

# Primordial Black Holes & Gravitational Waves in Higgs- $R^2$ Inflation

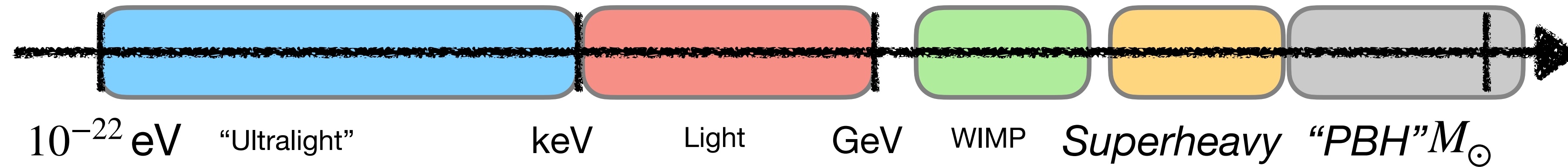
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(in collab. with Sung Mook Lee, Prof. Seong Chan Park)

[arXiv:1912.12032 \[hep-ph\]](https://arxiv.org/abs/1912.12032)  
[arXiv21xx.xxxxx \[hep-xx\]](https://arxiv.org/abs/21xx.xxxxx)

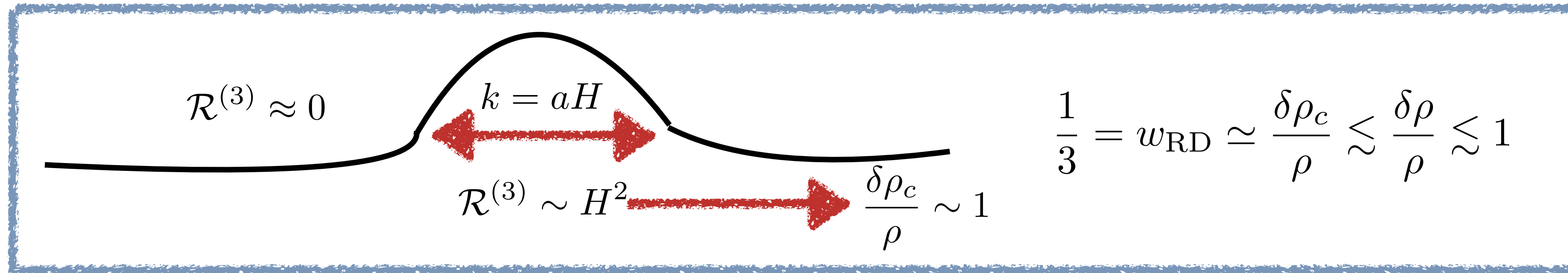


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# Primordial Black Holes



PBHs still a viable candidate for DM, has many other impact in our cosmology.



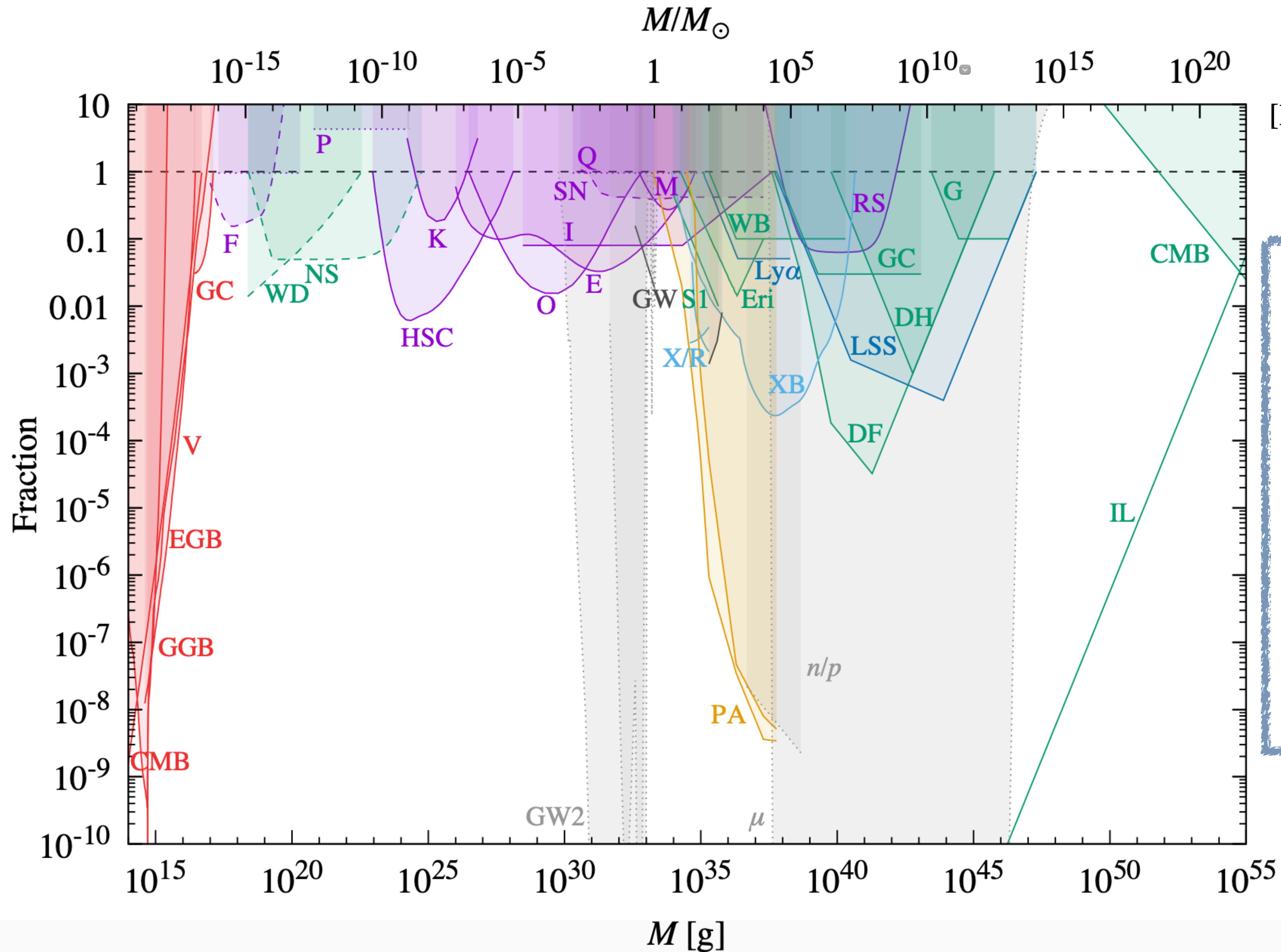
[T. Harada, C.M. Yoo, K. Kohri, arXiv:1309.4201]

PBHs form through the collapse of large over-densities in the density perturbation

$$k \leftrightarrow M_{\text{PBH}} \rightarrow \mathcal{P}_{\mathcal{R}}(k) \rightarrow \mathcal{P}_{\delta}(k) \rightarrow \beta(M) \rightarrow \Omega_{\text{PBH}}$$

$$\frac{M_{\text{PBH}}}{M_{\odot}} \sim \left( \frac{k_*}{3.3 \times 10^6 \text{ Mpc}^{-1}} \right)^{-2} \sim \left( \frac{f_*}{5.0 \times 10^{-9} \text{ Hz}} \right)^{-2}$$

# Current Status of PBH Constraints



[B. Carr et.al, 2002.12778]

Allowed PBH dark matter mass window :  $(10^{-16}, 10^{-12}) M_\odot$

Recent NANOGrav results may indicate PBH DM.

[Z. Arzoumanian et. al.(NANOGrav), 2009.04496]

[V. De Lica, G. Franciolini, A. Riotto, 2009.08268]

[K. Kohri, T. Terada, 2009.11853]

[G. Domènech, S. Phi, 2010.03976]

PBH DM well suitable for interest!



