

# Discrete Fourier Transformation

- Simon's algorithm  $\longrightarrow$  Shor's algorithm (factoring numbers) makes use of QFT.
- Discrete Fourier Transformation (DFT): signal processing, quantum theory (position  $\leftrightarrow$  momentum).
- Assume a vector  $f$  of  $N$  complex numbers:  $f_k, k = 0, 1, \dots, N - 1$
- DFT is a mapping from  $N$  complex # to  $N$  complex #.

$$\text{DFT : } f_k \longrightarrow \tilde{f}_j = \frac{1}{\sqrt{N}} \sum_{k=0}^{N-1} w^{-jk} f_k \quad w = \exp\left(\frac{2\pi i}{N}\right)$$

$$\text{Inverse DFT : } \tilde{f}_k \longrightarrow f_j = \frac{1}{\sqrt{N}} \sum_{k=0}^{N-1} w^{jk} \tilde{f}_k$$

$$f_j = \frac{1}{\sqrt{N}} \sum_{k=0}^{N-1} w^{jk} \tilde{f}_k = \frac{1}{\sqrt{N}} \sum_{k=0}^{N-1} w^{jk} \left( \frac{1}{\sqrt{N}} \sum_{\ell=0}^{N-1} w^{-\ell k} f_\ell \right) = \frac{1}{N} \sum_{\ell} \sum_{k=0}^{N-1} w^{(j-\ell)k} f_\ell = \sum_{\ell} f_\ell \delta_{j\ell} = f_j$$

nonzero only  
when  $j = \ell$

$$\frac{1}{N} \sum_{k=0}^{N-1} w^{(j-\ell)k} = \delta_{j\ell}$$

$$\frac{1}{N} \sum_{k=0}^{N-1} w^{(j-\ell)k} = \begin{cases} \frac{1}{N} \frac{1 - \exp\left(\frac{2\pi i}{N}(j-\ell)N\right)}{1 - \exp\left(\frac{2\pi i}{N}\right)} = 0, & \text{if } j \neq \ell \\ 1, & \text{if } j = \ell \end{cases}$$

# Discrete Fourier Transformation

- Convolution (circular convolution, periodic convolution, cyclic convolution)

$$(f * g)_i = \sum_{j=0}^{N-1} f_j g_{i-j}, \quad \text{where } g_{-m} = g_{N-m} \text{ (periodic condition)}$$

- DFT turns convolution into point wise vector multiplication.

$$\text{DFT of } f * g = \tilde{c}_k = \tilde{f}_k \tilde{g}_k$$

$$\begin{aligned} \tilde{c}_k &= \frac{1}{\sqrt{N}} \sum_{k=0}^{N-1} w^{-jk} (f * g)_j = \frac{1}{\sqrt{N}} \sum_{k=0}^{N-1} w^{-jk} \left( \sum_{i=0}^{N-1} f_i g_{j-i} \right) \\ &= \frac{1}{\sqrt{N}} \sum_{k=0}^{N-1} w^{-jk} \sum_{i=0}^{N-1} \left( \frac{1}{\sqrt{N}} \sum_{\ell} w^{i\ell} \tilde{f}_{\ell} \right) \left( \frac{1}{\sqrt{N}} \sum_m w^{(j-i)m} \tilde{g}_m \right) = \frac{1}{\sqrt{N}^3} \sum_{j,i,\ell,m} \tilde{f}_{\ell} \tilde{g}_m \underbrace{w^{-jk} w^{i\ell} w^{jm} w^{-im}}_{\delta_{\ell k}} = \tilde{f}_k \tilde{g}_k \end{aligned}$$

$$\text{DFT : } f_k \longrightarrow \tilde{f}_j = \frac{1}{\sqrt{N}} \sum_{k=0}^{N-1} w^{-jk} f_k$$

$$\text{Inverse DFT : } \tilde{f}_k \longrightarrow f_j = \frac{1}{\sqrt{N}} \sum_{k=0}^{N-1} w^{jk} \tilde{f}_k$$

$$\frac{1}{N} \sum_{k=0}^{N-1} w^{(j-\ell)k} = \delta_{j\ell}$$

$$w = \exp\left(\frac{2\pi i}{N}\right)$$

# Fast Fourier Transformation

# Quantum Fourier Transformation

- For classical discrete Fourier transformation

$$y_k = \frac{1}{\sqrt{2^n}} \sum_{j=0}^{2^n-1} w^{jk} x_j \quad w = \exp\left(\frac{2\pi i}{2^n}\right) \quad N = 2^n$$

