6 \rightarrow 51.8$ | $49.3^{+1.0}_{-1.2}$ | $39.9 \rightarrow 52.0$ |
| $\sin^2 \theta_{13}$ | $0.02221^{+0.00068}_{-0.00062}$ | | $0.02034 \rightarrow 0.02430$ | $0.02240^{+0.00062}_{-0.00062}$ | $0.02053 \rightarrow 0.02436$ |
| $\theta_{13}/^\circ$ | $8.57^{+0.13}_{-0.12}$ | | $8.20 \rightarrow 8.97$ | $8.61^{+0.12}_{-0.12}$ | $8.24 \rightarrow 8.98$ |
| $\delta_{\text{CP}}/^\circ$ | 195^{+51}_{-25} | | $107 \rightarrow 403$ | 286^{+27}_{-32} | $192 \rightarrow 360$ |
| $\frac{\Delta m_{21}^2}{10^{-5} \text{ eV}^2}$ | $7.42^{+0.21}_{-0.20}$ | | $6.82 \rightarrow 8.04$ | $7.42^{+0.21}_{-0.20}$ | $6.82 \rightarrow 8.04$ |
| $\frac{\Delta m_{3\ell}^2}{10^{-3} \text{ eV}^2}$ | $+2.514^{+0.028}_{-0.027}$ | | $+2.431 \rightarrow +2.598$ | $-2.497^{+0.028}_{-0.028}$ | $-2.583 \rightarrow -2.412$ |
| with SK atmospheric data | | | Normal Ordering (best fit) | | Inverted Ordering ($\Delta\chi^2 = 7.1$) |
| | | bfp $\pm 1\sigma$ | 3σ range | bfp $\pm 1\sigma$ | 3σ range |
| | $\sin^2 \theta_{12}$ | $0.304^{+0.012}_{-0.012}$ | $0.269 \rightarrow 0.343$ | $0.304^{+0.013}_{-0.012}$ | $0.269 \rightarrow 0.343$ |
| | $\theta_{12}/^\circ$ | $33.44^{+0.77}_{-0.74}$ | $31.27 \rightarrow 35.86$ | $33.45^{+0.78}_{-0.75}$ | $31.27 \rightarrow 35.87$ |
| | $\sin^2 \theta_{23}$ | $0.573^{+0.016}_{-0.020}$ | $0.415 \rightarrow 0.616$ | $0.575^{+0.016}_{-0.019}$ | $0.419 \rightarrow 0.617$ |
| | $\theta_{23}/^\circ$ | $49.2^{+0.9}_{-1.2}$ | $40.1 \rightarrow 51.7$ | $49.3^{+0.9}_{-1.1}$ | $40.3 \rightarrow 51.8$ |
| | $\sin^2 \theta_{13}$ | $0.02219^{+0.00062}_{-0.00063}$ | $0.02032 \rightarrow 0.02410$ | $0.02238^{+0.00063}_{-0.00062}$ | $0.02052 \rightarrow 0.02428$ |
| | $\theta_{13}/^\circ$ | $8.57^{+0.12}_{-0.12}$ | $8.20 \rightarrow 8.93$ | $8.60^{+0.12}_{-0.12}$ | $8.24 \rightarrow 8.96$ |
| | $\delta_{\text{CP}}/^\circ$ | 197^{+27}_{-24} | $120 \rightarrow 369$ | 282^{+26}_{-30} | $193 \rightarrow 352$ |
| | $\frac{\Delta m_{21}^2}{10^{-5} \text{ eV}^2}$ | $7.42^{+0.21}_{-0.20}$ | $6.82 \rightarrow 8.04$ | $7.42^{+0.21}_{-0.20}$ | $6.82 \rightarrow 8.04$ |
| $\frac{\Delta m_{3\ell}^2}{10^{-3} \text{ eV}^2}$ | $+2.517^{+0.026}_{-0.028}$ | $+2.435 \rightarrow +2.598$ | $-2.498^{+0.028}_{-0.028}$ | $-2.581 \rightarrow -2.414$ | |

Implications of global fit:

- ✓ $\theta_{12} \simeq \pi/4 - \theta_c$ satisfied within 2σ .
 - quark-lepton complementarity (Raidal, Smirnov, Minakata, SK & CSKim,....'04)
- ✓ Non-maximal and 2nd octant of θ_{23} is favored at 2 (1.5) σ level for NO (IO) → could be related to $\sqrt{m_2/m_3}$ similar to Gatto-Sartori-Tonin relation predicting Cabibbo angle. (Roy & Singh PRD91(2015))
- ✓ Zero θ_{13} is excluded at 10 σ .
- ✓ Two large angles → hint for discrete flavor symmetry?
- ✓ $\delta \simeq 3\pi/2$ is favored by IO case.
 - could be related with mixing angles, flavor symmetries etc. ?

Mass scale of neutrinos

- Atmospheric neutrino; $\Delta m_{atm}^2 \approx |\Delta m_{31}^2| \approx |\Delta m_{32}^2| \sim 10^{-3} eV^2$
- Solar neutrino; $\Delta m_{sol}^2 \approx \Delta m_{21}^2 \sim 10^{-5} eV^2$
- Sum of 3 Δm^2 should be 0; $\Delta m_{21}^2 + \Delta m_{13}^2 + \Delta m_{32}^2 = 0$



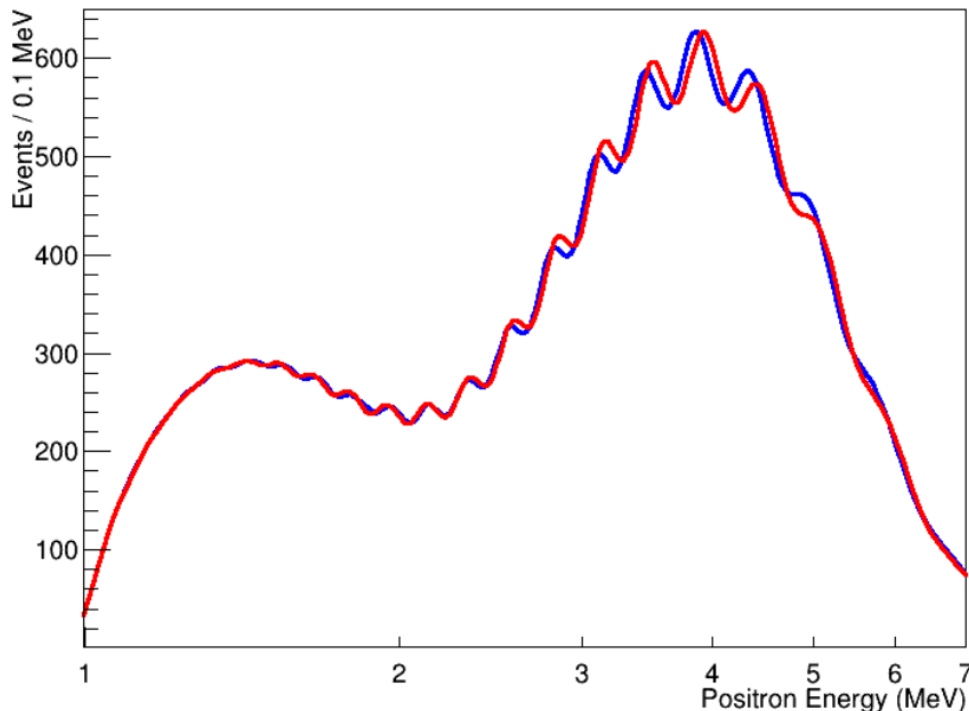
Determination of Mass Hierarchy

With the approximation $\Delta m_{32}^2 \approx \Delta m_{31}^2$

$$P_{ee} = 1 - \cos^4 \theta_{13} \sin^2 2\theta_{12} \sin^2 \Delta_{21} - \sin^2 \theta_{13} \sin^2 |\Delta_{31}| \\ - \sin^2 \theta_{12} \sin^2 2\theta_{13} \sin^2 \Delta_{21} \cos 2|\Delta_{31}|$$

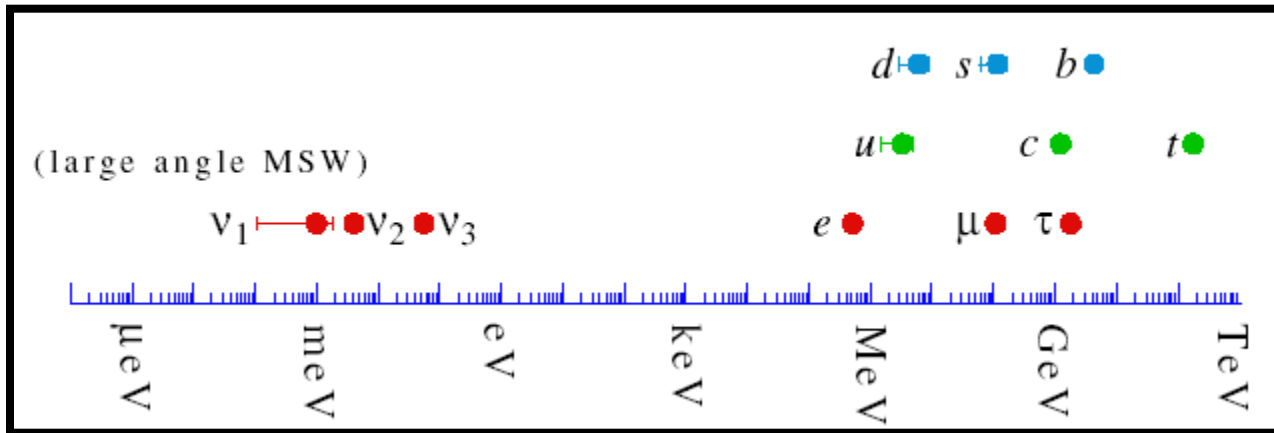
$$\pm \frac{\sin^2 \theta_{12}}{2} \sin^2 2\theta_{13} \sin^2 2\Delta_{21} \sin 2|\Delta_{31}|$$

→ Mass hierarchy difference



- $\bar{\nu}_e$ Energy spectrum at a baseline of 50km
- Assuming $3\%/\sqrt{E}$ detector E resolution (red: NH; blue : IH)

How small neutrino masses?



- Cosmological Mass Limit :

$$\Omega_\nu h^2 = \sum \frac{m_\nu}{92.5 \text{eV}} \leq 0.0076$$

Planck CMB



$$m_\nu \leq 0.086 \text{eV}$$

Theoretical Issues

- Origins of neutrino mixing & CP violation
 - flavor symmetry
 - predictions
- Origins of tiny neutrino mass
 - seesaw variants
 - radiative generation
- New physics in neutrino oscillation

I. Origin of mixing pattern

- From fit to neutrino data in 3-neutrino paradigm

$$|U|_{3\sigma}^{\text{w/o SK-atm}} = \begin{pmatrix} 0.801 \rightarrow 0.845 & 0.513 \rightarrow 0.579 & 0.143 \rightarrow 0.156 \\ 0.233 \rightarrow 0.507 & 0.461 \rightarrow 0.694 & 0.631 \rightarrow 0.778 \\ 0.261 \rightarrow 0.526 & 0.471 \rightarrow 0.701 & 0.611 \rightarrow 0.761 \end{pmatrix}$$

$$|U|_{3\sigma}^{\text{with SK-atm}} = \begin{pmatrix} 0.801 \rightarrow 0.845 & 0.513 \rightarrow 0.579 & 0.143 \rightarrow 0.155 \\ 0.234 \rightarrow 0.500 & 0.471 \rightarrow 0.689 & 0.637 \rightarrow 0.776 \\ 0.271 \rightarrow 0.525 & 0.477 \rightarrow 0.694 & 0.613 \rightarrow 0.756 \end{pmatrix}$$

Look different from quark mixing matrix !!

$$|V_{CKM}| = \begin{pmatrix} 0.97434 & 0.22506 & 0.00357 \\ 0.22492 & 0.97351 & 0.0414 \\ 0.00875 & 0.0403 & 0.99915 \end{pmatrix} \quad \text{PDG(2018)}$$

How do we understand ν mixing matrix ?

Before measuring θ_{13} , **tri-bimaximal mixing** hypothesis :

$$- U^{TBM} = \begin{pmatrix} \frac{2}{\sqrt{6}} & -\frac{1}{\sqrt{3}} & 0 \\ \frac{1}{\sqrt{6}} & \frac{1}{\sqrt{3}} & -\frac{1}{\sqrt{2}} \\ \frac{1}{\sqrt{6}} & \frac{1}{\sqrt{3}} & \frac{1}{\sqrt{2}} \end{pmatrix}$$

Harrison & Perkins & Scott (2002)

$$\theta_{13} \approx 0; \quad \theta_{23} \approx 45^\circ; \quad \theta_{12} = \sin^{-1}\left(\frac{1}{\sqrt{3}}\right) \approx 35.3^\circ$$

- generates specific neutrino mass matrix

$$UM_\nu^D U^T = \begin{pmatrix} m_1 & m_2 & m_2 \\ \cdot & \frac{1}{2}(m_1 + m_2 + m_3) & \frac{1}{2}(m_1 + m_2 - m_3) \\ \cdot & \cdot & \frac{1}{2}(m_1 + m_2 + m_3) \end{pmatrix}$$

$$= \frac{m_1 + m_3}{2} \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix} + \frac{m_2 - m_1}{3} \begin{pmatrix} 1 & 1 & 1 \\ 1 & 1 & 1 \\ 1 & 1 & 1 \end{pmatrix} + \frac{m_1 - m_3}{2} \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{pmatrix}$$

- Integer matrix elements suggest **non-Abelian discrete symmetry**

(E. Ma, G. Rajasekaran, PRD64(2001))

- **TBMixing understanding from discrete symmetries**

-setting $U_{PMNS} = (\vec{u}_1, \vec{u}_2, \vec{u}_3)$, we construct group generators:

$$S_1 = \vec{u}_1 \vec{u}_1^+ - \vec{u}_2 \vec{u}_2^+ - \vec{u}_3 \vec{u}_3^+$$

$$S_2 = -\vec{u}_1 \vec{u}_1^+ + \vec{u}_2 \vec{u}_2^+ - \vec{u}_3 \vec{u}_3^+$$

(CSLam'06)

$$S_3 = -\vec{u}_1 \vec{u}_1^+ - \vec{u}_2 \vec{u}_2^+ + \vec{u}_3 \vec{u}_3^+$$

- $S_1 = \frac{1}{3} \begin{pmatrix} 1 & -2 & -2 \\ -2 & -2 & -1 \\ 2 & -1 & -2 \end{pmatrix} \quad S_2 = \frac{1}{3} \begin{pmatrix} -1 & 2 & -2 \\ 2 & -1 & -2 \\ -2 & -2 & -1 \end{pmatrix} \quad S_3 = \begin{pmatrix} -1 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{pmatrix}$

then, $S^T \bar{M}_\nu S = \bar{M}_\nu$

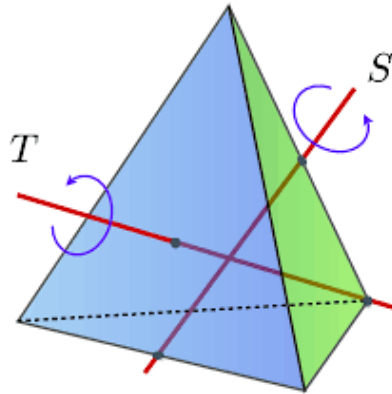
C.S.Lam, PRD98(2008)
arXiv:0809.1185

- For charged lepton, $T^+ \bar{M}_e T = \bar{M}_e$ with $\bar{M}_e = M_e^+ M_e$ & $T^n = 1$

 $\{S_i, T\}$ forms a discrete group (flavor symmetry)

(Mixing matrices diagonalize M_ν and \bar{M}_e also diagonalize S and T)