# Second Order Gravitational Waves

Scalar and tensor perturbations couple at “second order metric perturbations”.

$$\Omega_{\text{GW}}(\eta_0, k) = c_g \frac{\Omega_{r,0}}{72} \int_{-\frac{1}{\sqrt{3}}}^{\frac{1}{\sqrt{3}}} dd \int_{\frac{1}{\sqrt{3}}}^{\infty} ds \left[ \frac{(d^2 - 1/3)(s^2 - 1/3)}{s^2 - d^2} \right] \mathcal{P}_\zeta \left( \frac{k\sqrt{3}}{2}(s+d) \right) \mathcal{P}_\zeta \left( \frac{k\sqrt{3}}{2}(s-d) \right) [\mathcal{I}_c^2(x(d,s), y(d,s)) + \mathcal{I}_s^2(x(d,s), y(d,s))]$$

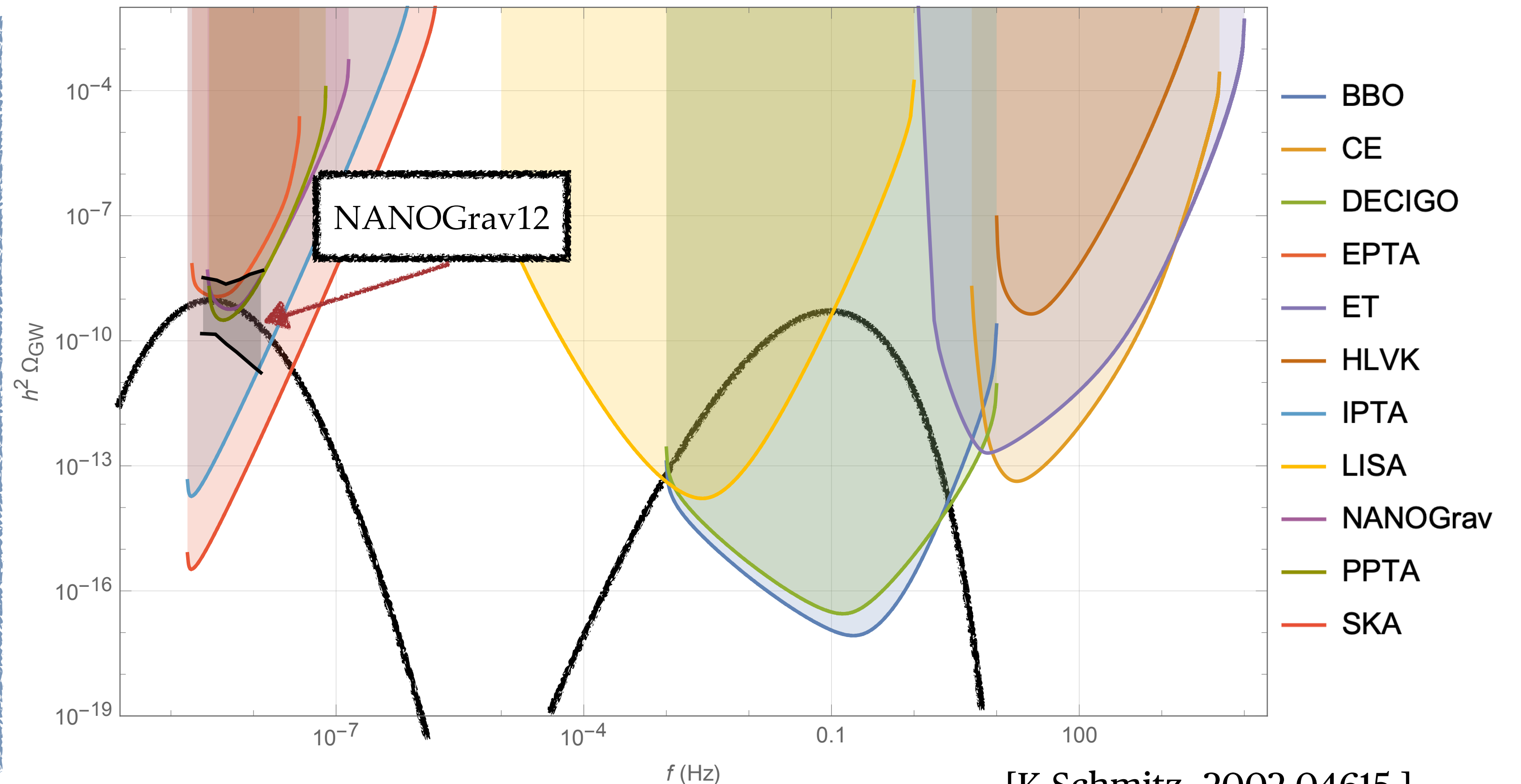
$$\Omega_{\text{GW}} h^2 \sim \frac{1}{12} \Omega_{r,0} h^2 \times \mathcal{P}_{\mathcal{R}}^2 \sim 10^{-6} \mathcal{P}_{\mathcal{R}}^2$$

DECIGO, SKA :  $\mathcal{P}_{\mathcal{R}} \sim 10^{-5}$

LISA, CE, ET :  $\mathcal{P}_{\mathcal{R}} \sim 10^{-4}$

NANOGrav12 :  $\mathcal{P}_{\mathcal{R}} \sim 10^{-2}$

Future GW observatories well involved in probing the small scale.



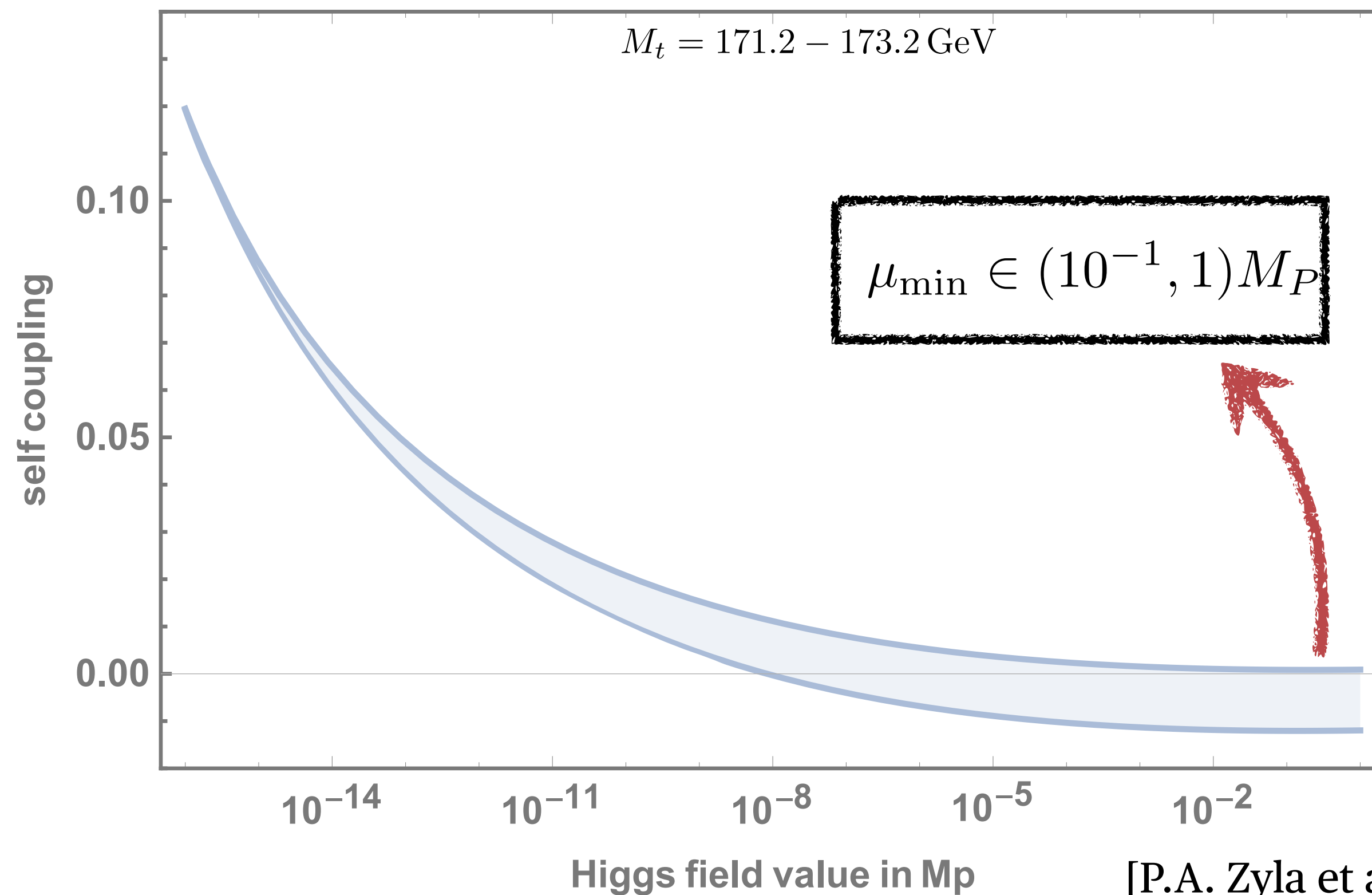
[K.Schmitz, 2002.04615 ]  
[Z. Arzoumanian et al , 2009.04496]