- QFT is defined similarly

$$F : |j\rangle \longrightarrow \frac{1}{\sqrt{2^n}} \sum_{k=0}^{2^n-1} w^{jk} x_k = F |j\rangle$$

- For arbitrary quantum states,

$$F : |x\rangle = \frac{1}{\sqrt{2^n}} \sum_{j=0}^{2^n-1} x_j |j\rangle \longrightarrow |y\rangle = \frac{1}{\sqrt{2^n}} \sum_{k=0}^{2^n-1} y_k |k\rangle$$

$$F |x\rangle = \frac{1}{\sqrt{2^n}} \sum_{j=0}^{2^n-1} x_j F |j\rangle = \frac{1}{\sqrt{2^n}} \sum_{j=0}^{2^n-1} \frac{1}{\sqrt{2^n}} \sum_{k=0}^{2^n-1} x_j w^{jk} |k\rangle$$

- For a single quantum state,

$$F |j\rangle = \frac{1}{\sqrt{2^n}} \sum_{k=0}^{2^n-1} w^{jk} |k\rangle \quad F |j'\rangle = \frac{1}{\sqrt{2^n}} \sum_{k'=0}^{2^n-1} w^{j'k'} |k'\rangle$$

$$\langle j' | F^\dagger F |j\rangle = \frac{1}{2^n} \sum_{k=0}^{2^n-1} \sum_{k'=0}^{2^n-1} w^{-j'k'} w^{jk} \langle k' | k\rangle = \frac{1}{2^n} \sum_{k=0}^{2^n-1} w^{(j-j')k} = \delta_{jj'}$$

$$\frac{1}{2^n} \sum_{k=0}^{2^n-1} w^{(j-\ell)k} = \delta_{j\ell}$$

$F^\dagger F = 1$  and QFT is a unitary transformation.

# Quantum Fourier Transformation

For  $j = j_1 2^{n-1} + j_2 2^{n-2} + \dots + j_n 2^0 = \sum_{i=1}^n j_i 2^{n-i}$

$$k = k_1 2^{n-1} + k_2 2^{n-2} + \dots + k_n 2^0 = \sum_{i=1}^n k_i 2^{n-i}$$

$$\frac{1}{2^n} \sum_{k=0}^{2^n-1} w^{(j-\ell)k} = \delta_{j\ell}$$

$$F |j\rangle = \frac{1}{\sqrt{2}^n} \sum_{k=0}^{2^n-1} w^{jk} |k\rangle = \frac{1}{\sqrt{2}^n} \sum_{k=0}^{2^n-1} \exp\left(\frac{2\pi ij}{2^n} \sum_{\ell=1}^n k_\ell 2^{n-\ell}\right) |k\rangle$$

$$= \frac{1}{\sqrt{2}^n} \sum_{k=0}^{2^n-1} \exp\left(2\pi ij \sum_{\ell=1}^n k_\ell 2^{-\ell}\right) |k\rangle$$

$$= \frac{1}{\sqrt{2}^n} \sum_{k=0}^{2^n-1} \exp\left(2\pi ijk_1 2^{-1}\right) \exp\left(2\pi ijk_2 2^{-2}\right) \dots \exp\left(2\pi ijk_n 2^{-n}\right) |k\rangle$$

$$= \frac{1}{\sqrt{2}^n} \sum_{k_1=0}^1 \dots \sum_{k_n=0}^1 \exp\left(2\pi ijk_1 2^{-1}\right) \exp\left(2\pi ijk_2 2^{-2}\right) \dots \exp\left(2\pi ijk_n 2^{-n}\right) |k_1 k_2 \dots k_n\rangle$$

$$\underbrace{\quad\quad\quad}_{= |0\rangle + \exp\left(2\pi ij 2^{-n}\right) |1\rangle}$$