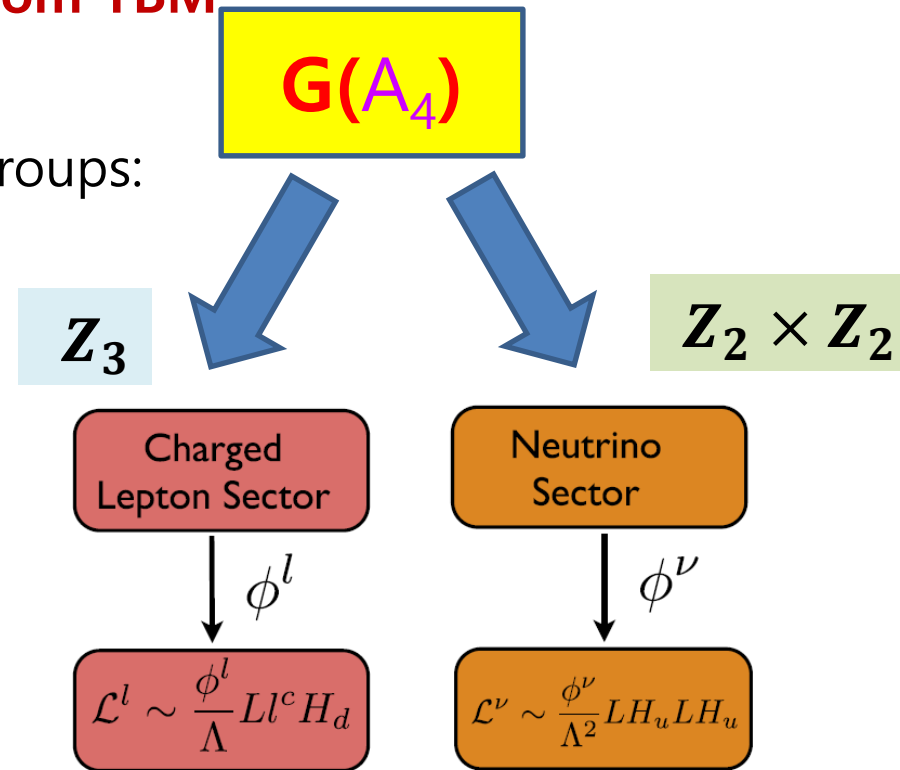
- Simplest group with a triplet representation: A_4
 A_4 has subgroups: three Z_2 , four Z_3 , one $Z_2 \times Z_2$



Deviation from TBM

- A_4 is spontaneously broken to subgroups:
 - Neutrino sector preserves, $Z_2 \times Z_2$:
 - Charged lepton sector preserves, Z_3 :

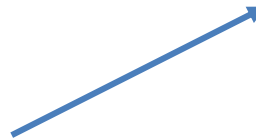
(arXiv: 1402.4271 King, Merle, Morisi, Simizu, Tanimoto)



- Many discrete groups reproducing TBM mixing

| Group | d | Irr. Repr.'s | Presentation |
|----------------------------------|-----|-------------------------------|--|
| $D_3 \sim S_3$ | 6 | 1, 1', 2 | $A^3 = B^2 = (AB)^2 = 1$ |
| D_4 | 8 | $1_1, \dots, 1_4, 2$ | $A^4 = B^2 = (AB)^2 = 1$ |
| D_7 | 14 | 1, 1', 2, 2', 2'' | $A^7 = B^2 = (AB)^2 = 1$ |
| A_4 | 12 | 1, 1', 1'', 3 | $A^3 = B^2 = (AB)^3 = 1$ |
| $A_5 \sim PSL_2(5)$ | 60 | 1, 3, 3', 4, 5 | $A^3 = B^2 = (BA)^5 = 1$ |
| T' | 24 | 1, 1', 1'', 2, 2', 2'', 3 | $A^3 = (AB)^3 = R^2 = 1, B^2 = R$ |
| S_4 | 24 | 1, 1', 2, 3, 3' | $BM: A^4 = B^2 = (AB)^3 = 1$ $TB: A^3 = B^4 = (BA^2)^2 = 1$ |
| $\Delta(27) \sim Z_3 \times Z_3$ | 27 | $1_1, \dots, 1_9, 3, \bar{3}$ | |
| $PSL_2(7)$ | 168 | 1, 3, $\bar{3}, 6, 7, 8$ | $A^3 = B^2 = (BA)^7 = (B^{-1}A^{-1}BA)^4 = 1$ |
| $T_7 \sim Z_7 \times Z_3$ | 21 | 1, 1', $\bar{1}, 3, \bar{3}$ | $A^7 = B^3 = 1, AB = BA^4$ |

(Altarelli, Feruglio, 1002.0211)



Each group has many models!

(Barry, Rodejohann, PRD81(2010))

| Type | L_i | ℓ_i^c | ν_i^c | Δ |
|------|--|--|--|---|
| A1 | $\underline{3}$ | $\underline{1}, \underline{1}', \underline{1}''$ | ... | ... |
| A2 | | | | $\underline{1}, \underline{1}', \underline{1}'', \underline{3}$ |
| B1 | $\underline{3}$ | $\underline{1}, \underline{1}', \underline{1}''$ | $\underline{3}$ | ... |
| B2 | | | | $\underline{1}, \underline{3}$ |
| C1 | | | | ... |
| C2 | $\underline{3}$ | $\underline{3}$ | ... | $\underline{1}$ |
| C3 | | | | $\underline{1}, \underline{3}$ |
| C4 | | | | $\underline{1}, \underline{1}', \underline{1}'', \underline{3}$ |
| D1 | | | | ... |
| D2 | $\underline{3}$ | $\underline{3}$ | $\underline{3}$ | $\underline{1}$ |
| D3 | | | | $\underline{1}'$ |
| D4 | | | | $\underline{1}', \underline{3}$ |
| E | $\underline{3}$ | $\underline{3}$ | $\underline{1}, \underline{1}', \underline{1}''$ | ... |
| F | $\underline{1}, \underline{1}', \underline{1}''$ | $\underline{3}$ | $\underline{3}$ | $\underline{1}$ or $\underline{1}'$ |
| G | $\underline{3}$ | $\underline{1}, \underline{1}', \underline{1}''$ | $\underline{1}, \underline{1}', \underline{1}''$ | ... |
| H | $\underline{3}$ | $\underline{1}, \underline{1}, \underline{1}$ | ... | ... |
| I | $\underline{3}$ | $\underline{1}, \underline{1}, \underline{1}$ | $\underline{1}, \underline{1}, \underline{1}$ | ... |
| J | $\underline{3}$ | $\underline{1}, \underline{1}, \underline{1}$ | $\underline{3}$ | ... |

- **Modification of Tri-Bimaximal Mixing**

- Simple possible forms :

$$\begin{cases} U_{TBM} U_{ij}(\theta) \\ U_{ij}^+(\theta) U_{TBM} \end{cases}$$

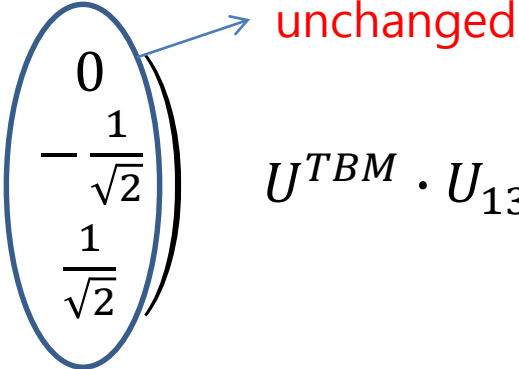
- θ possibly gives rise to non-zero θ_{13} and deviation from maximal θ_{23}

(He & Zee, PLB645(2007), SK & CSKim PRD90(2014)
See also, Goswami, Petcov, Ray, Rodejohann, PRD80(2009))

- Best fit achieved by (SK & CSKim, PRD90(2014))

$$U_{TBM} \cdot U_{23} \sim \begin{pmatrix} \frac{\sqrt{2}}{\sqrt{3}} & -\frac{1}{\sqrt{3}} & -\frac{1}{\sqrt{3}}\lambda \\ \frac{1}{\sqrt{6}} & \frac{1}{\sqrt{3}} + \frac{1}{\sqrt{2}}\lambda & \frac{1}{\sqrt{2}} + \frac{1}{\sqrt{3}}\lambda \\ \frac{1}{\sqrt{6}} & \frac{1}{\sqrt{3}} - \frac{1}{\sqrt{2}}\lambda & \frac{1}{\sqrt{2}} + \frac{1}{\sqrt{3}}\lambda \end{pmatrix} \quad (c_{23} \sim 1, s_{23} \sim \lambda)$$

- $$U^{TBM} \cdot U_{12} = \begin{pmatrix} \blacksquare & \blacksquare & 0 \\ \blacksquare & \blacksquare & -\frac{1}{\sqrt{2}} \\ \blacksquare & \blacksquare & \frac{1}{\sqrt{2}} \end{pmatrix} \quad U^{TBM} \cdot U_{13} = \begin{pmatrix} \blacksquare & -\frac{1}{\sqrt{3}} & \blacksquare \\ \blacksquare & \frac{1}{\sqrt{3}} & \blacksquare \\ \blacksquare & \frac{1}{\sqrt{3}} & \blacksquare \end{pmatrix}$$



- $$U^{TBM} \cdot U_{23} = \begin{pmatrix} \frac{\sqrt{2}}{\sqrt{3}} & \blacksquare & \blacksquare \\ \frac{1}{\sqrt{6}} & \blacksquare & \blacksquare \\ \frac{1}{\sqrt{6}} & \blacksquare & \blacksquare \end{pmatrix}$$

Unchanged columns may reflect the remnants of flavor symmetry \rightarrow residual symmetry

II. Prediction of CP phase

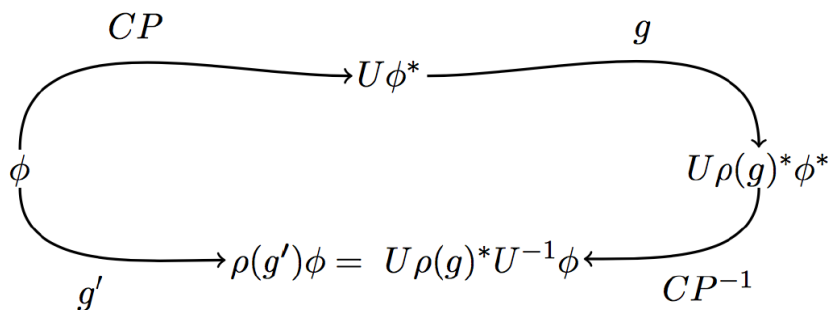
- $\mu - \tau$ reflection symmetry : $\nu_e \leftrightarrow \nu_e^C, \nu_\mu \leftrightarrow \nu_\tau^C$

$$m_\nu = \begin{pmatrix} x & z_1 & z_1^* \\ \cdot & z_2 & y \\ \cdot & \cdot & z_2^* \end{pmatrix} \Rightarrow \delta = \pm \frac{\pi}{2} \quad \& \quad \theta_{23} = \frac{\pi}{4}$$

(Grimus, Lavoura, PRB578(2004))

- General CP transformation :

- combining CP with flavor symmetry $\Rightarrow \delta = \pm \frac{\pi}{2}, \pm\pi, 0$



(Grimus; Chen; Feruglio, Hagedorn, Ziegler; Holthausen, Schmidt, Lindner; Ding, King, Stuart; Meroni, Petcov; Branco, King, Varzielas,...)

II. Prediction of CP phase

- Example $G_f = S_4 \times Z_3 \times CP$ broken to $G_\nu = Z_2 \times CP$,
 $G_l = Z_3$ (Hagedorn, Feruglio, Ziegler, EPJ74)

$$S = \frac{1}{3} \begin{pmatrix} -1 & 2 & 2 \\ 2 & -1 & 2 \\ 2 & 2 & -1 \end{pmatrix} \quad T = \frac{1}{3} \begin{pmatrix} 1 & 0 & 0 \\ 0 & \omega^2 & 0 \\ 0 & 0 & \omega \end{pmatrix} \quad X_{3'} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{pmatrix}$$

$$Sm_\nu S = m_\nu \quad \& \quad X_{3'} m_\nu X_{3'} = m_\nu^*$$

 leads to $\delta = \pm \frac{\pi}{2}$

$$U_{PMNS} = \frac{1}{\sqrt{6}} \begin{pmatrix} 2 \cos \theta & \sqrt{2} & 2 \sin \theta \\ -\cos \theta + i\sqrt{3} \sin \theta & \sqrt{2} & -\sin \theta - i\sqrt{3} \cos \theta \\ -\cos \theta - i\sqrt{3} \sin \theta & \sqrt{2} & -\sin \theta + i\sqrt{3} \cos \theta \end{pmatrix} K$$

$$\sin^2 \theta_{13} = \frac{2}{3} \sin^2 \theta$$

$$\sin^2 \theta_{12} = \frac{1}{2 + \cos 2\theta}$$

$$\sin^2 \theta_{23} = \frac{1}{2}$$

Modification of Tri-bi-maximal

- Any forms of neutrino mixing matrix should be equivalent to U_{PMNS} presented in the standard parameterization :

- $U_{PMNS} = U_{23}(\theta_{23})U_{13}(\theta_{13}, \delta_D)U_{12}(\theta_{12})P_\phi$

$$= \begin{pmatrix} c_{12}c_{13} & -s_{12}c_{13} & s_{13}e^{i\delta_D^*} \\ * & * & -s_{23}c_{13} \\ * & * & c_{23}c_{13} \end{pmatrix} \cdot \begin{pmatrix} e^{i\phi_1} & & \\ & e^{i\phi_2} & \\ & & e^{i\phi_3} \end{pmatrix}$$

$$= P_\alpha \cdot V \cdot P_\beta \quad , V = U^{TBM} \cdot U_{23(13)}(\theta, \xi)$$



$$V_{ij}e^{i(\alpha_i+\beta_j)} = (U_{PMNS})_{ij}$$

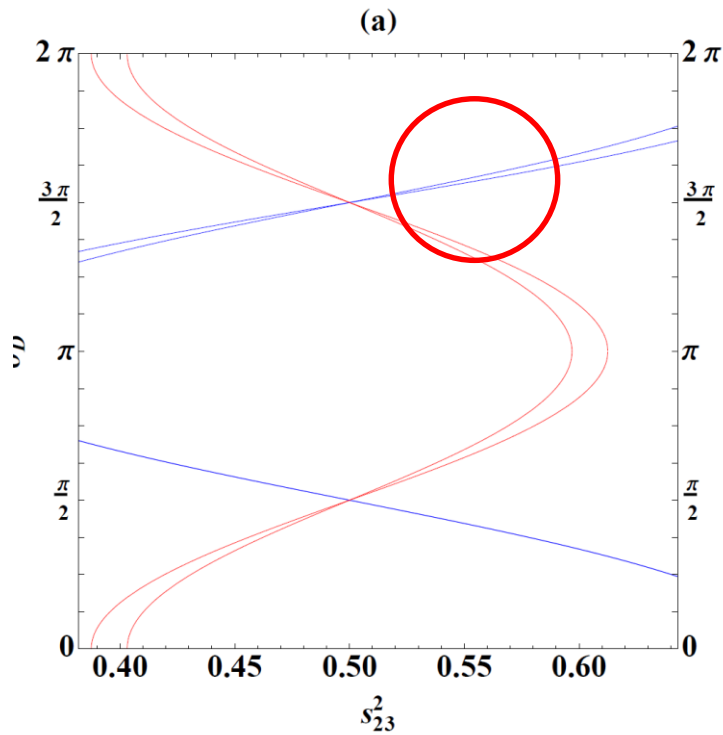
- Predictions : (SK & CSKim, PRD90(2014), SK & Tanimoto, PRD91(2015))

$$s_{12}^2 = 1 - \frac{2}{3(1-s_{13}^2)}$$

$$s_{12}^2 = \frac{1}{3(1-s_{13}^2)}$$

$$\cos \delta_D = \frac{1}{2 \tan 2\theta_{23}} \cdot \frac{1 - 5s_{13}^2}{s_{13}\sqrt{2 - 6s_{13}^2}}$$

$$\cos \delta_D = \frac{1}{\tan 2\theta_{23}} \cdot \frac{1 - 2s_{13}^2}{s_{13}\sqrt{2 - 3s_{13}^2}}$$