# PBH DM in Inflation

Q. Can a “minimal setup” of inflation in the SM framework produce these enhanced curvature perturbations?

- The Standard Model Higgs self coupling  $\lambda$  runs : critical values possible



## t-Quark Pole Mass from Cross-Section Measurements

VALUE (GeV)	DOCUMENT ID	TECN	COMMENT
<b>172.5 ± 0.7 OUR AVERAGE</b>			
173.1 <sup>+2.0</sup> <sub>-2.1</sub>	1 AAD	20Q ATLS	e + μ + 1 or 2 b-jets
171.1 ± 0.4 ± 0.9 <sup>+0.7</sup> <sub>-0.3</sub>	2 AAD	19G ATLS	ℓ + $\cancel{E}_T$ + ≥ 5 j (2b-j)
173.2 ± 0.9 ± 0.8 ± 1.2	3 AABOUD	17BC ATLS	e + μ + ≥ 1b jets
170.6 ± 2.7	4 SIRUNYAN	17W CMS	ℓ + ≥ 1j
172.8 ± 1.1 <sup>+3.3</sup> <sub>-3.1</sub>	5 ABAZOV	16F D0	ℓℓ, ℓ+jets channels
173.8 <sup>+1.7</sup> <sub>-1.8</sub>	6 KHACHATRY...	16AW CMS	e + μ + $\cancel{E}_T$ + ≥ 0j
173.7 <sup>+2.3</sup> <sub>-2.1</sub>	7 AAD	15BW ATLS	ℓ + $\cancel{E}_T$ + ≥ 5j (2b-tag)
172.9 <sup>+2.5</sup> <sub>-2.6</sub>	8 AAD	14AY ATLS	pp at √s = 7, 8 TeV
• • • We do not use the following data for averages, fits, limits, etc. • • •			
170.5 ± 0.8	9 SIRUNYAN	20BV CMS	t $\bar{t}$ normalized multi-differential cross sections
176.7 <sup>+3.0</sup> <sub>-2.8</sub>	10 CHATRCHYAN 14	CMS	pp at √s = 7 TeV

[P.A. Zyla et al. (Particle Data Group), Prog. Theor. Exp. Phys. 2020, 083C01 (2020)]

Criticality of the Higgs self coupling :  $m_t^{\text{pole}} \lesssim 171.4 \text{ GeV}$

# Higgs- $R^2$ Inflation

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- SM Higgs runs, critical values still valid. Can this near critical behavior induce observable GWs or PBHs?
- “Minimal” critical Higgs inflation insufficient by itself, either a large  $\xi$  running or PBHs remnants are necessary.
  - [J.M. Ezquiaga, J. García-Bellido, E.R. Morales, 1705.04861]
  - [F. Bezrukov, M. Pauly, J. Rubio, 1706.05007]
  - [S. Räsänen, E. Tomberg, 1810.12608]
- Which extensions can alleviate these tensions?
- Single field Higgs inflation “incomplete” by itself!
- Simple UV extension considering the  $R^2$  operator : Higgs- $R^2$  inflation
- Additional scalar d.o.f. : multi field inflation

# (Critical) Higgs- $R^2$ Inflation

$$S_J = \int d^4x \sqrt{-g_J} \left[ \frac{M_P^2}{2} \left( R_J + \frac{\xi h^2}{M_P^2} R_J + \frac{R_J^2}{6M^2} \right) - \frac{1}{2} g^{\mu\nu} \nabla_\mu h \nabla_\nu h - \frac{\lambda}{4} h^4 \right],$$

non minimal coupling
 $R^2$  term
Higgs effective potential

Conformal transformation into Einstein frame

$$S_E = \int d^4x \sqrt{-g} \left[ \frac{M_P^2}{2} R - \frac{1}{2} g^{\mu\nu} \nabla_\mu \phi \nabla_\nu \phi - \frac{1}{2} e^{-\sqrt{\frac{2}{3}} \frac{\phi}{M_P}} g^{\mu\nu} \nabla_\mu h \nabla_\nu h - U(\phi, h) \right]$$

$$U(\phi, h) \equiv e^{-2\sqrt{\frac{2}{3}} \frac{\phi}{M_P}} \left\{ \frac{3}{4} M_P^2 M^2 \left( e^{\sqrt{\frac{2}{3}} \frac{\phi}{M_P}} - 1 - \frac{\xi h^2}{M_P^2} \right)^2 + \frac{\lambda_{\text{eff}}}{4} h^4 \right\}$$

$$\lambda_{\text{eff}}(\mu)|_{\mu=h} = \lambda_{\text{min}} + b \ln \left( \frac{h}{h_{\text{min}}} \right)^2$$

➡ Critically flat potential induced!

Y. Ema, Phys. Lett. B770:403-411, 2017

Y-C. Wang, T. Wang, Phys. Rev. D96(12):123506, 2017

M.He, A. A. Starobinsky, J. Yokoyama, JCAP, 1805(05):064, 2018

DYC, S.M. Lee, S.C. Park, arXiv: 1912.12032 [hep-ph]



# Higgs- $R^2$ Inflation - Background Dynamics

[DYC, S.M. Lee, S.C. Park, 1912.12032]