# Quantum Fourier Transformation

$$F |j\rangle = \frac{1}{\sqrt{2}^n} \left( |0\rangle + \exp\left(\frac{2\pi ij}{2}\right) |1\rangle \right) \left( |0\rangle + \exp\left(\frac{2\pi ij}{2^2}\right) |1\rangle \right) \cdots \left( |0\rangle + \exp\left(\frac{2\pi ij}{2^n}\right) |1\rangle \right)$$

$$= \frac{1}{\sqrt{2}^n} \bigotimes_{k=1}^n \left( |0\rangle + \exp\left(\frac{2\pi ij}{2^k}\right) |1\rangle \right)$$

$$j_i = 0, 1$$

- Binary fraction = expression in power of 1/2

$$1 \leq k \leq n$$

In decimal form:  $0.j_\ell j_{\ell+1} \cdots j_m = \frac{j_\ell}{2} + \frac{j_{\ell+1}}{2^2} + \cdots + \frac{j_m}{2^{m-\ell+1}}$   $0 \leq j \leq 2^n - 1$

$j$  is not necessarily an integer:  $\frac{j}{2^k} = j_1 j_2 \cdots j_{n-k} \cdot j_{n-k+1} \cdots j_n = \sum_{\nu=1}^n j_\nu 2^{n-\nu-k}$

If  $n = 8$  and  $k = 3$ ,  $j = j_1 2^7 + j_2 2^6 + j_3 2^5 + j_4 2^4 + j_5 2^3 + j_6 2^2 + j_7 2^1 + j_8 2^0$

$$\frac{j}{2^3} = j_1 2^4 + j_2 2^3 + j_3 2^2 + j_4 2^1 + j_5 2^0 + j_6 2^{-1} + j_7 2^{-2} + j_8 2^{-3}$$



$$j_1 j_2 j_3 j_4 j_5 \cdot j_6 j_7 j_8$$

binary fraction:  $0.j_6 j_7 j_8$

# Quantum Fourier Transformation

$$j = j_1 2^{n-1} + j_2 2^{n-2} + \dots + j_{n-3} 2^3 + j_{n-2} 2^2 + j_{n-1} 2^1 + j_1 2^0 = \sum_{\nu=1}^n j_{\nu} 2^{n-\nu}$$

$$\begin{aligned} \frac{j}{2^k} &= \frac{j_1 2^{n-1} + j_2 2^{n-2} + \dots + j_{n-3} 2^3 + j_{n-2} 2^2 + j_{n-1} 2^1 + j_1 2^0}{2^k} = \sum_{\nu=1}^n \frac{j_{\nu} 2^{n-\nu}}{2^k} = \sum_{\nu=1}^n j_{\nu} 2^{n-\nu-k} \\ &= j_1 j_2 \dots j_{n-k} \cdot j_{n-k+1} \dots j_n \end{aligned}$$

$$\exp\left(2\pi i \frac{j}{2^k}\right) = \exp\left(2\pi i 0 . j_{n-k-1} \dots j_n\right)$$

$$\begin{aligned} F|j\rangle &= \frac{1}{\sqrt{2}^n} \left( |0\rangle + \exp\left(\frac{2\pi i j}{2}\right) |1\rangle \right) \left( |0\rangle + \exp\left(\frac{2\pi i j}{2^2}\right) |1\rangle \right) \dots \left( |0\rangle + \exp\left(\frac{2\pi i j}{2^n}\right) |1\rangle \right) \\ &= \frac{1}{\sqrt{2}^n} \bigotimes_{k=1}^n \left( |0\rangle + \exp\left(\frac{2\pi i j}{2^k}\right) |1\rangle \right) = \frac{1}{\sqrt{2}^n} \bigotimes_{k=1}^n \left( |0\rangle + \exp\left(2\pi i 0 . j_{n-k-1} \dots j_n\right) |1\rangle \right) \\ &= \frac{1}{\sqrt{2}^n} \left( |0\rangle + \exp\left(2\pi i 0 . j_n\right) |1\rangle \right) \left( |0\rangle + \exp\left(2\pi i 0 . j_{n-1} j_{n-2}\right) |1\rangle \right) \\ &\quad \dots \left( |0\rangle + \exp\left(2\pi i 0 . j_1 j_2 \dots j_n\right) |1\rangle \right) \end{aligned}$$