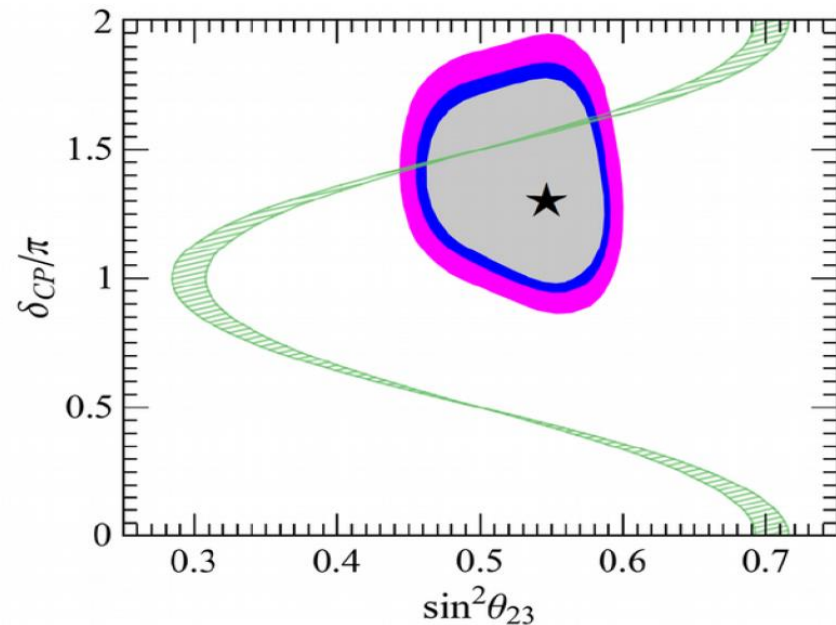
The relations can be obtained from A4 model
(SK & Tanimoto, PRD91 (2015))

Alternatively,

$$\begin{bmatrix} \sqrt{\frac{2}{3}} & \frac{e^{-i\rho} \cos \theta}{\sqrt{3}} & -\frac{ie^{-i\rho} \sin \theta}{\sqrt{3}} \\ -\frac{e^{i\rho}}{\sqrt{6}} & \frac{\cos \theta}{\sqrt{3}} - \frac{ie^{-i\sigma} \sin \theta}{\sqrt{2}} & \frac{e^{-i\sigma} \cos \theta}{\sqrt{2}} - \frac{i \sin \theta}{\sqrt{3}} \\ \frac{e^{i(\rho+\sigma)}}{\sqrt{6}} & -\frac{e^{i\sigma} \cos \theta}{\sqrt{3}} - \frac{i \sin \theta}{\sqrt{2}} & \frac{\cos \theta}{\sqrt{2}} + \frac{ie^{i\sigma} \sin \theta}{\sqrt{3}} \end{bmatrix}$$

| | |
|---|---|
| $\sin^2 \theta_{12} = \frac{\cos^2 \theta}{\cos^2 \theta + 2},$ | $\sin^2 \theta_{23} = \frac{1}{2} + \frac{\sqrt{6} \sin 2\theta \sin \sigma}{2\cos^2 \theta + 4}$ |
| $\sin^2 \theta_{13} = \frac{\sin^2 \theta}{3},$ | $\tan \delta_{CP} = \frac{(\cos^2 \theta + 2) \cot \sigma}{5\cos^2 \theta - 2},$ |
| PHYSICAL REVIEW D 98 , 055019 (2018) | |

(Cheng, Chulia, Ding, Srivastava, Valle)



How to test Flavor Symmetry

- UV theories giving rise to flavor symmetry in lepton sector contains **new scalars** → probe of signal be test of FlaSy.
- Mixing angle sum rules:

Example:

$$\sin^2 \theta_{23} = \frac{1}{2} \frac{1}{\cos^2 \theta_{13}} \geq \frac{1}{2}, \quad \sin^2 \theta_{12} \simeq \frac{1}{3} - \frac{2\sqrt{2}}{3} \sin \theta_{13} \cos \delta_{CP} + \frac{1}{3} \sin^2 \theta_{13} \cos 2\delta_{CP}$$

$$\sin^2 \theta_{12} = \frac{1}{3} \frac{1}{\cos^2 \theta_{13}} \geq \frac{1}{3}, \quad \cos \delta_{CP} \tan 2\theta_{23} \simeq \frac{1}{\sqrt{2} \sin \theta_{13}} \left(1 - \frac{5}{4} \sin^2 \theta_{13} \right)$$

$$s_{12}^2 = 1 - \frac{2}{3(1-s_{13}^2)}$$

- Neutrino mass sum rules in FLaSy ⇔ different **$0\nu\beta\beta$**

- Prediction of CP phase

(Girardi, Petcov, Titov, NPB894(2015))

$$(e.g.) \cos \delta_D = \frac{1}{\tan 2\theta_{23}} \cdot \frac{1 - 5s_{13}^2}{s_{13}\sqrt{2 - 6s_{13}^2}}$$

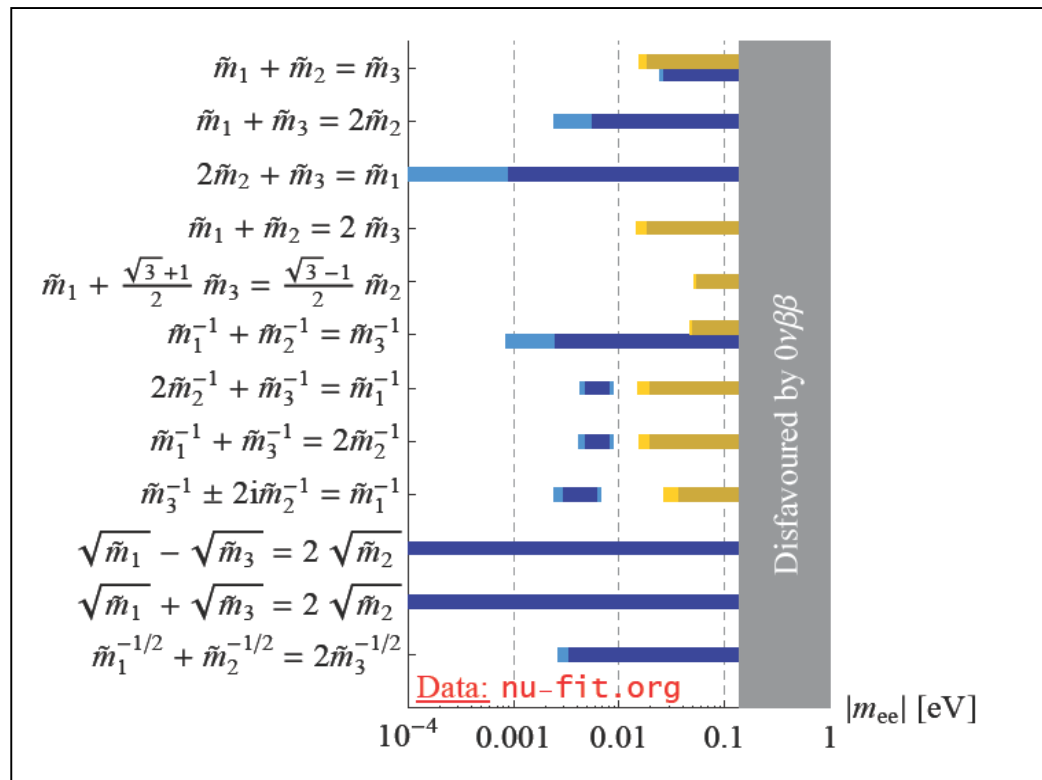
Neutrino mass sum rules

(King, Merle, Morisi, Shimizu, Tanimoto, New J. Phys. 2014)

| Sum Rule | Group | Seesaw Type | Matrix |
|---|--|--------------------|--------------|
| $\tilde{m}_1 + \tilde{m}_2 = \tilde{m}_3$ | A_4 [167]([175, 178–181]); S_4 ([182]); A_5 [69] ^a | Weinberg | m_{LL}^ν |
| $\tilde{m}_1 + \tilde{m}_2 = \tilde{m}_3$ | $\Delta(54)$ [183]; S_4 ([163]) | Type II | M_L |
| $\tilde{m}_1 + 2\tilde{m}_2 = \tilde{m}_3$ | S_4 [120] | Type II | M_L |
| $2\tilde{m}_2 + \tilde{m}_3 = \tilde{m}_1$ | A_4 [165, 167] ([36, 37, 188–194, , , , , , 178–181]) S_4 ([45, 124]) ^b ; T' [195, 196] ([46, 134, 197, 198]); T_7 ([199]) | Weinberg | m_{LL}^ν |
| $2\tilde{m}_2 + \tilde{m}_3 = \tilde{m}_1$ | A_4 ([200]) | Type II | M_L |
| $\tilde{m}_1 + \tilde{m}_2 = 2\tilde{m}_3$ | S_4 [201] ^c | Dirac ^c | m^D |
| $\tilde{m}_1 + \tilde{m}_2 = 2\tilde{m}_3$ | $L_e - L_\mu - L_\tau$ ([202]) | Type II | M_L |
| $\tilde{m}_1 + \frac{\sqrt{3}+1}{2}\tilde{m}_3 = \frac{\sqrt{3}-1}{2}\tilde{m}_2$ | A_5 ([203]) | Weinberg | m_{LL}^ν |
| $\tilde{m}_1^{-1} + \tilde{m}_2^{-1} = \tilde{m}_3^{-1}$ | A_4 [167]; S_4 ([163, 175]); A_5 [176, 177] | Type I | M_R |
| $\tilde{m}_1^{-1} + \tilde{m}_2^{-1} = \tilde{m}_3^{-1}$ | S_4 ([163]) | Type III | M_Σ |
| $2\tilde{m}_2^{-1} + \tilde{m}_3^{-1} = \tilde{m}_1^{-1}$ | A_4 [135, 164, 165, 167, 204] ([37, 137, 145, 205–211]); T' [196] | Type I | M_R |
| $\tilde{m}_1^{-1} + \tilde{m}_3^{-1} = 2\tilde{m}_2^{-1}$ | A_4 ([212–214]); T' [215] | Type I | M_R |
| $\tilde{m}_3^{-1} \pm 2i\tilde{m}_2^{-1} = \tilde{m}_1^{-1}$ | $\Delta(96)$ [66] | Type I | M_R |
| $\tilde{m}_1^{1/2} - \tilde{m}_3^{1/2} = 2\tilde{m}_2^{1/2}$ | A_4 ([162]) | Type I | m^D |
| $\tilde{m}_1^{1/2} + \tilde{m}_3^{1/2} = 2\tilde{m}_2^{1/2}$ | A_4 ([216]) | Scotogenic | h_ν |
| $\tilde{m}_1^{-1/2} + \tilde{m}_2^{-1/2} = 2\tilde{m}_3^{-1/2}$ | S_4 [217] | Inverse | M_{RS} |

Neutrino mass sum rules

Restrictions on $|m_{ee}|$ by mass sum rules



King, Merle, Stuart, JHEP2013

King, Merle, Morisi, Shimizu, Tanimoto, New J. Phys. 2014

III. Origin of Neutrino Mass

- Why are neutrinos massless in SM ?
 - no right-handed neutrinos
 - only SU(2) doublet Higgs scalars
 - prohibiting non-renormalizable terms
- How can neutrinos have mass ?
 - breaking those restrictions

Non-renormalizable term :

$$\frac{\lambda}{M} LL\Phi\Phi \rightarrow \frac{\lambda}{M} \langle \phi^0 \rangle^2$$

Seesaw Origins

Type-I Seesaw

- Introducing right-handed neutrinos

$$Y_\nu \Phi \bar{\nu}_L \nu_R \rightarrow Y_\nu \langle \phi^0 \rangle \bar{\nu}_L \nu_R \sim 0.2 \text{ eV}$$

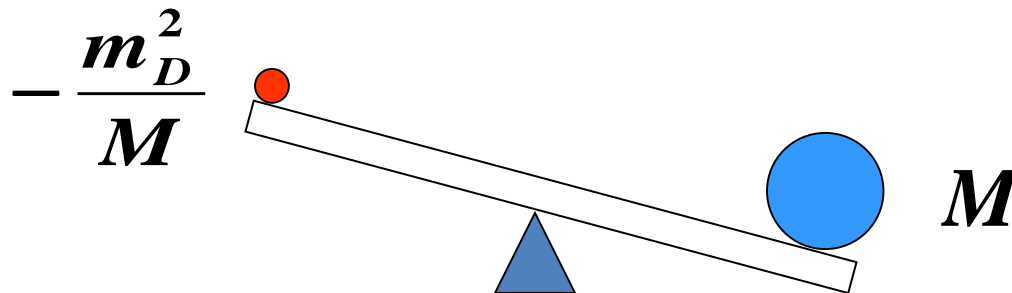
→ $Y_\nu \sim 10^{-12}$: why so small?

- No principle to prohibit $M_R \bar{\nu}_R^c \nu_R$
- Seesaw mechanism :

$-\nu_R$ can have large mass (L-violation: Type-I)

$$L_{mass} = \frac{1}{2} (\nu_L^T N_R^T) C^{-1} \begin{pmatrix} 0 & m_D \\ m_D & M \end{pmatrix} \begin{pmatrix} \nu_L \\ N_R \end{pmatrix} + c.c.$$

(Minkowski '77 Gellman
Ramond Slansky '80
Glashow, Yanagida '79
Mohapatra Senjanovic '80
Lazarides Shafi Weterrich '81
Schechter-Valle '80 & '82)



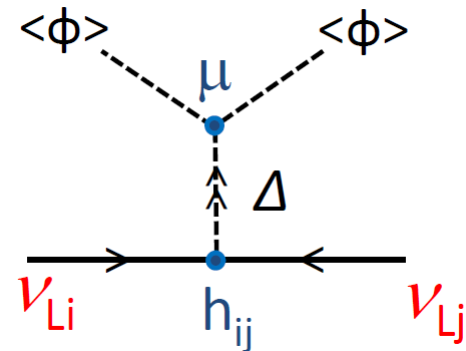
Type-II Seesaw

- Introducing $SU(2)$ triplet Higgs (Δ) (type-II):
 $hLL\Delta \leftarrow \langle \Delta \rangle < 8 \text{ GeV}$ from ρ parameter.
 majorana mass

- Due to additional possible terms:

$$\mu\Phi\Delta^+\Phi + M_{\Delta}^2\text{Tr}[\Delta^+\Delta]$$

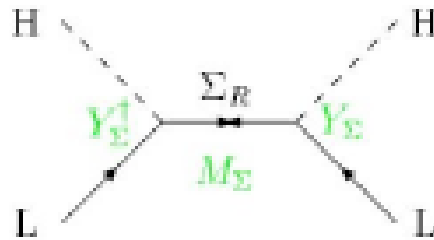
$$\rightarrow \langle \Delta \rangle = \frac{\mu \langle \Phi^0 \rangle^2}{M_{\Delta}^2}$$



(Magg, Wetterich; Lazarides, Shafi;
 Mohapatra, Senjanovic; Schechter, Valle)