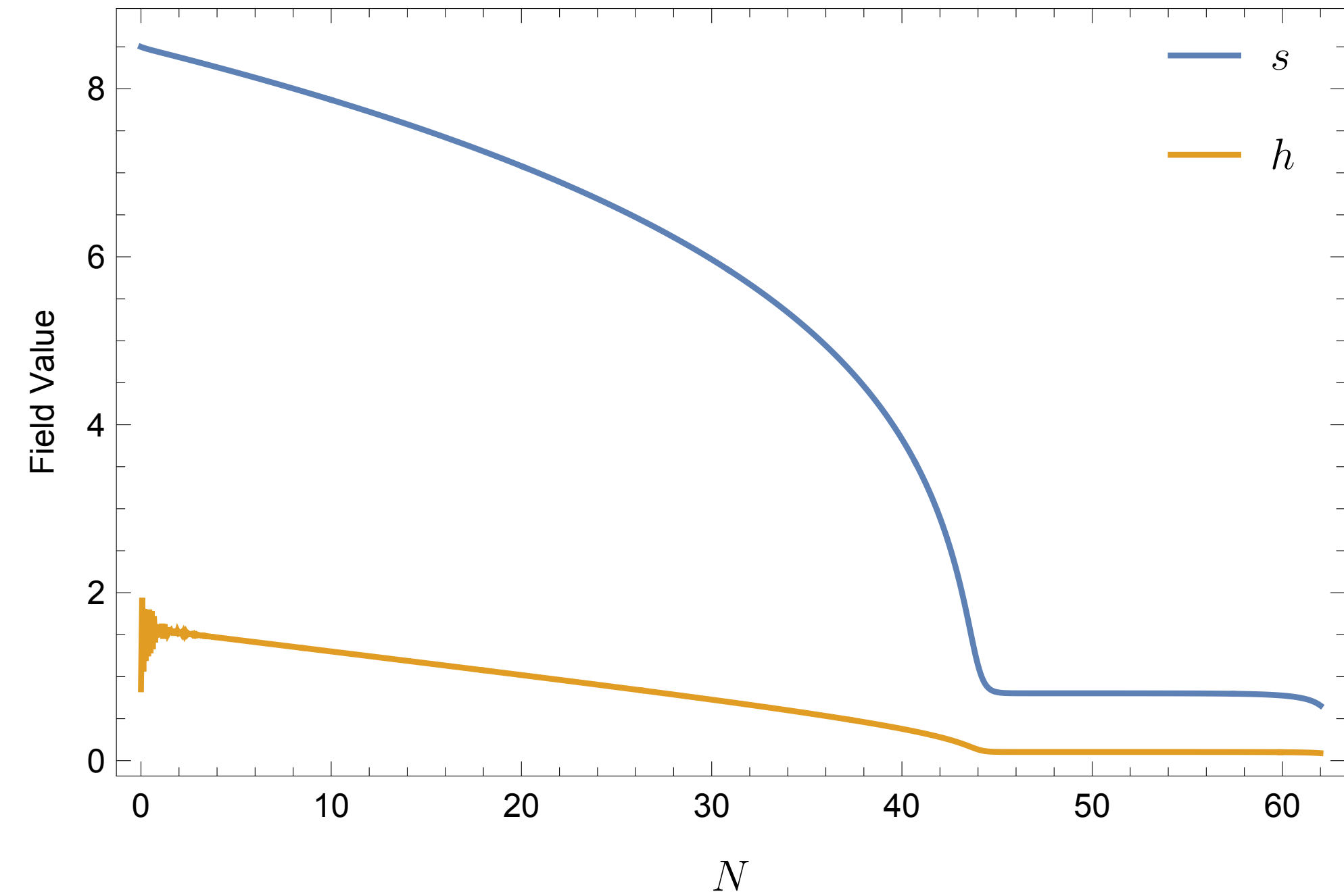
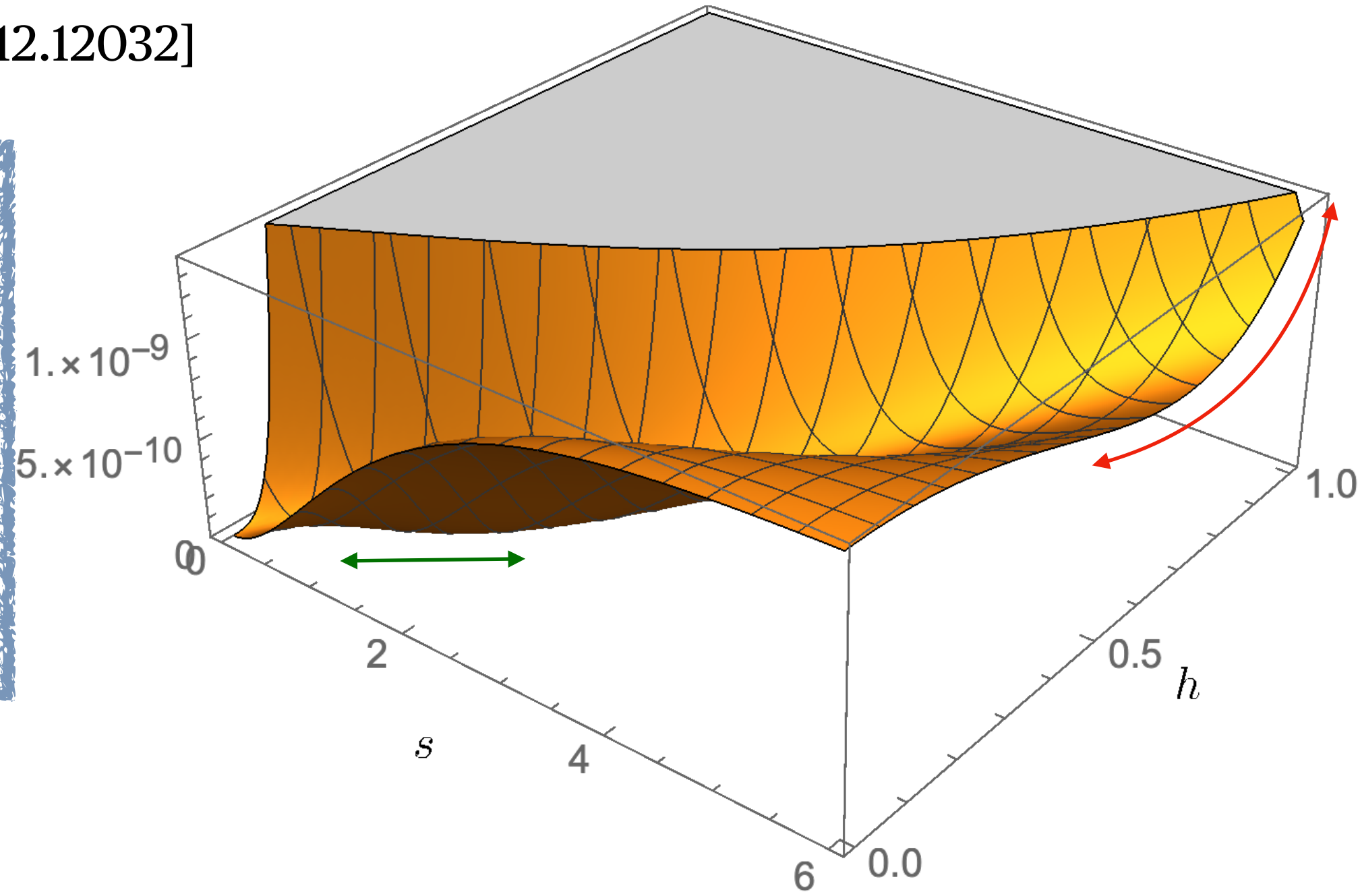
$$M = 4.2 \times 10^{-5} M_P$$