# Quantum Circuit for QFT

- $|j_\ell\rangle$  transforms into  $\frac{1}{\sqrt{2}} \left[ |0\rangle + \exp\left(2\pi i 0.j_\ell \dots j_n\right) |1\rangle \right]$

$$= \frac{1}{\sqrt{2}} \left[ |0\rangle + \underbrace{e^{2\pi i 0.j_\ell}}_{(-1)^{j_\ell}} \underbrace{e^{2\pi i 0.j_{\ell+1} \dots j_n / 2}}_{\text{use } R_k} |1\rangle \right]$$

$$\exp\left(2\pi i \frac{j_\ell}{2}\right) = \exp\left(\pi i j_\ell\right) = (-1)^{j_\ell}$$

$$\text{use } R_k = \begin{pmatrix} 1 & 0 \\ 0 & e^{2\pi i / 2^k} \end{pmatrix}$$

Controlled by the value of  $j_k$ th qubit.

$$\text{if } \begin{cases} j_k = 0, & R_k = 1 \\ j_k = 1, & R_k \end{cases}$$

1st qubit:

 $|0\rangle + \exp\left(2\pi i 0.j_\ell \dots j_n\right) |1\rangle$

Start with  $|j\rangle = |j_2\rangle |j_2 j_3 \dots j_n\rangle \xrightarrow{H_1} \frac{1}{\sqrt{2}} \left( |0\rangle + (-1)^{j_1} |1\rangle \right) |j_2 j_3 \dots j_n\rangle$

$$= \frac{1}{\sqrt{2}} \left( |0\rangle + e^{2\pi i 0.j_1} |1\rangle \right) |j_2 j_3 \dots j_n\rangle$$

$R_2$  on  $q_1$  with  $q_2$  control

$$\xrightarrow{\hspace{2cm}} \frac{1}{\sqrt{2}} \left( |0\rangle + e^{2\pi i 0.j_1} e^{2\pi i j_2 / 2^2} |1\rangle \right) |j_2 j_3 \dots j_n\rangle$$

$$= \frac{1}{\sqrt{2}} \left( |0\rangle + e^{2\pi i 0.j_1 j_2} |1\rangle \right) |j_2 j_3 \dots j_n\rangle$$



# Quantum Circuit for QFT

$$\begin{array}{l}
 \text{R}_3 \text{ on } q_1 \text{ with } q_3 \text{ control} \\
 \hline
 \text{continue down} \\
 \hline
 \text{to } q_n
 \end{array}
 \rightarrow
 \frac{1}{\sqrt{2}} \left( |0\rangle + e^{2\pi i 0.j_1 j_2 j_3} |1\rangle \right) |j_2 j_3 \dots j_n\rangle$$

$$\frac{1}{\sqrt{2}} \left( |0\rangle + e^{2\pi i 0.j_1 j_2 j_3 \dots j_n} |1\rangle \right) |j_2 j_3 \dots j_n\rangle$$