Type-III Seesaw

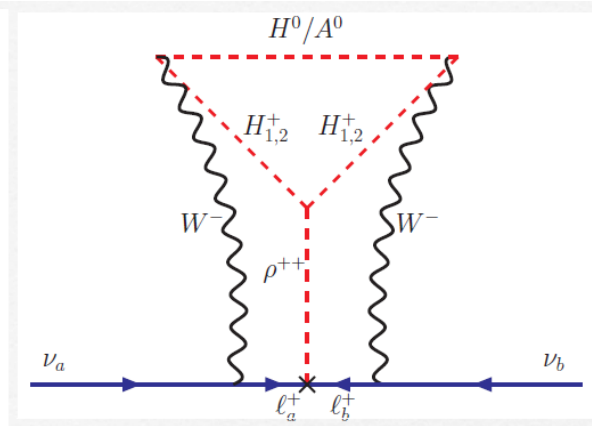
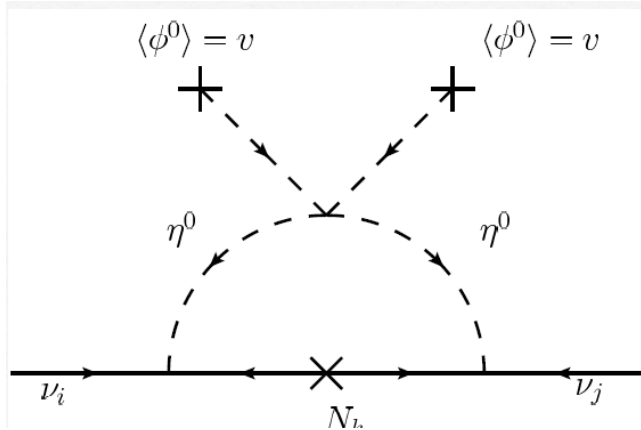
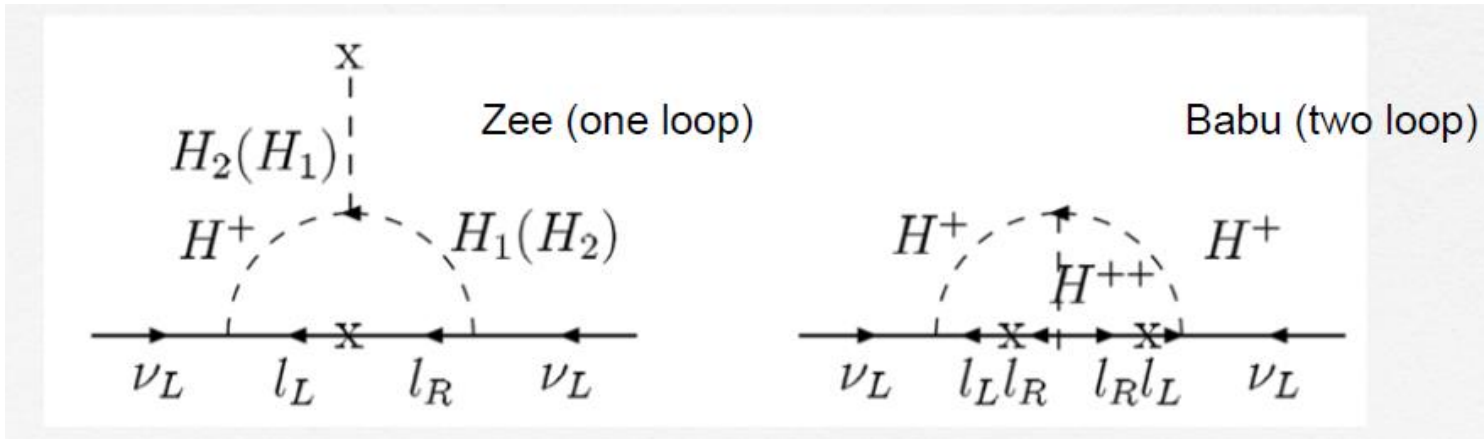
- Introducing SU(2) triplet fermions



$$m_\nu = Y_\Sigma^T \frac{1}{M_\Sigma} Y_\Sigma v^2$$

Foot, Lew, He, Joshi; Ma; Ma, Roy; T.H., Lin,
Notari, Papucci, Strumia; Bajc, Nemevsek,
Senjanovic; Dorsner, Fileviez-Perez;....

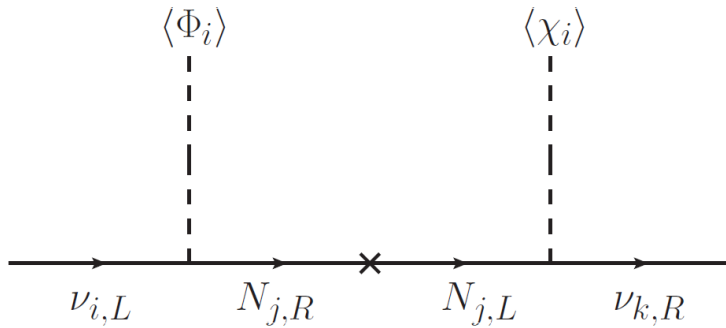
- Radiative generation of neutrino masses



- Scotogenic (Ma) Cocktail (Gustafsson et al.)
- R-parity violating SUSY model

Seesaw for Dirac Neutrino

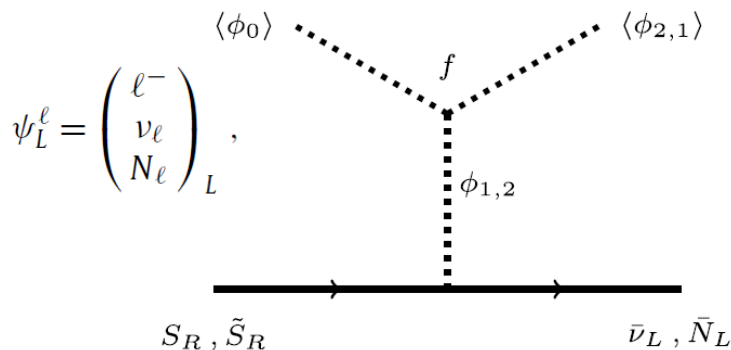
- Type-I Seesaw



Chulia, Srivastava, Valle, PLB761 (2016),
Chulia, Ma, Srivastava, Valle, PLB767 (2017)

The Dirac type-I seesaw mechanism. Φ_i and χ_i are triplets under $\Delta(27)$

Type-II Seesaw



(Valle, Vaquera-Araujo, PLB755(2016),

Addazi et al PLB759 (2016))

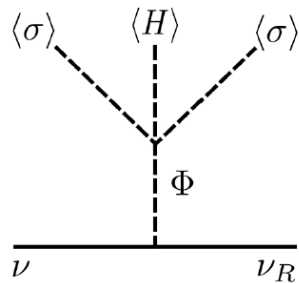
Anomaly free $SU(3)_C \times SU(3)_L \times U(1)_X$

Matter content of the model, where $\hat{u}_R \equiv (u_R, c_R, t_R, U_R)$ and $\hat{d}_R \equiv (d_R, s_R, b_R, D_R, D'_R)$.

| | ψ_L^ℓ | ℓ_R | $S_R^\ell, \tilde{S}_R^\ell$ | $Q_L^{1,2}$ | Q_L^3 | \hat{u}_R | \hat{d}_R | ϕ_0 | ϕ_1 | ϕ_2 |
|-----------------------------|----------------|----------|------------------------------|----------------|----------------|----------------|----------------|----------------|----------------|----------------|
| $SU(3)_C$ | 1 | 1 | 1 | 3 | 3 | 3 | 3 | 1 | 1 | 1 |
| $SU(3)_L$ | 3* | 1 | 1 | 3 | 3* | 1 | 1 | 3* | 3* | 3* |
| $U(1)_X$ | $-\frac{1}{3}$ | -1 | 0 | 0 | $+\frac{1}{3}$ | $+\frac{2}{3}$ | $-\frac{1}{3}$ | $+\frac{2}{3}$ | $-\frac{1}{3}$ | $-\frac{1}{3}$ |
| \mathcal{L} | $-\frac{1}{3}$ | -1 | 1 | $-\frac{2}{3}$ | $+\frac{2}{3}$ | 0 | 0 | $+\frac{2}{3}$ | $-\frac{4}{3}$ | $-\frac{4}{3}$ |
| $\mathbb{Z}_3^{\text{aux}}$ | ω | ω | ω | ω^2 | ω^2 | ω^2 | ω^2 | 1 | 1 | 1 |

Seesaw for Dirac Neutrino

- Type-II Seesaw



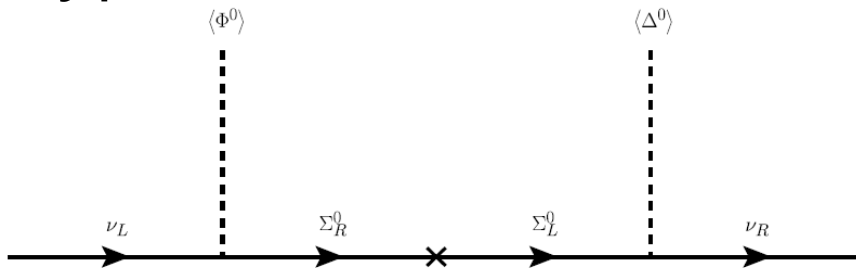
Bonilla, Valle, PLB762(2016)

Reig et al., PRD94(2016)

| | \bar{L} | ℓ_R | ν_R | H | Φ | σ |
|-----------|------------|------------|----------|----------|------------|----------|
| $SU(2)_L$ | 2 | 1 | 1 | 2 | 2 | 1 |
| Z_5 | ω | ω^4 | ω | 1 | ω^3 | ω |
| Z_3 | α^2 | α | α | 1 | 1 | 1 |

Neutrino mass generation in type-II Dirac seesaw mechanism

- Type-III Seesaw



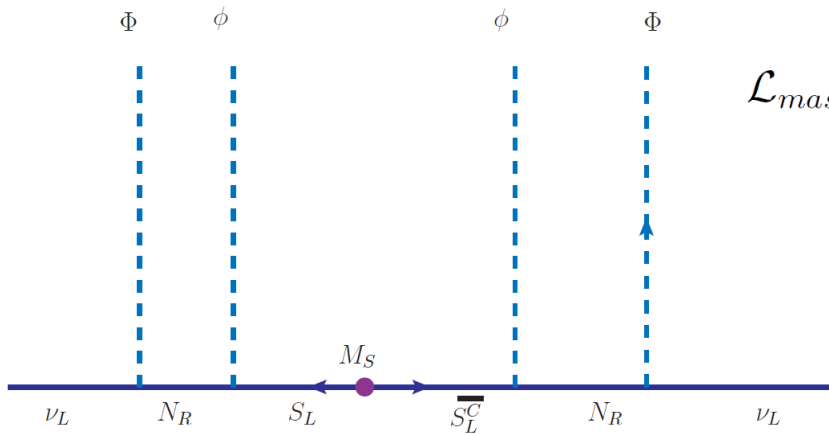
Chulia, Srivastava, Valle, PLB781(2018)

Neutrino mass generation in type-III Dirac seesaw

There can be d=5 op. leading to tiny Dirac mass.

Inverse seesaw

- Inverse seesaw

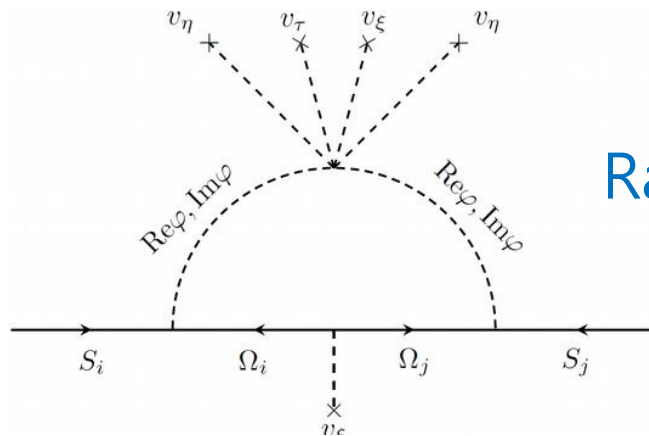


$$\mathcal{L}_{mass} = \begin{pmatrix} \overline{\nu}_L^C & \overline{N}_R & \overline{S}_L^C \end{pmatrix} \begin{pmatrix} 0 & m_D^* & 0 \\ m_D^\dagger & 0 & m_{NS} \\ 0 & m_{NS}^T & M_S \end{pmatrix} \begin{pmatrix} \nu_L \\ N_R^C \\ S_L \end{pmatrix}$$

$$m_\nu = (m_D^* m_{NS}^{-1}) M_S (m_D^* m_{NS}^{-1})^T$$

Mohapatra, PRL56(1986)

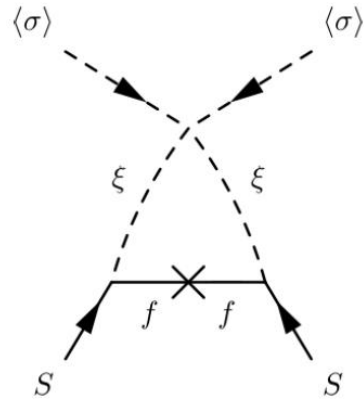
Mohapatra, Valle, PRD34(1986)



Radiative Inverse seesaw

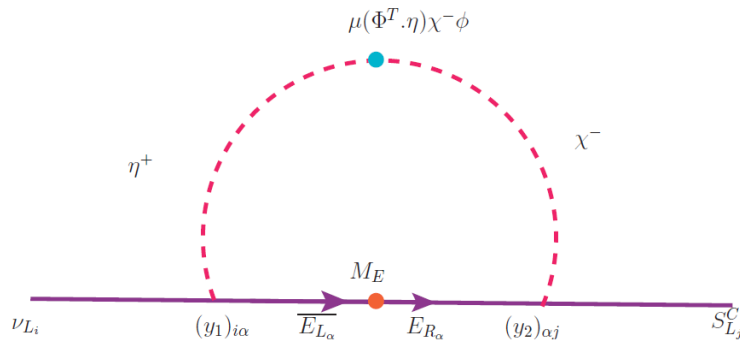
Carcamo Hernandez et al JHEP 1902 (2019)

- Scotogenic inverse seesaw



arXiv:1907.07728

- Inverse seesaw+1-loop (A. Das et al, 1704.02078)

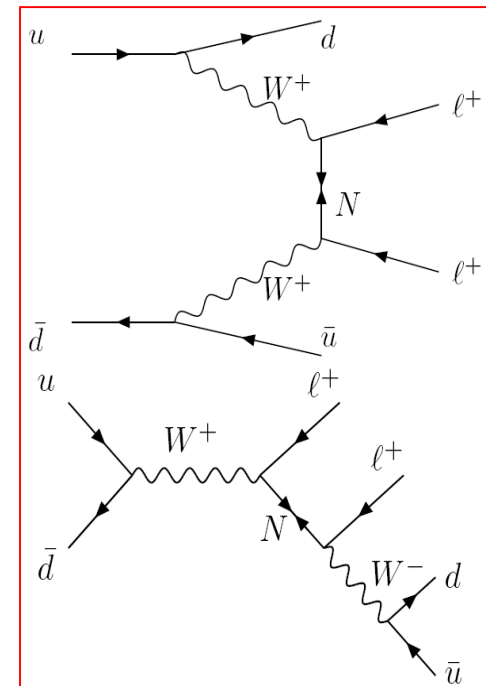
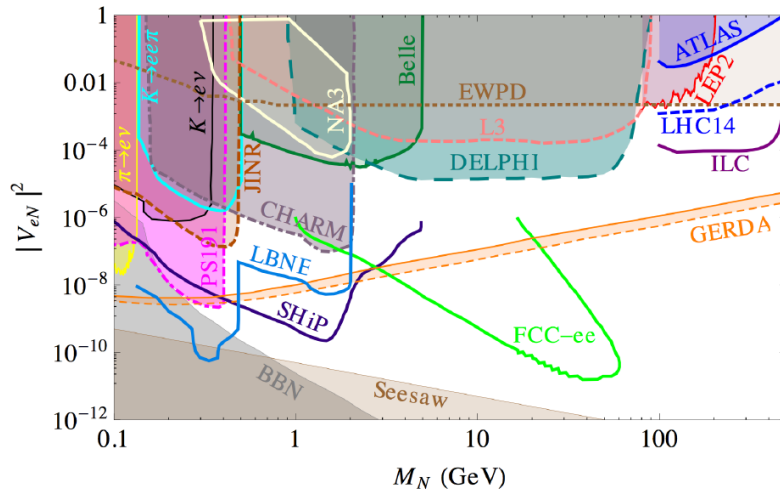


$$m_\nu^{\text{tree}+1\text{-loop}} = \begin{pmatrix} 0 & m_D^* & \delta_1^* \\ m_D^\dagger & 0 & m_{NS} \\ \delta_1^\dagger & m_{NS}^T & M_S \end{pmatrix}$$

- Dirac Inverse seesaw (Borah, Karmakar, PLB780(2018))

What is the Seesaw scale ?