$$\xi = 79$$

$$h_{\min} = 0.15 M_P$$

$$b = 2 \times 10^{-5}$$

$$\lambda_{\min} = 4.11087 \times 10^{-6}$$

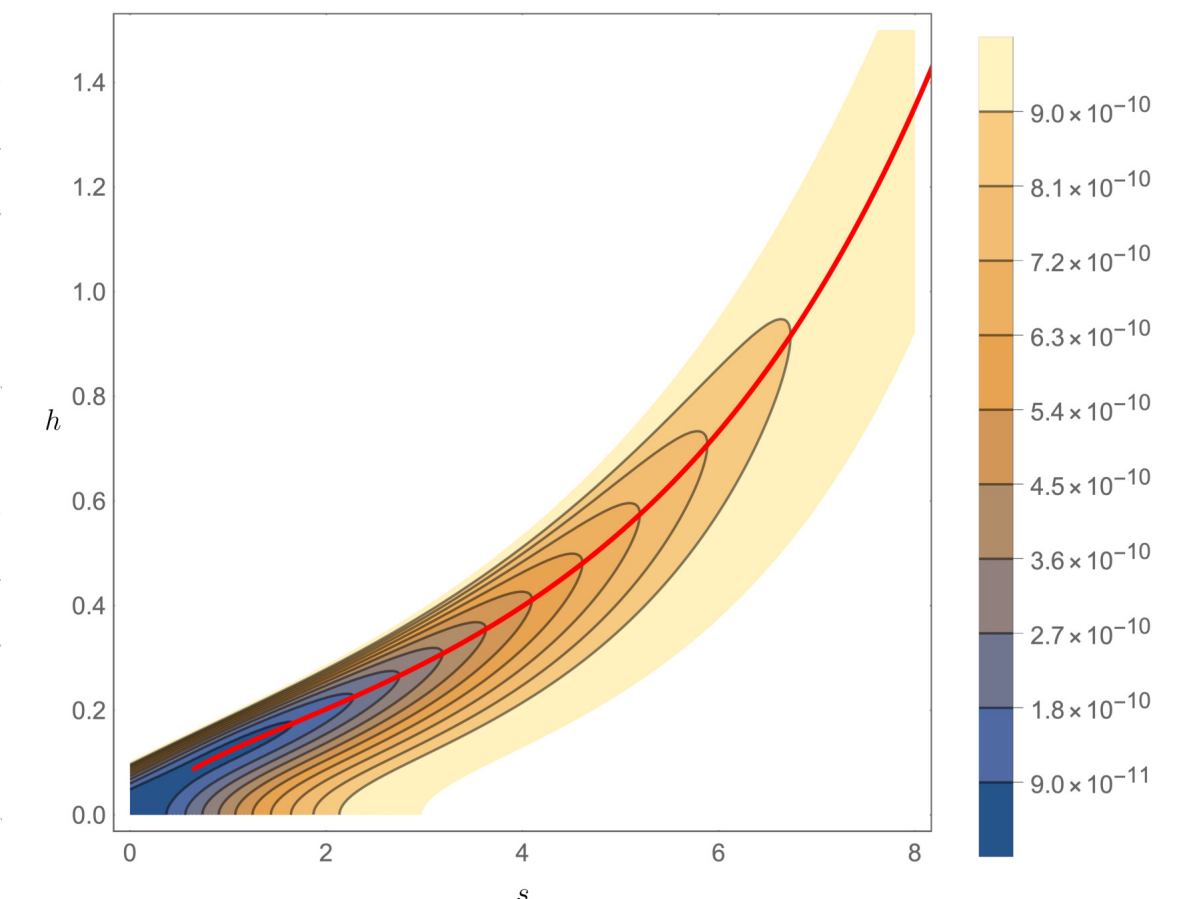


Valley structure : Inflaton rolls along the “minimum”  $D_h U = 0$