The entire procedure is repeated for all other qubits,  $j_2, j_3, \dots, j_n$

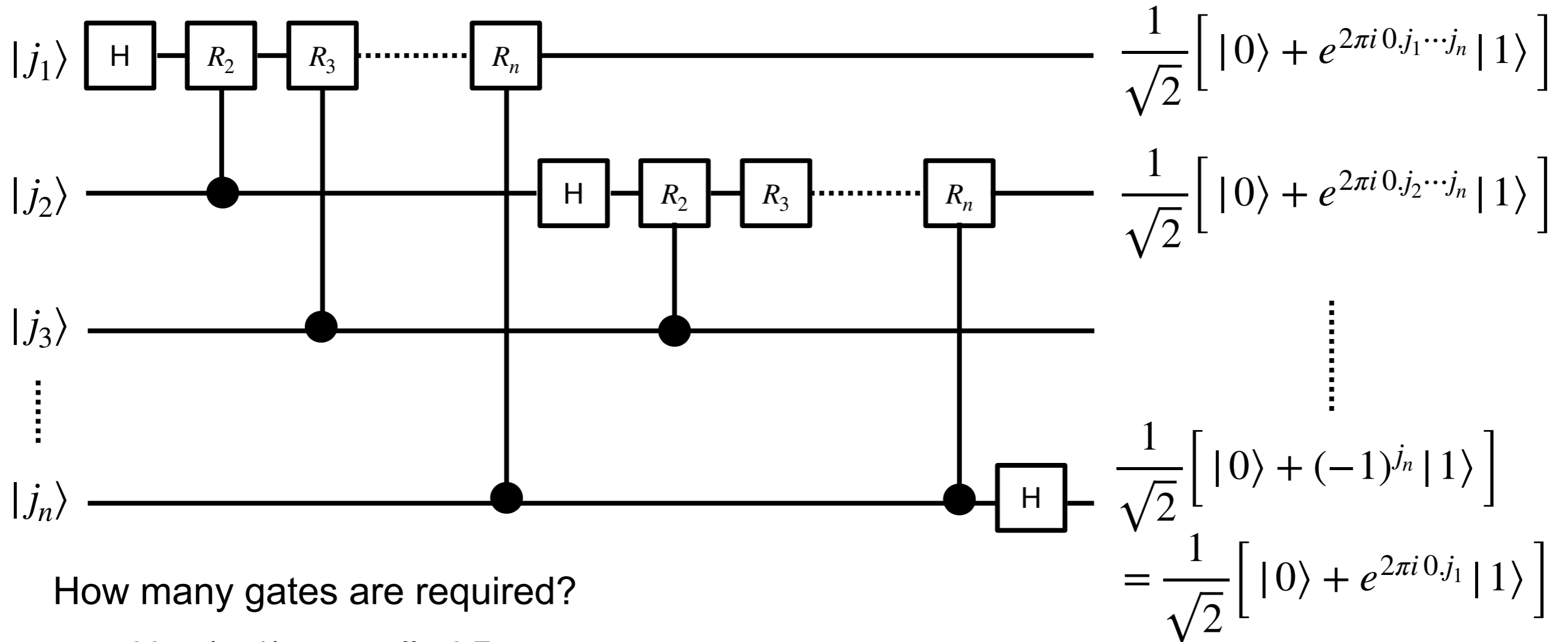
$$\frac{1}{\sqrt{2}^n} \left[ |0\rangle + e^{2\pi i 0.j_1 \dots j_n} |1\rangle \right] \left[ |0\rangle + e^{2\pi i 0.j_2 \dots j_n} |1\rangle \right] \dots \left[ |0\rangle + e^{2\pi i 0.j_n} |1\rangle \right]$$

Use SWAP gate or relabel to obtain:

$$F |j\rangle = \frac{1}{\sqrt{2}^n} \bigotimes_{k=1}^n \left( |0\rangle + \exp\left(\frac{2\pi i j}{2^k}\right) |1\rangle \right)$$

$$\frac{1}{\sqrt{2}^n} \left[ |0\rangle + e^{2\pi i 0.j_n} |1\rangle \right] \left[ |0\rangle + e^{2\pi i 0.j_2 \dots j_n} |1\rangle \right] \dots \left[ |0\rangle + e^{2\pi i 0.j_1 \dots j_n} |1\rangle \right]$$

# Quantum Circuit for QFT



How many gates are required?

$q_1$ : H + (n-1) controlled R gates	$\rightarrow$ n	} $\frac{n(n+1)}{2}$
$q_2$ : H + (n-2) controlled R gates	$\rightarrow$ n-1	
$\vdots$	$\vdots$	
$q_n$ : H + 0 controlled R gates	$\rightarrow$ 1	