- For $m_D \sim m_t$, neutrino mass of $m_\nu \leq 1$ eV implies $M_R \sim 10^{14}$ GeV
 - close to the scale of Grand Unification $\sim 10^{16}$ GeV
- For $m_D \sim m_e$, neutrino mass of $m_\nu \leq 1$ eV implies $M_R \sim 1$ TeV.
 - potentially testable at collider



What is the Seesaw scale ?

- ν MSM (Asaka, Blanchet, Shaposhnikov, PLB631(2005)):
 - $M_{R1} \sim \text{keV}$ scale warm dark matter
 - $M_{R2(R3)} \sim \text{few GeV}$ with tiny Yukawa couplings
- Minimal SM accommodating DM, baryogenesis at the price of fine tuning.

IV. New Physics in ν Oscillation

- What causes deviation of oscillations
 - Non-standard Interactions(NSI)
 - Unitarity violation in U_{PMNS}
 - light sterile neutrinos
 - long-range forces
 - Lorentz/CPT violation
 - General neutrino interactions
 - decay etc.

Nonstandard Interactions(NSI)

- Existence of NSI indicates new physics beyond the SM

$$\delta\mathcal{L}_{NSI}^{NC} = -2\sqrt{2} \sum_{f,P} \epsilon_{\alpha\beta}^{fP} (\bar{\nu}_\alpha \gamma^\mu P_L \nu_\beta) (\bar{f} \gamma_\mu P f)$$

$$\epsilon_{\alpha\beta}^f = \epsilon_{\alpha\beta}^{fL} + \epsilon_{\alpha\beta}^{fR}$$

- NC-NSI may affect neutrino in propagation, which can be presented through modification of **matter potential**

$$H_{\text{mat}} = \sqrt{2}G_F N_e(x) \begin{pmatrix} 1 + \epsilon_{ee}(x) & \epsilon_{e\mu}(x) & \epsilon_{e\tau}(x) \\ \epsilon_{e\mu}^*(x) & \epsilon_{\mu\mu}(x) & \epsilon_{\mu\tau}(x) \\ \epsilon_{e\tau}^*(x) & \epsilon_{\mu\tau}^*(x) & \epsilon_{\tau\tau}(x) \end{pmatrix}$$

If $\epsilon \propto \frac{c^2}{\Lambda^2}$, TeV scale NP leads to $\epsilon \sim 0.01$

- Even if no mixing in vacuum, $\nu_\alpha \rightarrow \nu_\beta$ can occur in matter
- Complex phases of off-diag. could be new source of CPV

2 σ Results from fit to oscillation data and COHERENT

| OSC | | | +COHERENT | | |
|---|--------------------|--------------------------|----------------------------|--------------------|--------------------|
| | LMA | LMA \oplus LMA-D | | LMA | LMA \oplus LMA-D |
| $\varepsilon_{ee}^u - \varepsilon_{\mu\mu}^u$ | $[-0.020, +0.456]$ | $\oplus[-1.192, -0.802]$ | ε_{ee}^u | $[-0.008, +0.618]$ | $[-0.008, +0.618]$ |
| $\varepsilon_{\tau\tau}^u - \varepsilon_{\mu\mu}^u$ | $[-0.005, +0.130]$ | $[-0.152, +0.130]$ | $\varepsilon_{\mu\mu}^u$ | $[-0.111, +0.402]$ | $[-0.111, +0.402]$ |
| $\varepsilon_{e\mu}^u$ | $[-0.060, +0.049]$ | $[-0.060, +0.067]$ | $\varepsilon_{\tau\tau}^u$ | $[-0.110, +0.404]$ | $[-0.110, +0.404]$ |
| $\varepsilon_{e\tau}^u$ | $[-0.292, +0.119]$ | $[-0.292, +0.336]$ | $\varepsilon_{e\mu}^u$ | $[-0.060, +0.049]$ | $[-0.060, +0.049]$ |
| $\varepsilon_{\mu\tau}^u$ | $[-0.013, +0.010]$ | $[-0.013, +0.014]$ | $\varepsilon_{e\tau}^u$ | $[-0.248, +0.116]$ | $[-0.248, +0.116]$ |
| $\varepsilon_{ee}^d - \varepsilon_{\mu\mu}^d$ | $[-0.027, +0.474]$ | $\oplus[-1.232, -1.111]$ | $\varepsilon_{\mu\tau}^u$ | $[-0.012, +0.009]$ | $[-0.012, +0.009]$ |
| $\varepsilon_{\tau\tau}^d - \varepsilon_{\mu\mu}^d$ | $[-0.005, +0.095]$ | $[-0.013, +0.095]$ | ε_{ee}^d | $[-0.012, +0.565]$ | $[-0.012, +0.565]$ |
| $\varepsilon_{e\mu}^d$ | $[-0.061, +0.049]$ | $[-0.061, +0.073]$ | $\varepsilon_{\mu\mu}^d$ | $[-0.103, +0.361]$ | $[-0.103, +0.361]$ |
| $\varepsilon_{e\tau}^d$ | $[-0.247, +0.119]$ | $[-0.247, +0.119]$ | $\varepsilon_{\tau\tau}^d$ | $[-0.102, +0.361]$ | $[-0.102, +0.361]$ |
| $\varepsilon_{\mu\tau}^d$ | $[-0.012, +0.009]$ | $[-0.012, +0.009]$ | $\varepsilon_{e\mu}^d$ | $[-0.058, +0.049]$ | $[-0.058, +0.049]$ |
| $\varepsilon_{ee}^p - \varepsilon_{\mu\mu}^p$ | $[-0.041, +1.312]$ | $\oplus[-3.327, -1.958]$ | $\varepsilon_{e\tau}^d$ | $[-0.206, +0.110]$ | $[-0.206, +0.110]$ |
| $\varepsilon_{\tau\tau}^p - \varepsilon_{\mu\mu}^p$ | $[-0.015, +0.426]$ | $[-0.424, +0.426]$ | $\varepsilon_{\mu\tau}^d$ | $[-0.011, +0.009]$ | $[-0.011, +0.009]$ |
| $\varepsilon_{e\mu}^p$ | $[-0.178, +0.147]$ | $[-0.178, +0.178]$ | ε_{ee}^p | $[-0.010, +2.039]$ | $[-0.010, +2.039]$ |
| $\varepsilon_{e\tau}^p$ | $[-0.954, +0.356]$ | $[-0.954, +0.949]$ | $\varepsilon_{\mu\mu}^p$ | $[-0.364, +1.387]$ | $[-0.364, +1.387]$ |
| $\varepsilon_{\mu\tau}^p$ | $[-0.035, +0.027]$ | $[-0.035, +0.035]$ | $\varepsilon_{\tau\tau}^p$ | $[-0.350, +1.400]$ | $[-0.350, +1.400]$ |
| | | | $\varepsilon_{e\mu}^p$ | $[-0.179, +0.146]$ | $[-0.179, +0.146]$ |
| | | | $\varepsilon_{e\tau}^p$ | $[-0.860, +0.350]$ | $[-0.860, +0.350]$ |
| | | | $\varepsilon_{\mu\tau}^p$ | $[-0.035, +0.028]$ | $[-0.035, +0.028]$ |

- NSI may affect neutrinos at the production point as well as detection point.
- To see those effects, we use

$$\delta \mathcal{L}_{NSI}^{CC} = -2\sqrt{2} \sum_{f,P} \epsilon_{\alpha\beta}^{fP} (\bar{\nu}_\alpha \gamma^\mu P_L l_\beta) (\bar{f} \gamma_\mu P f')$$

| | 90% C.L. range | Origin | References |
|--|-----------------|-----------------|------------|
| SEMILEPTONIC NSI | | | |
| ϵ_{ee}^{udP} | [-0.015, 0.015] | Daya Bay | [13] |
| $\epsilon_{\theta\mu}^{udL}$ | [-0.026, 0.026] | NOMAD | [33] |
| $\epsilon_{\theta\mu}^{udR}$ | [-0.037, 0.037] | NOMAD | [33] |
| $\epsilon_{\tau e}^{udL}$ | [-0.087, 0.087] | NOMAD | [33] |
| $\epsilon_{\tau e}^{udR}$ | [-0.12, 0.12] | NOMAD | [33] |
| $\epsilon_{\tau\mu}^{udL}$ | [-0.013, 0.013] | NOMAD | [33] |
| $\epsilon_{\tau\mu}^{udR}$ | [-0.018, 0.018] | NOMAD | [33] |
| PURELY LEPTONIC NSI | | | |
| $\epsilon_{\alpha e}^{\mu eL}, \epsilon_{\alpha e}^{\mu eR}$ | [-0.025, 0.025] | KARMEN | [33] |
| $\epsilon_{\alpha\beta}^{\mu eL}, \epsilon_{\alpha\beta}^{\mu eR}$ | [-0.030, 0.030] | kinematic G_F | [33] |

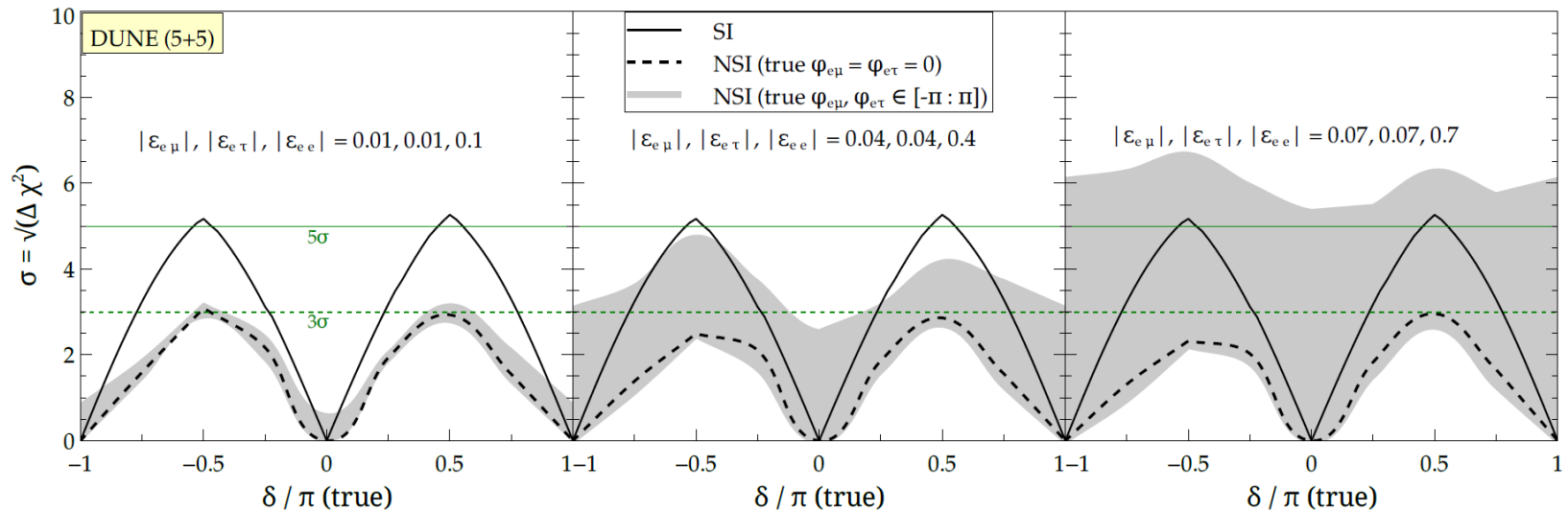
(Farzan, Torotla,
Front.in Phys. 6 (2018))

NSI & CPV

$$\epsilon_{\alpha\beta} = |\epsilon_{\alpha\beta}| e^{i\phi_{\alpha\beta}}$$



another source of CPV



- NSI can prevent determination of CP violation

Masud, Mehta, PRD94(2016)

NSI & CPV

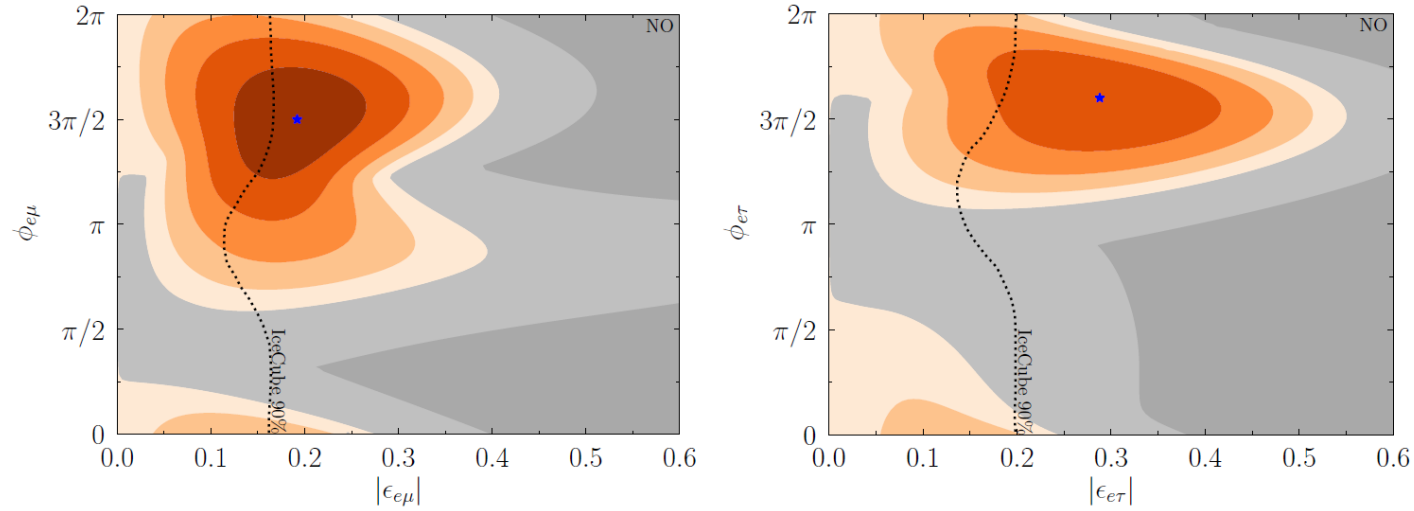


FIG. 2. The preferred parameter regions for $\epsilon_{e\mu}$ and $\epsilon_{e\tau}$ using the newest appearance and disappearance data from NOvA and T2K and assuming the NO. The gray region is disfavored compared to the SM, and the dark gray region is ruled out by NOvA and T2K data at $\Delta\chi^2 = -4.61$. The blue stars show the best fit points. Each of the orange contours are drawn at integer values of $\Delta\chi^2$. See table I for the best parameters. IceCube disfavors the region to the right of the black dotted curve at 90%

| MO | NSI | $ \epsilon_{\alpha\beta} $ | $\phi_{\alpha\beta}/\pi$ | δ/π | $\Delta\chi^2$ |
|----|----------------------|----------------------------|--------------------------|--------------|----------------|
| NO | $\epsilon_{e\mu}$ | 0.19 | 1.50 | 1.46 | 4.68 |
| | $\epsilon_{e\tau}$ | 0.29 | 1.60 | 1.46 | 3.99 |
| | $\epsilon_{\mu\tau}$ | 0.38 | 0.60 | 1.16 | 1.03 |
| IO | $\epsilon_{e\mu}$ | 0.05 | 1.24 | 1.52 | 0.30 |
| | $\epsilon_{e\tau}$ | 0.07 | 1.70 | 1.47 | 0.28 |
| | $\epsilon_{\mu\tau}$ | 0.31 | 0.12 | 1.51 | 2.53 |