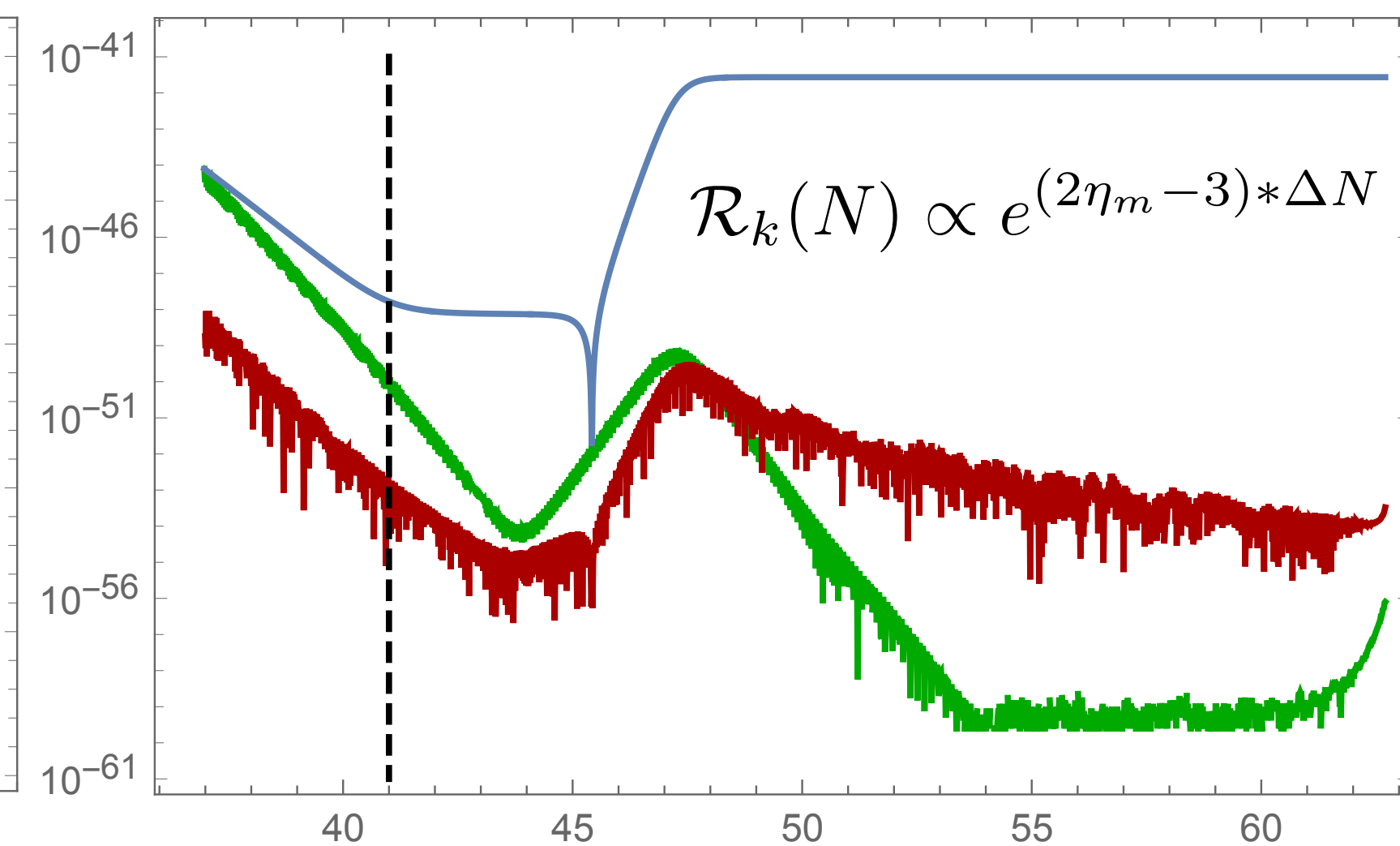
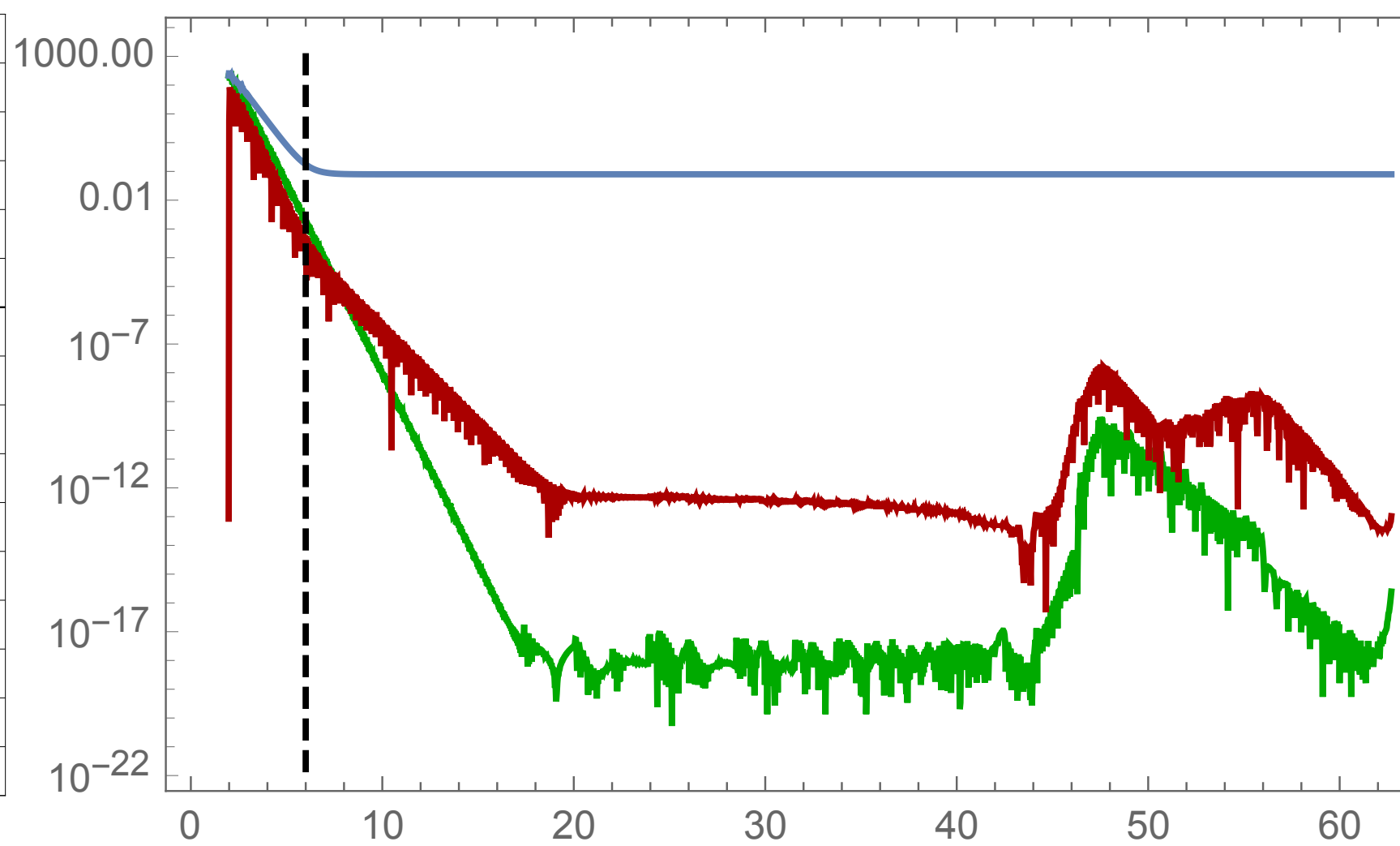
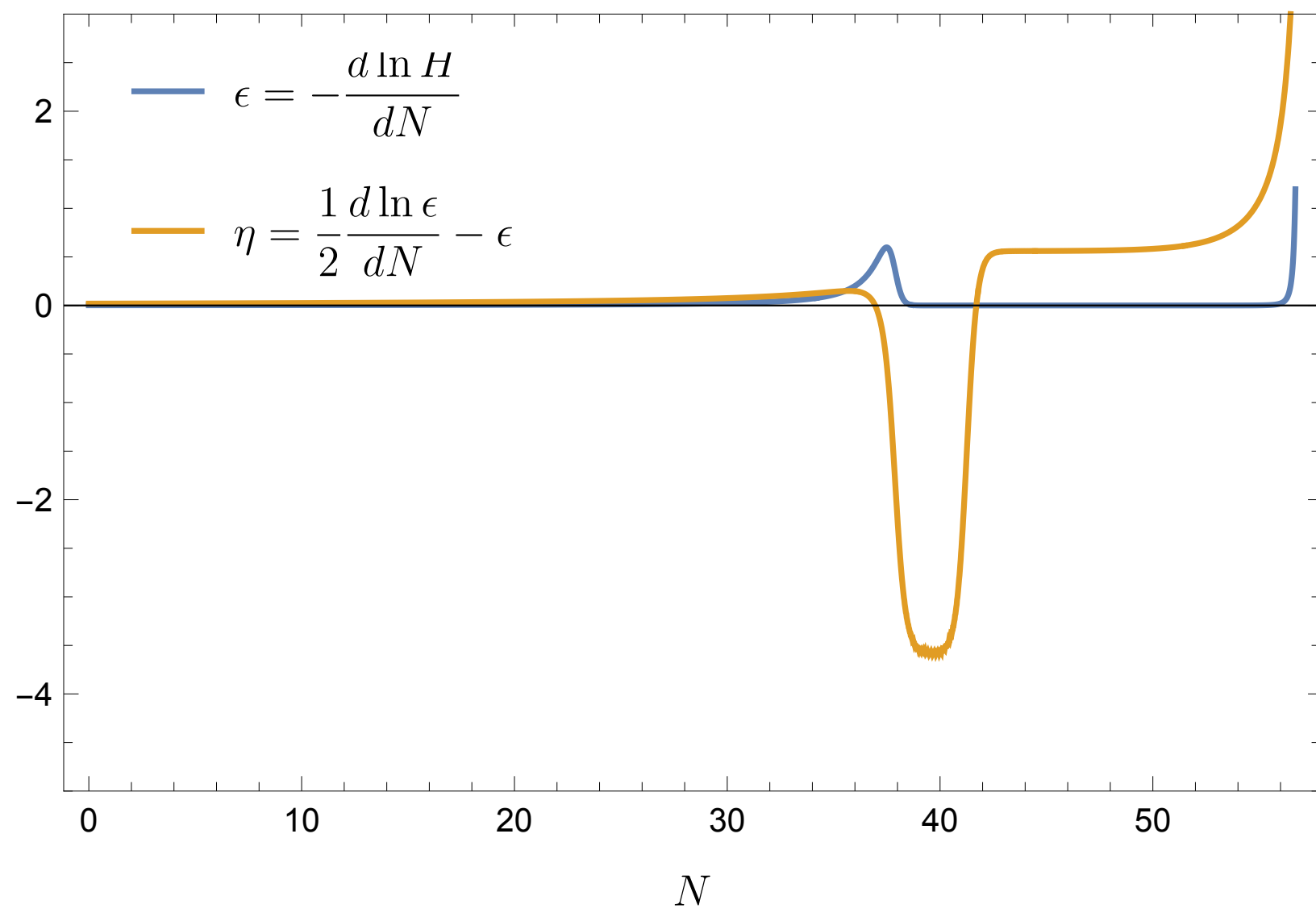
2nd plateau from the saddle point at small scales  $\phi \sim 1 M_{Pl}$ .