Also need  $\mathcal{O}(n/2)$  SWAP gates

Overall scaling of QFT is  $\mathcal{O}(n^2)$

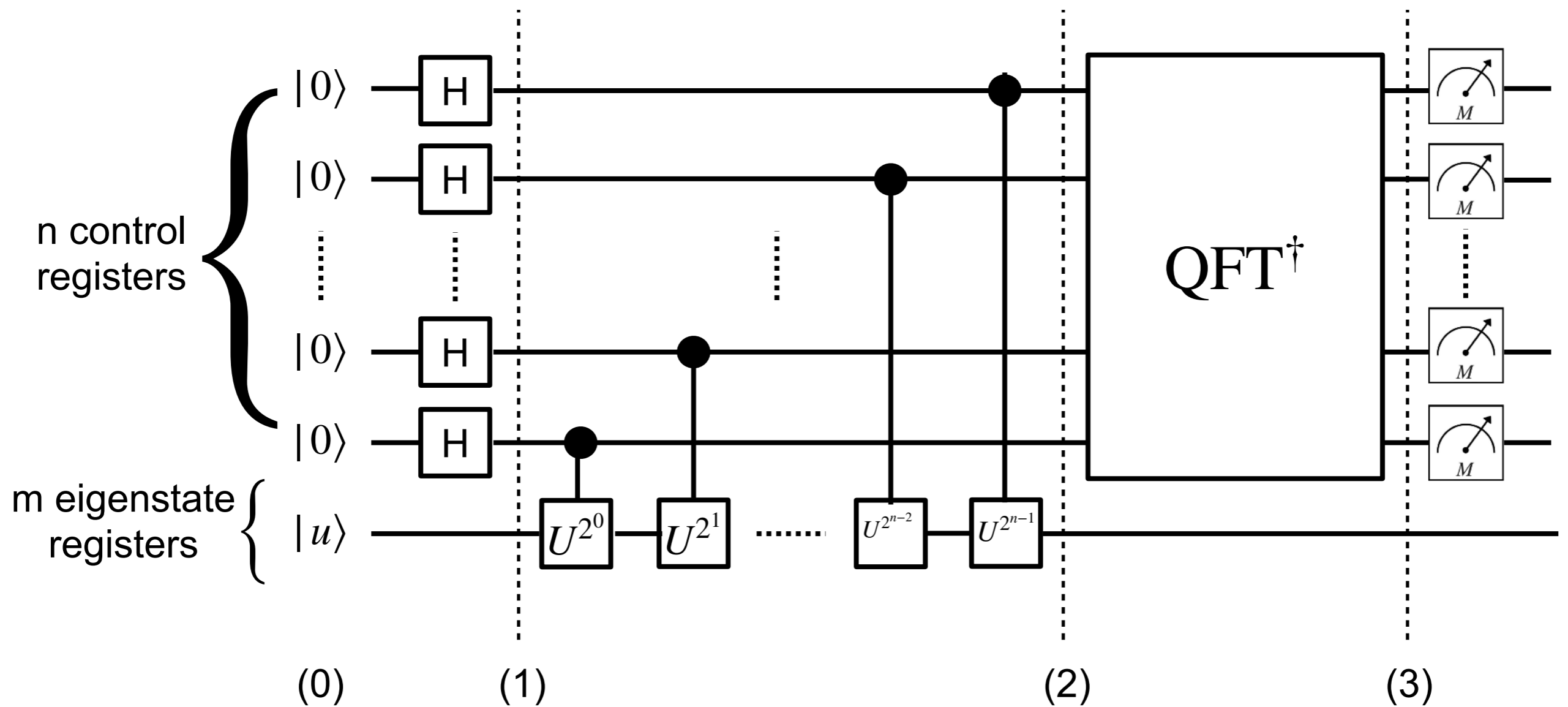
- Classical Fourier Transform scales as  $\mathcal{O}(N^2) = \mathcal{O}((2^n)^2)$
- FFT:  $\mathcal{O}(N \ln(N))$  for  $N = 2^n$

# Quantum Phase Estimation and Finding Eigenvalues

- Good example of phase kickback and use of QFT
- Unitary operator  $U : U|u\rangle = e^{i\phi}|u\rangle, \quad 0 \leq \phi < 2\pi$
- How to find eigenvalue? = How to measure the phase?
- How to find  $\phi$  to a given level of precision?
- Find the best n-bit estimate of the phase  $\phi$