Denton, Gehrlein, Pestes, arXiv:2008.01110

Origin of NSI

- ε from integrating out **scalar of type II seesaw**: $\varepsilon_{\alpha\beta}^e \propto (m_\nu)_{\alpha\beta}$ (Malinsky, Ohlsson, Zhang, 0811.3346)
- ε from integrating out **leptoquarks** (Wise, Zhang, 1404.4663)
- ε from integrating out **charge +1 scalar singlet**:
- ε from **loop effects**, including secret neutrino interactions (Bischer, Rodejohann, Xu, 1807.08102)
- ε from **higher dimensional operators** (Gavela et al., 0809.3451); within **flavor symmetry models** have information on flavor symmetry (Wang, Zhou, 1801.05656)
- ε from integrating out **Z'** (Heeck, Lindner, Rodejohann, Vogl, 1812.04067)

Non-unitarity

- Source of non-unitary : sterile neutrino, NSI,...(minimal unitarity violation: Antusch et al, 2006)
- **Parametrization** (Z. Xing, PLB 2008, Escrihuela et al. PRD92(2015))

$$N = N^{NP}U = \begin{pmatrix} \alpha_{11} & 0 & 0 \\ \alpha_{21} & \alpha_{22} & 0 \\ \alpha_{31} & \alpha_{32} & \alpha_{33} \end{pmatrix} U$$

- **Constraints from experimental data:** ν oscillations, W & Z decays, rare lepton-flavor-violating decays, lepton universality tests,
- Sensitivity to CPV in LBL exps. can be affected by the presence of non-unitarity.

| One parameter (1 d.o.f.) | | All parameters (6 d.o.f.) | | |
|-----------------------------|----------------------|------------------------------|----------------------|----------------------|
| 90% C.L. | 3σ | 90% C.L. | 3σ | |
| Neutrinos + charged leptons | | | | |
| $\alpha_{11} >$ | 0.9974 | 0.9963 | 0.9961 | 0.9952 |
| $\alpha_{22} >$ | 0.9994 | 0.9991 | 0.9990 | 0.9987 |
| $\alpha_{33} >$ | 0.9988 | 0.9976 | 0.9973 | 0.9961 |
| $ \alpha_{21} <$ | 1.7×10^{-3} | 2.5×10^{-3} | 2.6×10^{-3} | 4.0×10^{-3} |
| $ \alpha_{31} <$ | 2.0×10^{-3} | 4.4×10^{-3} | 5.0×10^{-3} | 7.0×10^{-3} |
| $ \alpha_{32} <$ | 1.1×10^{-3} | 2.0×10^{-3} | 2.4×10^{-3} | 3.4×10^{-3} |
| Neutrinos only | | | | |
| $\alpha_{11} >$ | 0.98 | 0.95 | 0.96 | 0.93 |
| $\alpha_{22} >$ | 0.99 | 0.96 | 0.97 | 0.95 |
| $\alpha_{33} >$ | 0.93 | 0.76 | 0.79 | 0.61 |
| $ \alpha_{21} <$ | 1.0×10^{-2} | 2.6×10^{-2} | 2.4×10^{-2} | 3.6×10^{-2} |
| $ \alpha_{31} <$ | 4.2×10^{-2} | 9.8×10^{-2} | 9.0×10^{-2} | 1.3×10^{-1} |
| $ \alpha_{32} <$ | 9.8×10^{-3} | 1.7×10^{-2} | 1.6×10^{-2} | 2.1×10^{-2} |

Escrivuela, Forero, Miranda, Tortola, Valle, New.J.Phys.19(2017)

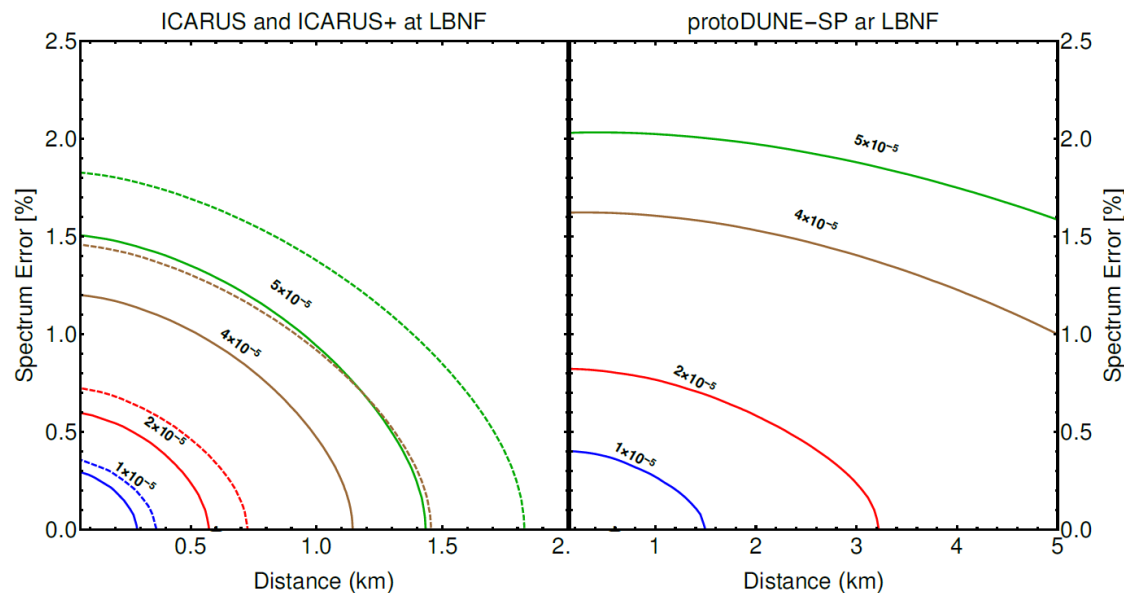
- Non-unitarity predicts “zero-distance effect”

$$P(\nu_\mu \rightarrow \nu_e) = \alpha_{11} |\alpha_{21}|^2$$

- Thus, at very short distances from the neutrino source, # of detected electron neutrinos, N_e , is given by

$$N_e = \phi_{\nu_e}^0 + |\alpha_{21}|^2 \phi_{\nu_\mu}^0$$

- Capabilities of SBL as well as LBL as a probe of the unitarity of lepton mixing :(Miranda et al. PRD97(2018); Escrihuela et al ,New.J.Phys.19(2017)



Conclusion

- Lots of progress in neutrino physics in the past years
 - PMNS parameters approach CKM precision
- Still lots to learn about neutrino
 - mass ordering, CP violation, Majorana or Dirac etc.
- Lots of theoretical idea proposed to understand our universe via neutrino
 - More idea will emerge in future
- Lots of experimental programs and proposals exist
 - New era of neutrino physics

Recent development of neutrino mass models

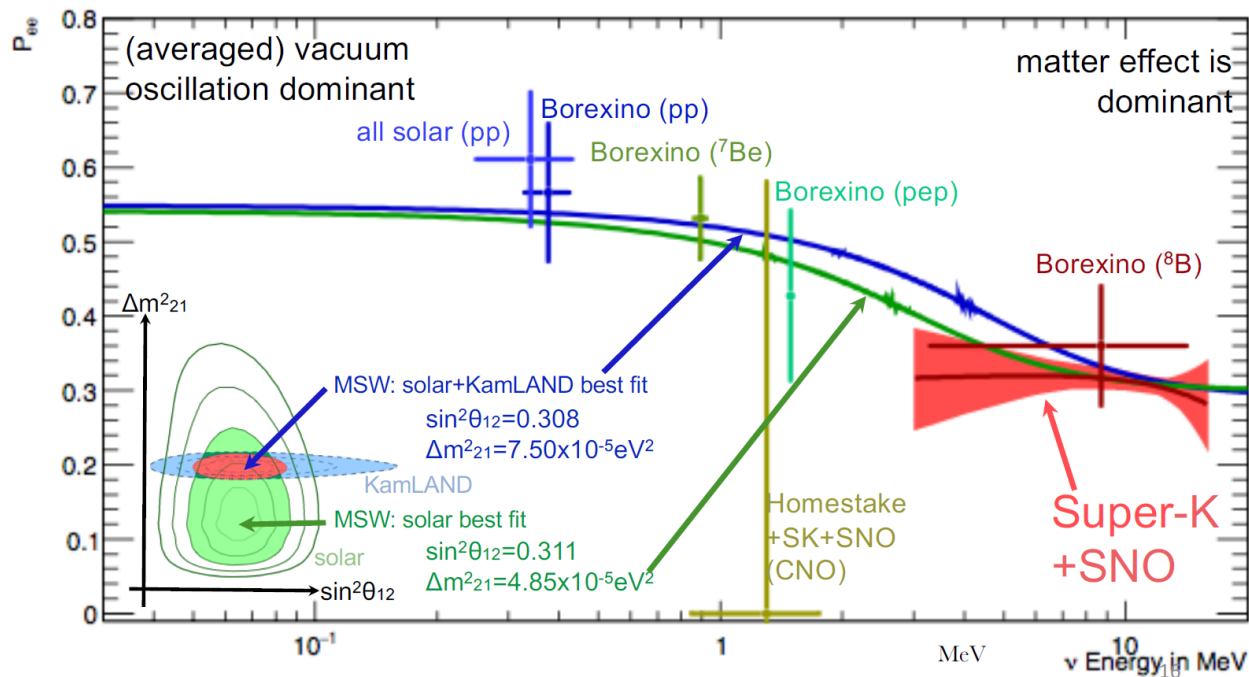
- Seesaw a la Dirac neutrino
- Inverse seesaw
- Linear seesaw
- Low scale seesaw
- Radiative generation -talk by Volkas
- Neutrinoless double beta decay
 - absolute mass and Majorana phases
- Testability

• MSW matter effect

$$\tan 2\theta_m = \frac{\Delta m^2 \sin 2\theta}{\Delta m^2 \cos 2\theta - \xi} \quad \xi \equiv 2EV \approx 1.526 \times 10^{-7} \frac{Z}{A} \rho E \quad [\text{eV}^2]$$

$$V = \pm \sqrt{2} G_F N_e \quad (\rho \text{ in g/cm}^3, E \text{ in MeV})$$

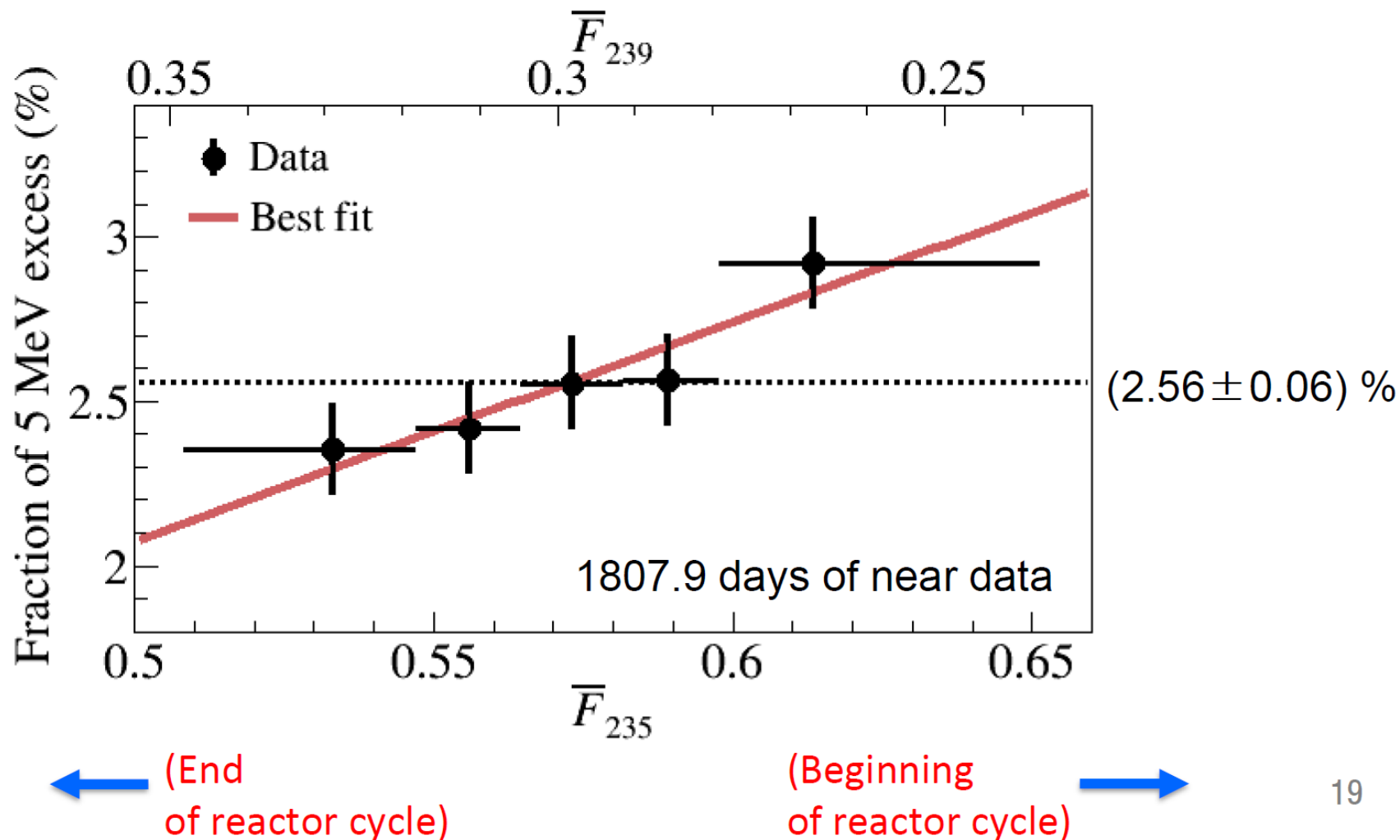
$$P_{ee} = 1 - P_{e\mu} = 1 - \sin^2\theta \cos^2\theta_m - \cos^2\theta \sin^2\theta_m \quad \checkmark$$