$$\partial U / \partial \phi |_{\phi=\phi^*} = 0, \quad \partial U / \partial h |_{h=h^*} = 0 \quad \text{Hess}(U) = \begin{vmatrix} D_\phi (\partial_\phi U) & D_\phi (\partial_h U) \\ D_h (\partial_\phi U) & D_h (\partial_h U) \end{vmatrix}_{\phi=\phi^*, h=h^*} = 0$$

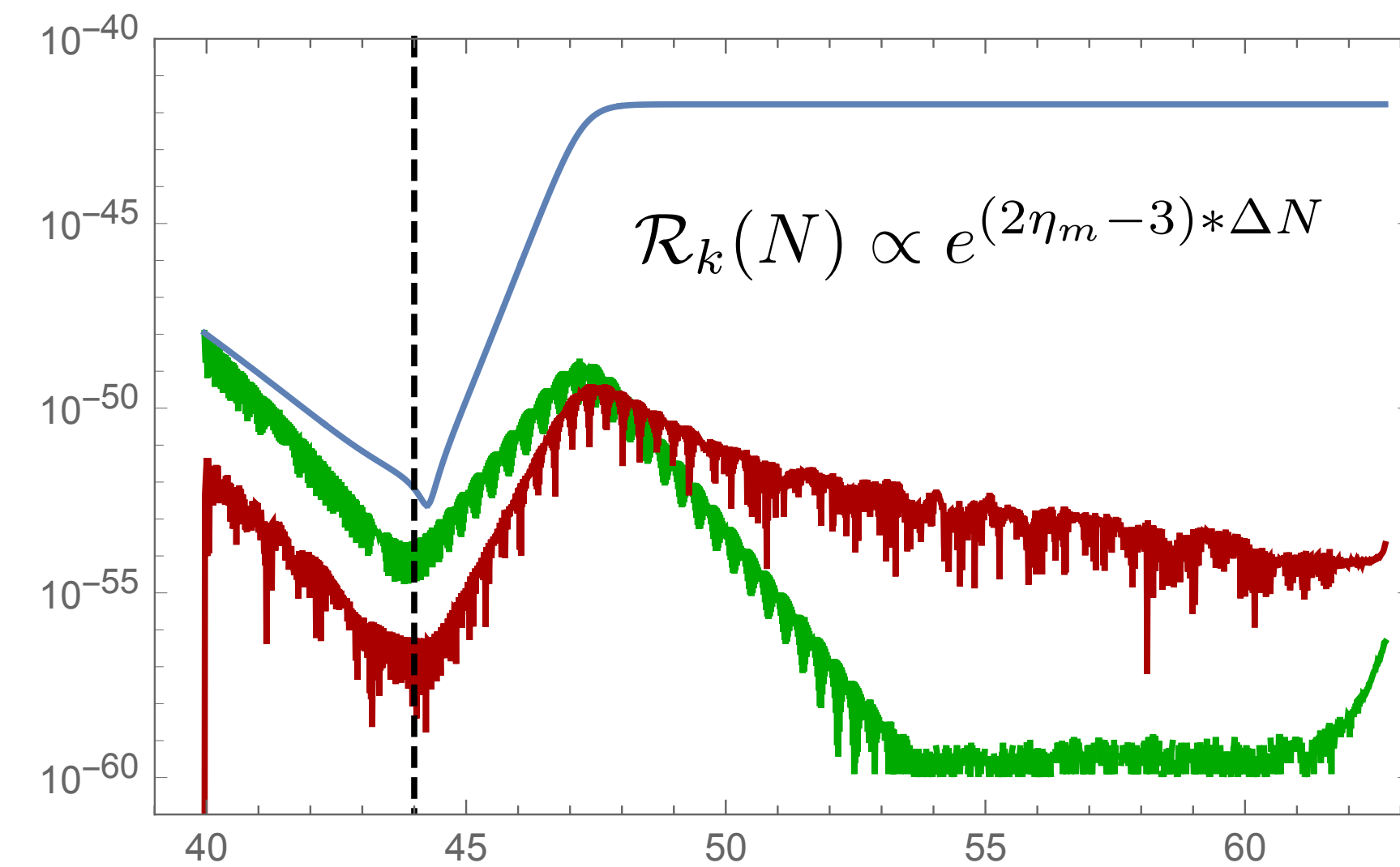
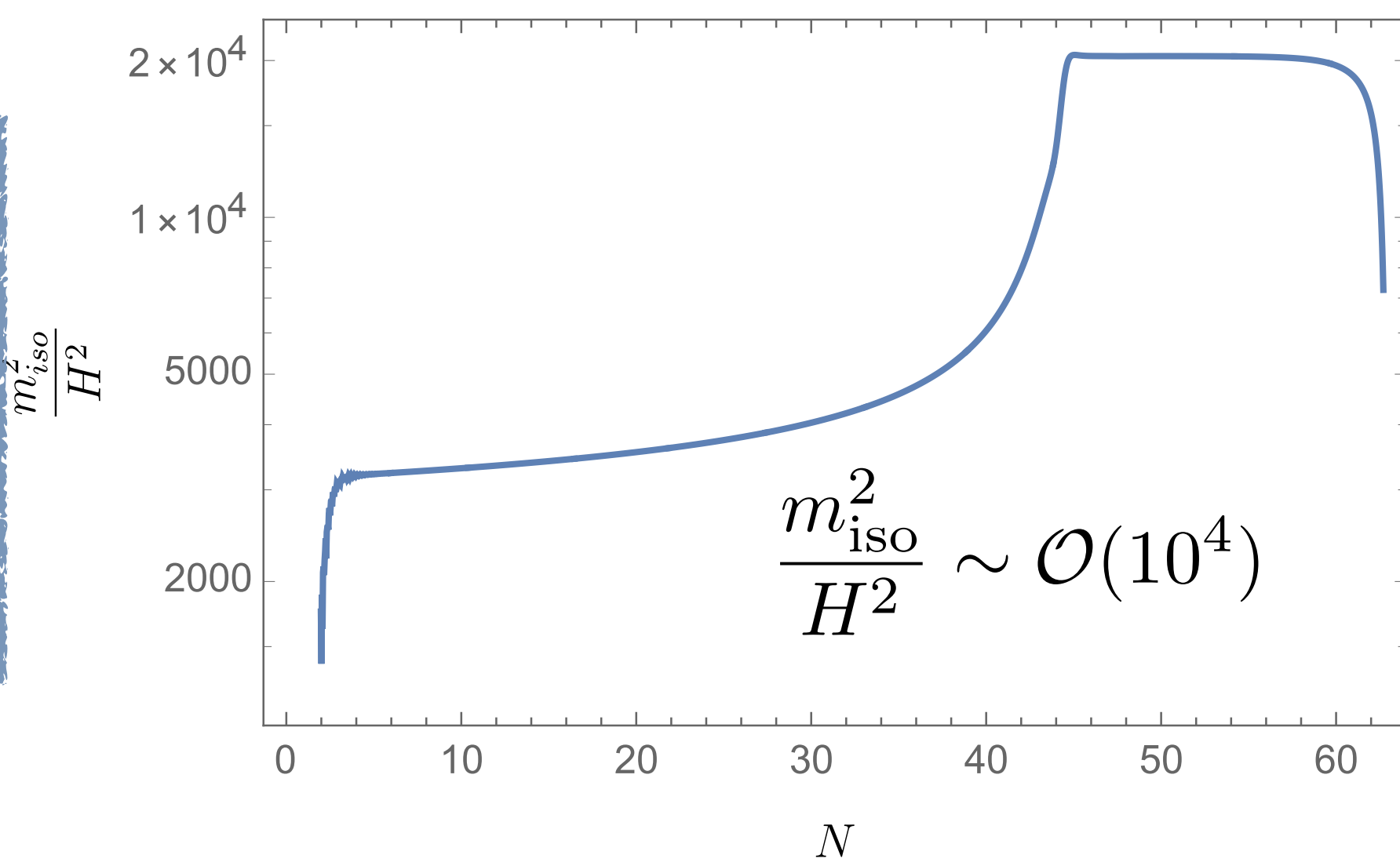
$$\lambda_{\min} = -\frac{2b \ln^3 \left( \frac{h_0}{h_{\min}} \right)}{2 \ln \left( \frac{h_0}{h_{\min}} \right) + 1} - \delta \quad \xi = \frac{-4 \ln \left( \frac{h_0}{h_{\min}} \right) - 1}{h_0^2 \left( 2 \ln \left( \frac{h_0}{h_{\min}} \right) + 1 \right)}$$



# Higgs- $R^2$ Inflation - Inflationary Dynamics

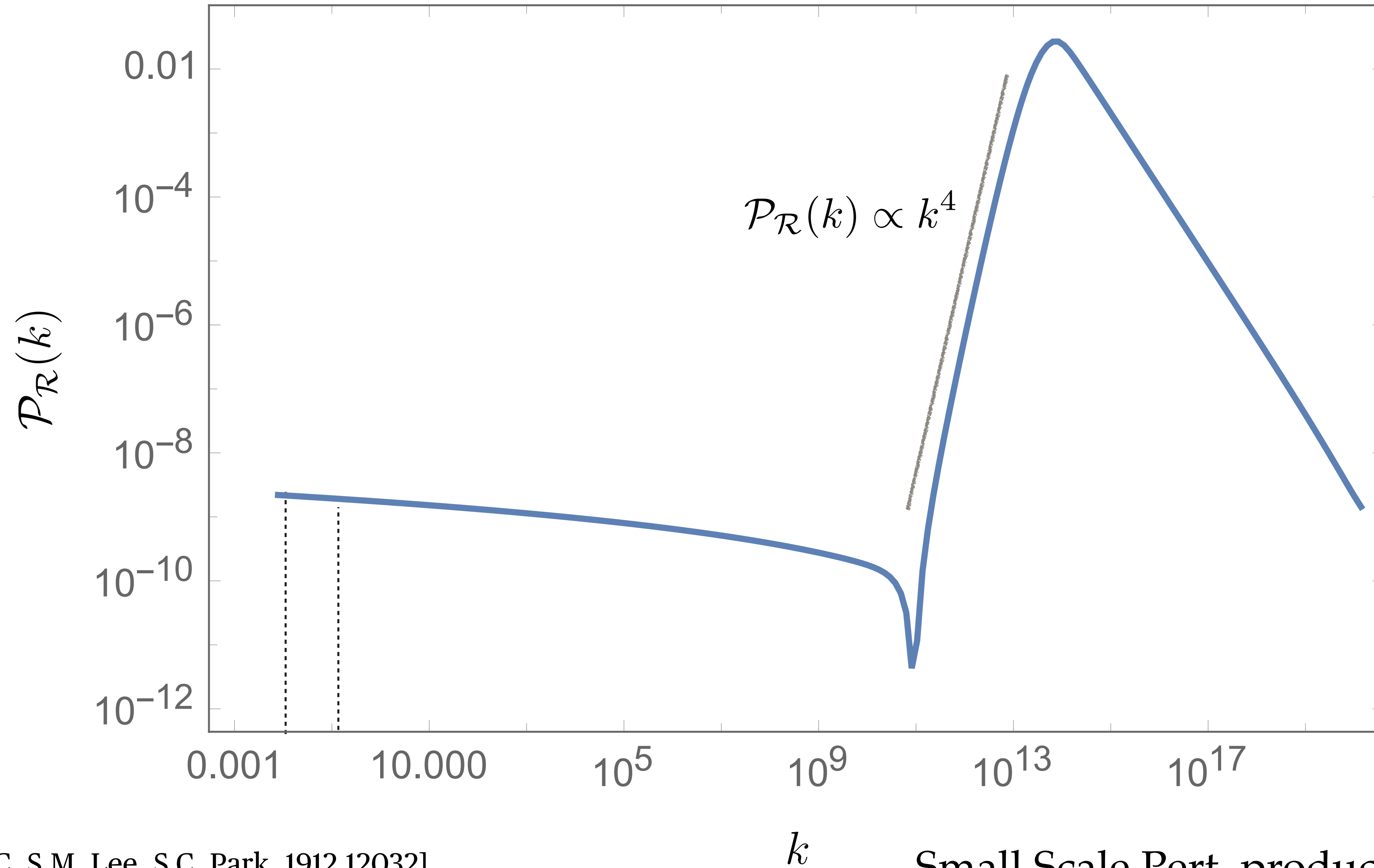


USR phase induced by  $\lambda(h)$   
 Growing mode in  $\mathcal{R}_k$   
 $\eta_m \sim 3.5 \gg 1$