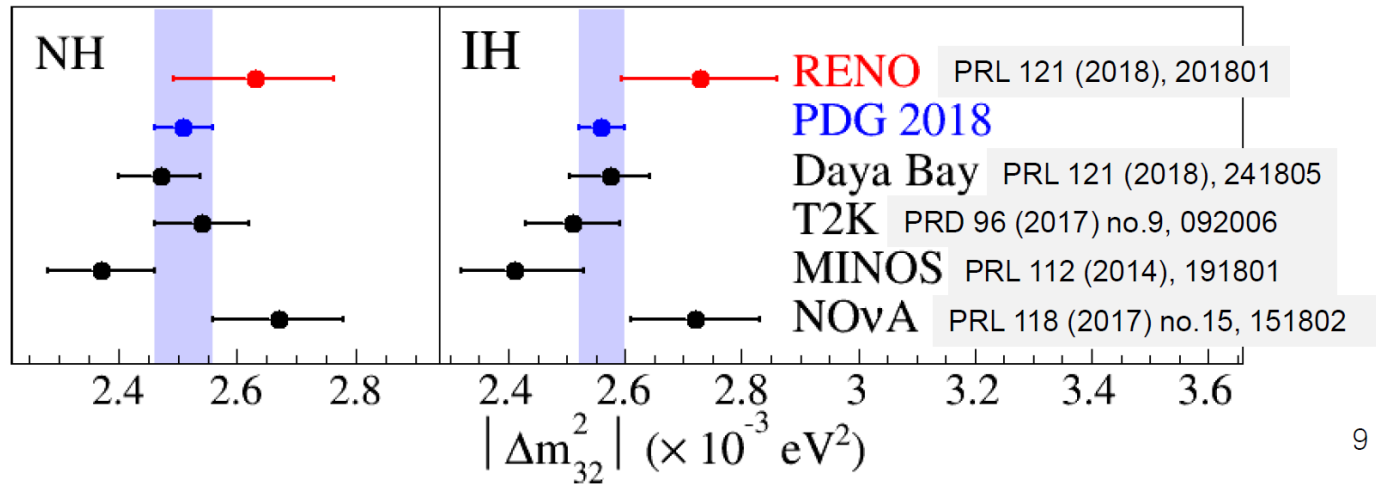
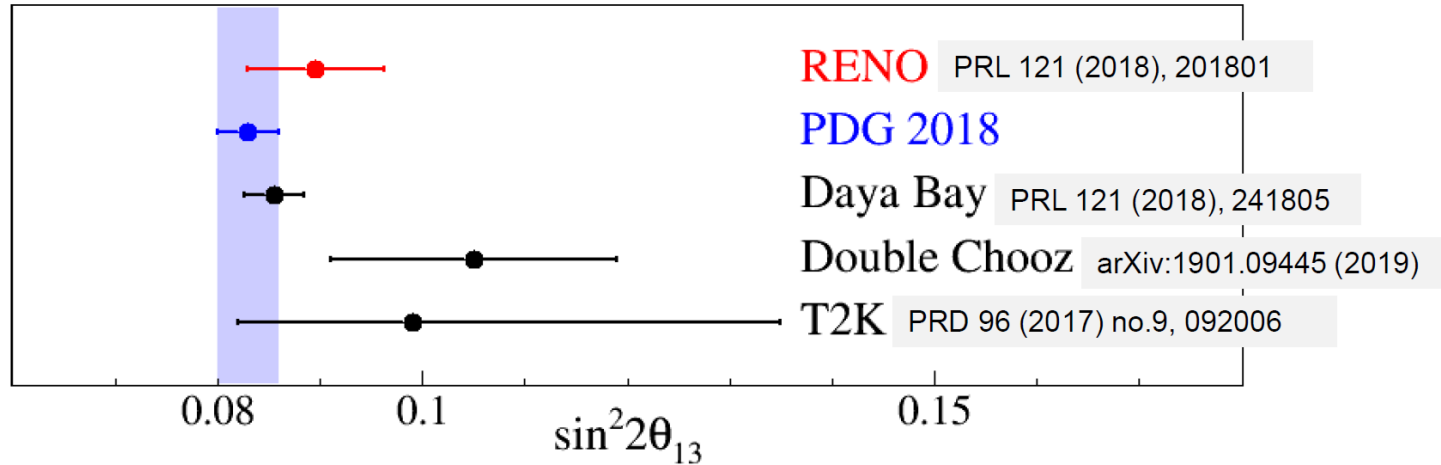
BOREXINO (Barbara Caccianiga 2019)

Correlation of 5 MeV excess with fuel ^{235}U

2.9 σ indication of 5 MeV excess coming from ^{235}U fuel isotope fission !!

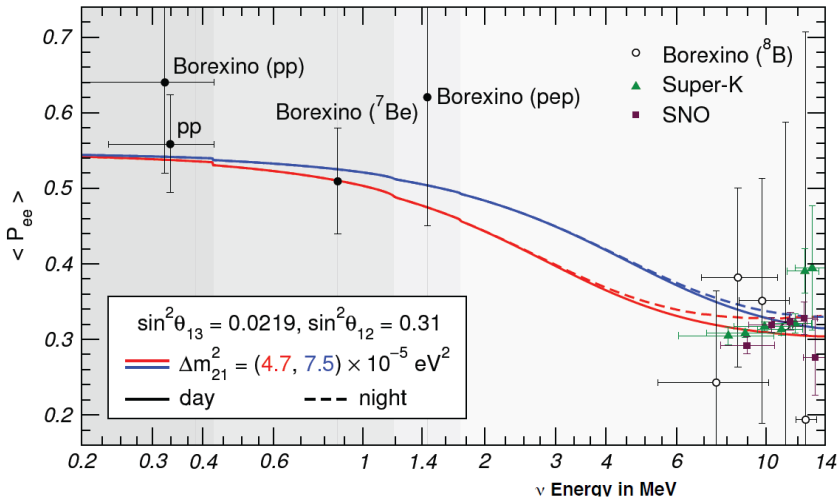


Comparison of θ_{13} and $|\Delta m_{ee}^2|$

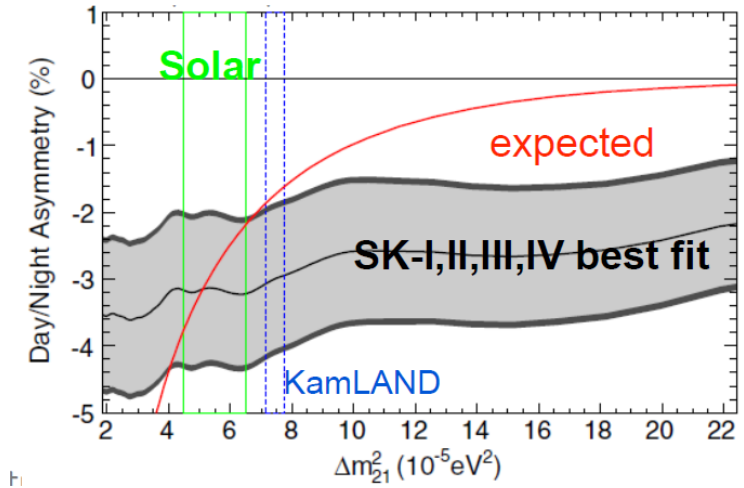


- Δm_{21}^2 preferred by KamLAND predicts **steeper upturn** at solar spectrum and **smaller A(D/N)**

Spectrum distortion

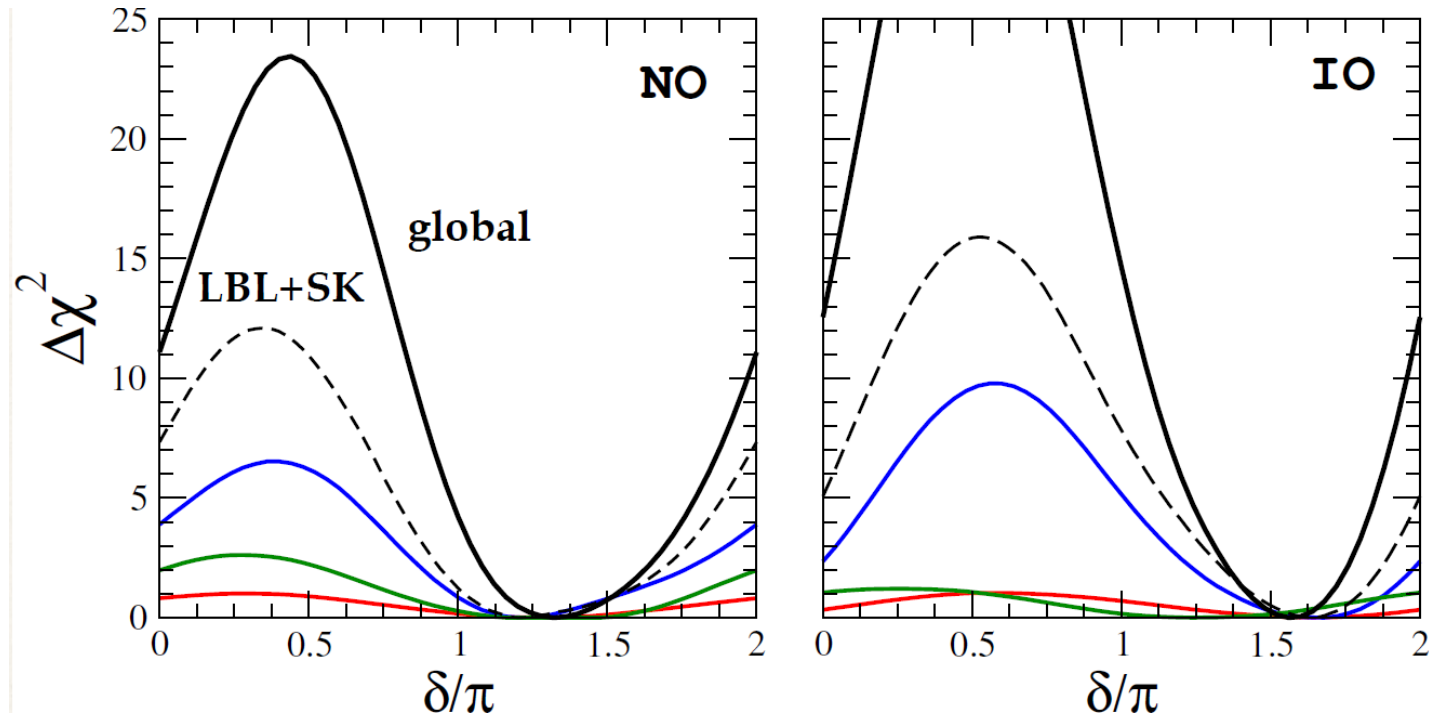


Maltoni, Smirnov EJP A52(2016)



Koshino (SK, 2019)

CP violation

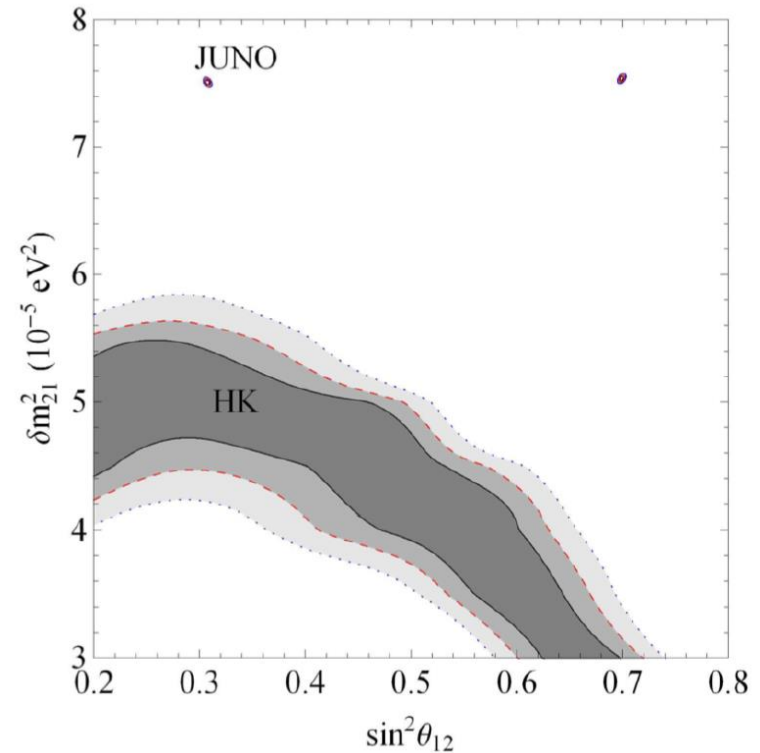
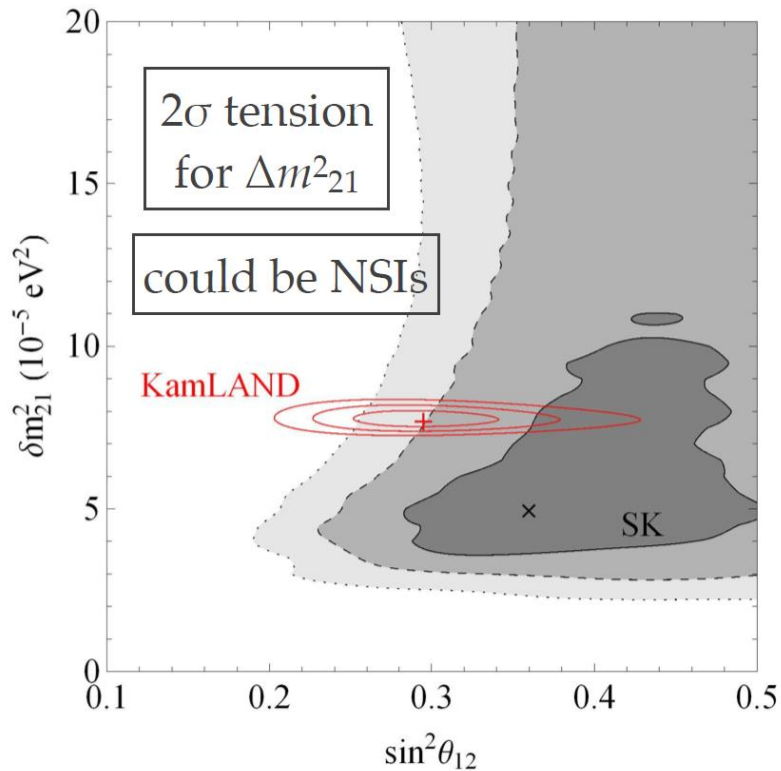


- **NOvA** , **Super-K** and **T2K** prefer $\pi < \delta < 2\pi$ (as well as NO)
- The combination of LBL and Super-K enhances rejection against $\delta = \pi/2$
- From the global analysis, $\delta = \pi/2$ is disfavoured at 4.8σ (6.1σ) for NO (IO)

NSI

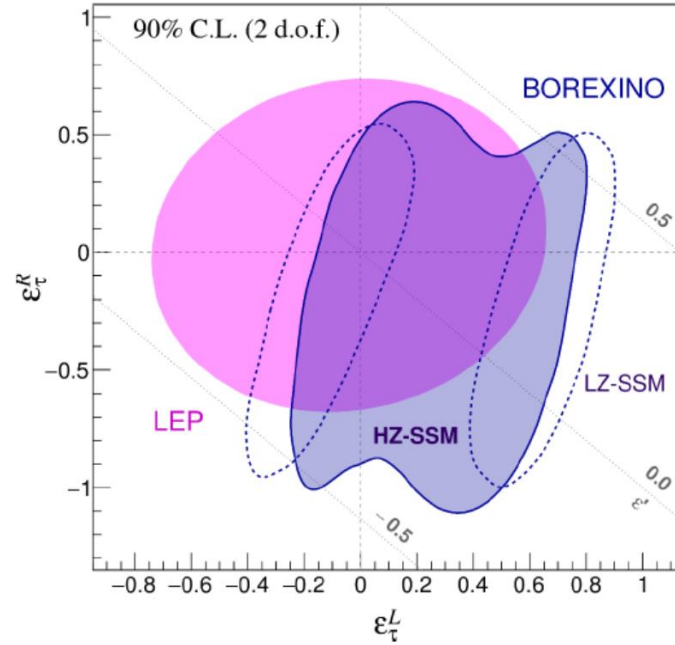
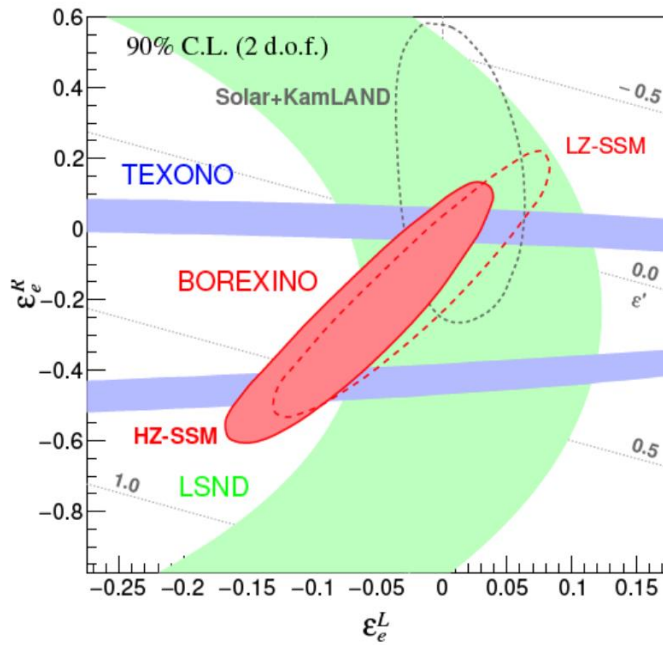
- 2σ tension for Δm_{21}^2 could be due to NSI

Liao, Marfatia, Whisnant, 1704.04711



(JUNO and HyperK would reject no NSI-solution by 7σ)

New constraints on NC NSIs from Borexion phase-II

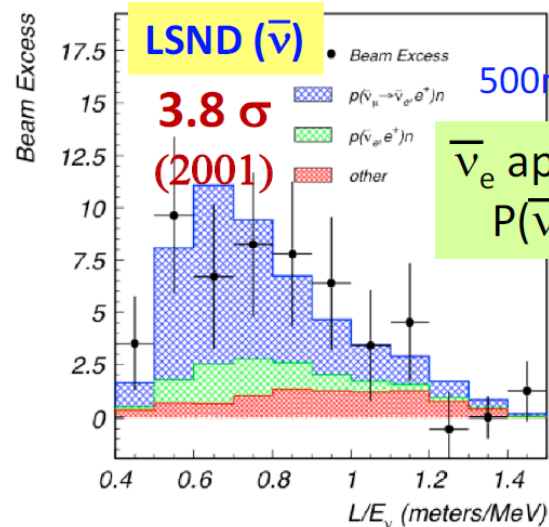


| | HZ-SSM | LZ-SSM |
|----------------------|--------------------|--------------------|
| ε_e^R | $[-0.15, +0.11]$ | $[-0.20, +0.03]$ |
| ε_e^L | $[-0.035, +0.032]$ | $[-0.013, +0.052]$ |
| ε_τ^R | $[-0.83, +0.36]$ | $[-0.42, +0.43]$ |
| ε_τ^L | $[-0.11, +0.67]$ | $[-0.19, +0.79]$ |

90% C.L. (1 d.o.f.)

Agarwalla et al. JHEP02(2020) 038

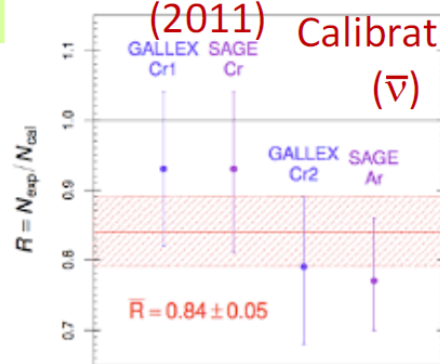
Sterile Neutrinos at $\sim eV$?



ν_e disappearance
 $P(\nu_e \rightarrow \nu_e)$

GALLEX/SAGE

3 σ Source Calibration ($\bar{\nu}$)



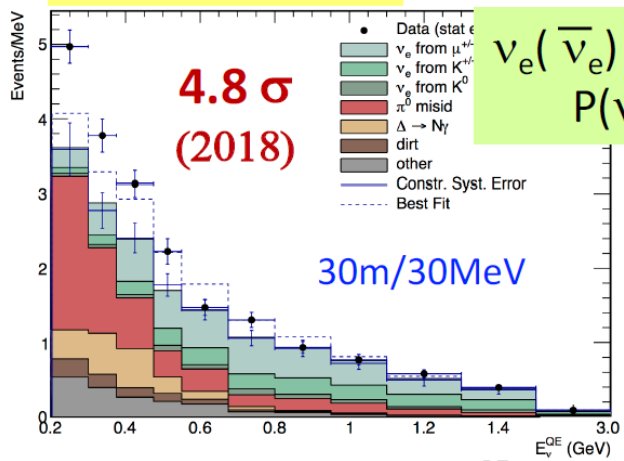
Phys. Rev. C83, 065504 (2011)

3 $\sigma \sim 4\sigma$ evidences

$\bar{\nu}_e$ appearance
 $P(\bar{\nu}_\mu \rightarrow \bar{\nu}_e)$

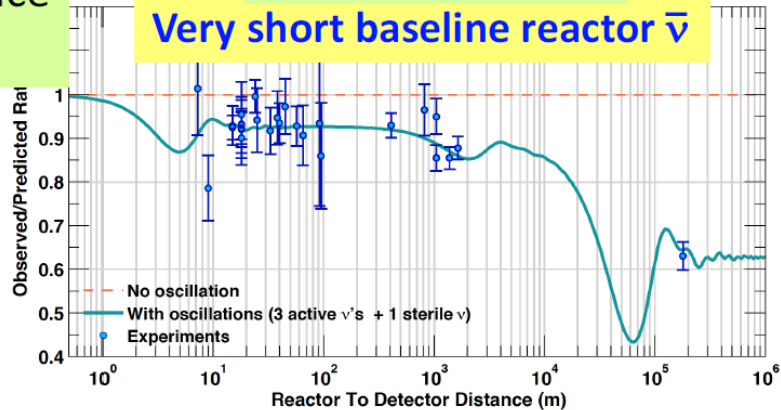
$\bar{\nu}_e$ disappearance
 $P(\bar{\nu}_e \rightarrow \bar{\nu}_e)$ 3 σ (2011)

MiniBooNE ($\nu, \bar{\nu}$)

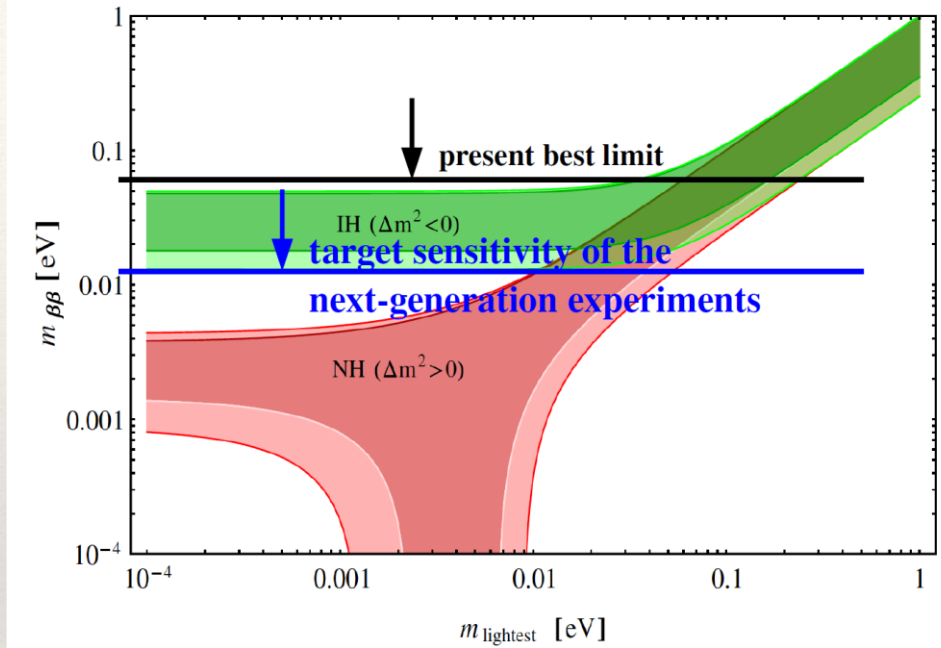
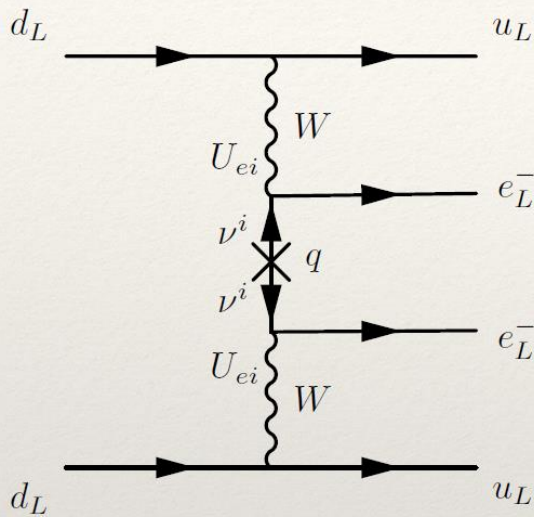


ν_e ($\bar{\nu}_e$) appearance
 $P(\nu_\mu \rightarrow \nu_e)$

Very short baseline reactor $\bar{\nu}$



Double Beta Decay



S. Dell'Oro, S. Marcocci, F. Vissani, PRD 90 (2014)



$$|m_{ee}| = \left| \sum U_{ei}^2 m_i \right| = \left| U_{e1}^2 m_1 + U_{e2}^2 m_2 e^{i\alpha} + U_{e3}^2 m_3 e^{i\beta} \right|$$

$$= f(\theta_{12}, |U_{e3}|, m_i, \text{sgn}(\Delta m_A^2), \alpha, \beta)$$

known

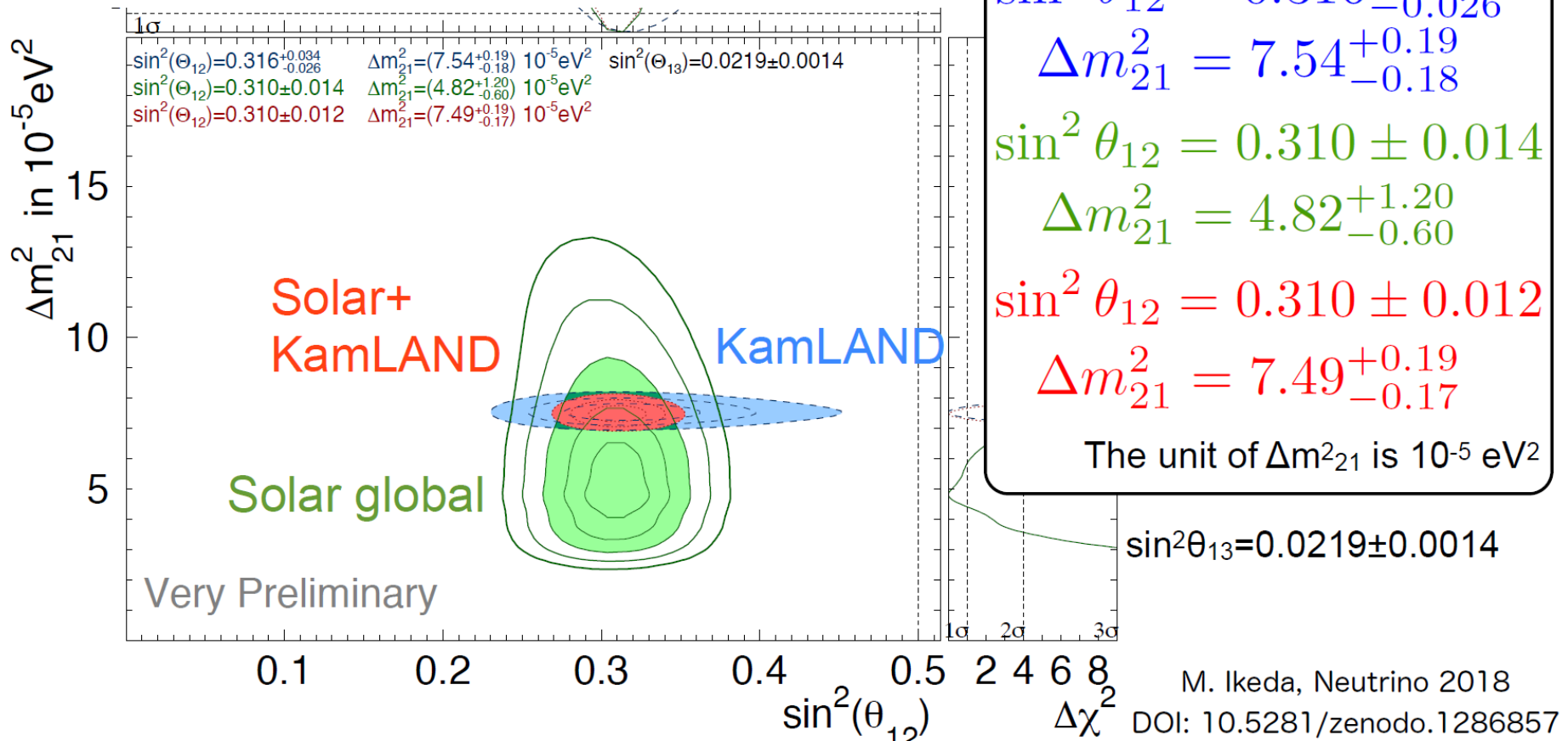
limits

unknown

θ_{12} & Δm_{21}^2

In 2018

~2 σ tension between solar global and KamLAND in Δm_{21}^2

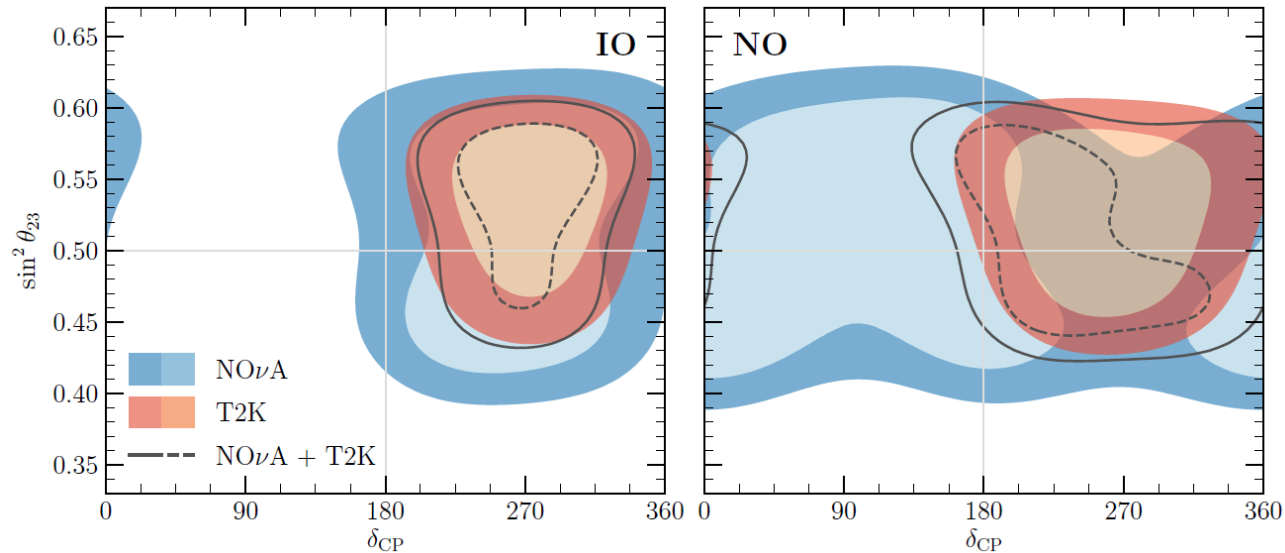


Characteristic values of E and L for experiments performed using various neutrino sources and corresponding range of $|\Delta m^2|$

| Experiment | | L (m) | E (MeV) | $ \Delta m^2 $ (eV ²) |
|-------------|-----|---------------|---------------|-----------------------------------|
| Solar | | 10^{10} | 1 | 10^{-10} |
| Atmospheric | | $10^4 - 10^7$ | $10^2 - 10^5$ | $10^{-1} - 10^{-4}$ |
| Reactor | SBL | $10^2 - 10^3$ | 1 | $10^{-2} - 10^{-3}$ |
| | LBL | $10^4 - 10^5$ | | $10^{-4} - 10^{-5}$ |
| Accelerator | SBL | 10^2 | $10^3 - 10^4$ | > 0.1 |
| | LBL | $10^5 - 10^6$ | $10^3 - 10^4$ | $10^{-2} - 10^{-3}$ |

Leptonic CP violation

$$\sin^2 \theta_{13} = 0.0224, \sin^2 \theta_{12} = 0.310, \Delta m_{21}^2 = 7.40 \times 10^{-5} \text{ eV}^2$$



-For IO, Both NovA & T2K are fitted best at $\delta \sim 3\pi/2$

-For NO, T2K is fitted best at $\delta \sim 3\pi/2$ while NovA is fitted best at $\delta \sim 0.8\pi$