[DYC, S.M. Lee, S.C. Park, 1912.12032]

# Higgs- $R^2$ Inflation - Power Spectrum

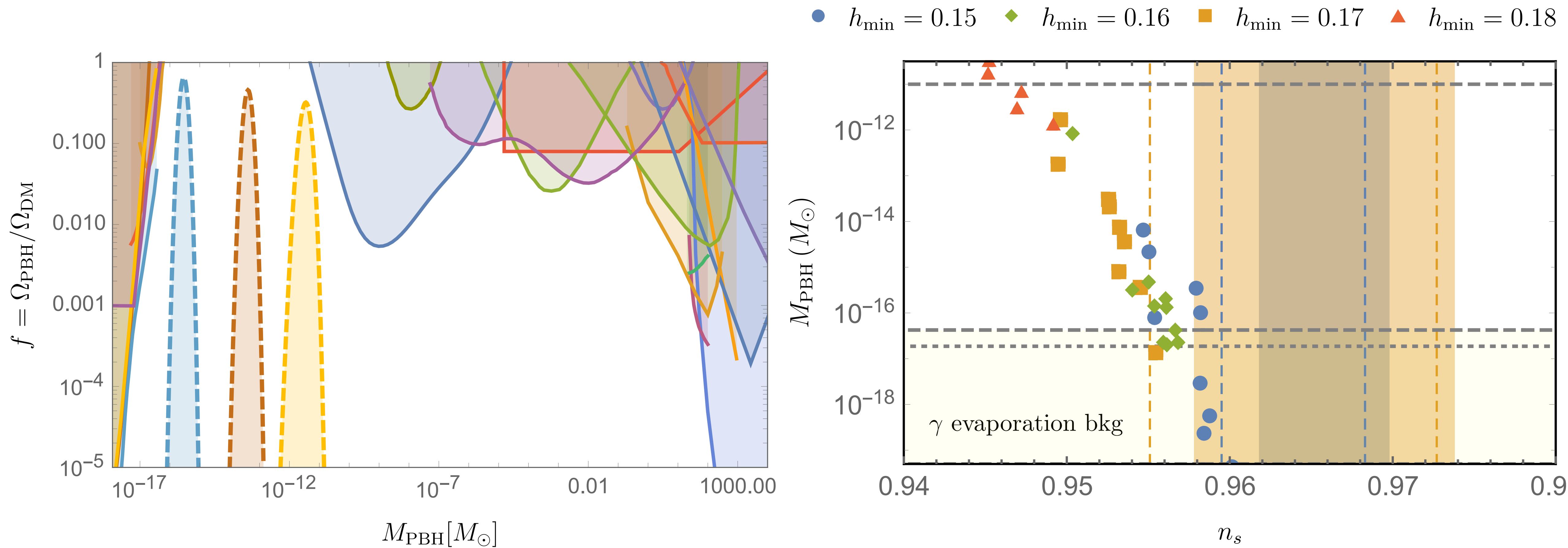


[DYC, S.M. Lee, S.C. Park, 1912.12032]

Small Scale Pert. produce PBHs and GWs

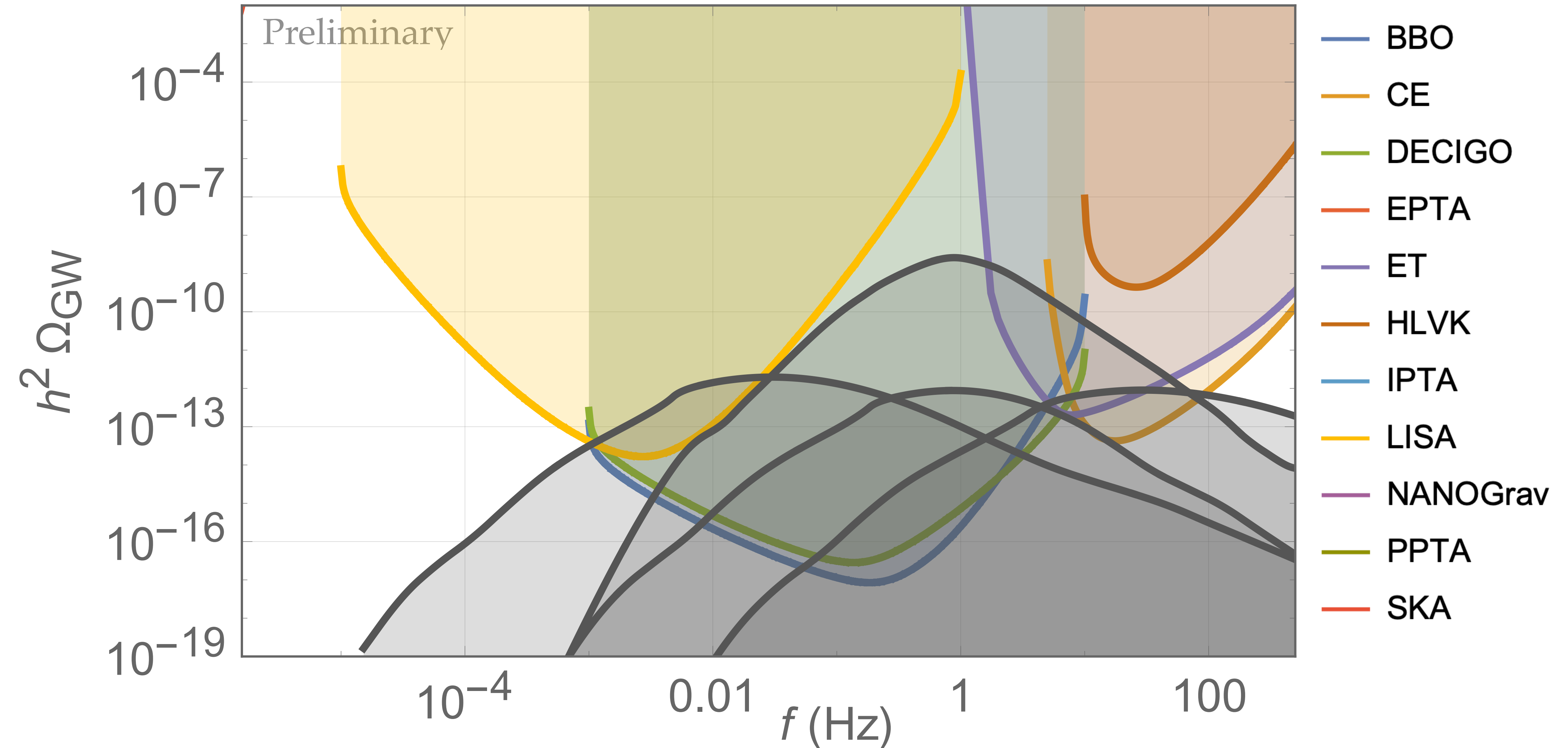


# Higgs- $R^2$ Inflation - PBH abundance



[DYC, S.M. Lee, S.C. Park, 1912.12032]

# Higgs- $R^2$ Inflation - Gravitational Waves



LISA, DECIGO, CE, ET available to probe wider parameter range compared to PBHs

# Conclusions & Outlooks

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- ❖ Primordial Black Holes, still an appealing DM candidate, can be produced through large curvature perturbations.
- ❖ Secondary gravitational waves associated with the large curvature perturbations are expected to be probed at gravitational wave observatories.
- ❖ The Higgs- $R^2$  model, being a minimal UV extension to Higgs inflation, can give a significant PBH abundance within the mass range  $M_{\text{PBH}} \in (10^{-16}, 10^{-15})M_{\odot}$
- ❖ Future gravitational wave observatories will be able to constrain more ranges of the model regardless of PBH formation, hints for low energy particle physics details?
- ❖ Focused on effective single field USR, parameter space limited, end of story? Is there a multi field trajectory that exhibits small scale phenomena?



“Thank you!”