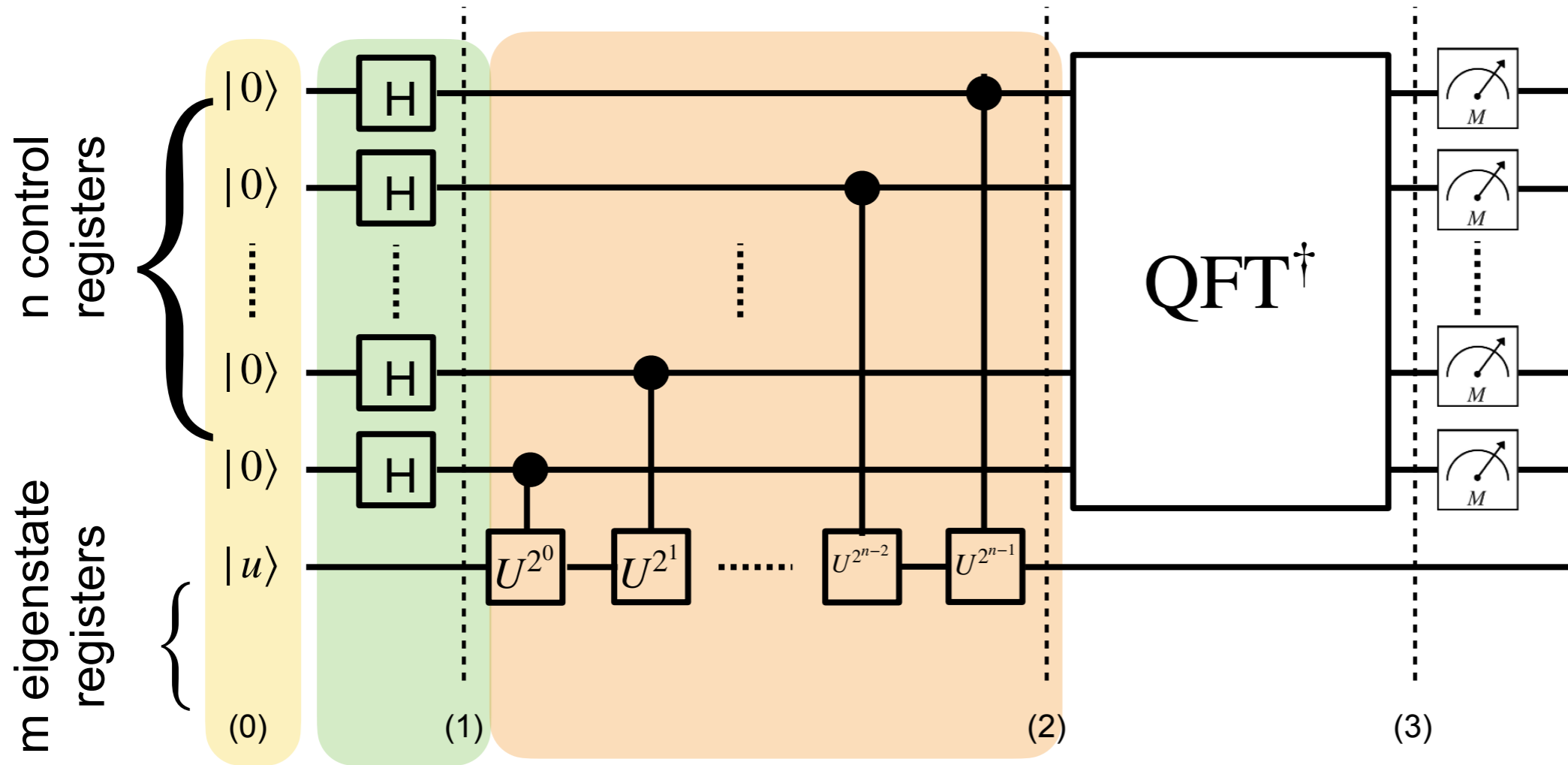
$$U^{2^j}|u\rangle = (e^{i\phi})^{2^j}|u\rangle = e^{i\phi 2^j}|u\rangle$$

# Quantum Circuit for QPE



$$\text{QPE} = H + \text{controlled} - U^{2^j} + \text{QFT}^\dagger$$

# Quantum Circuit for QPE



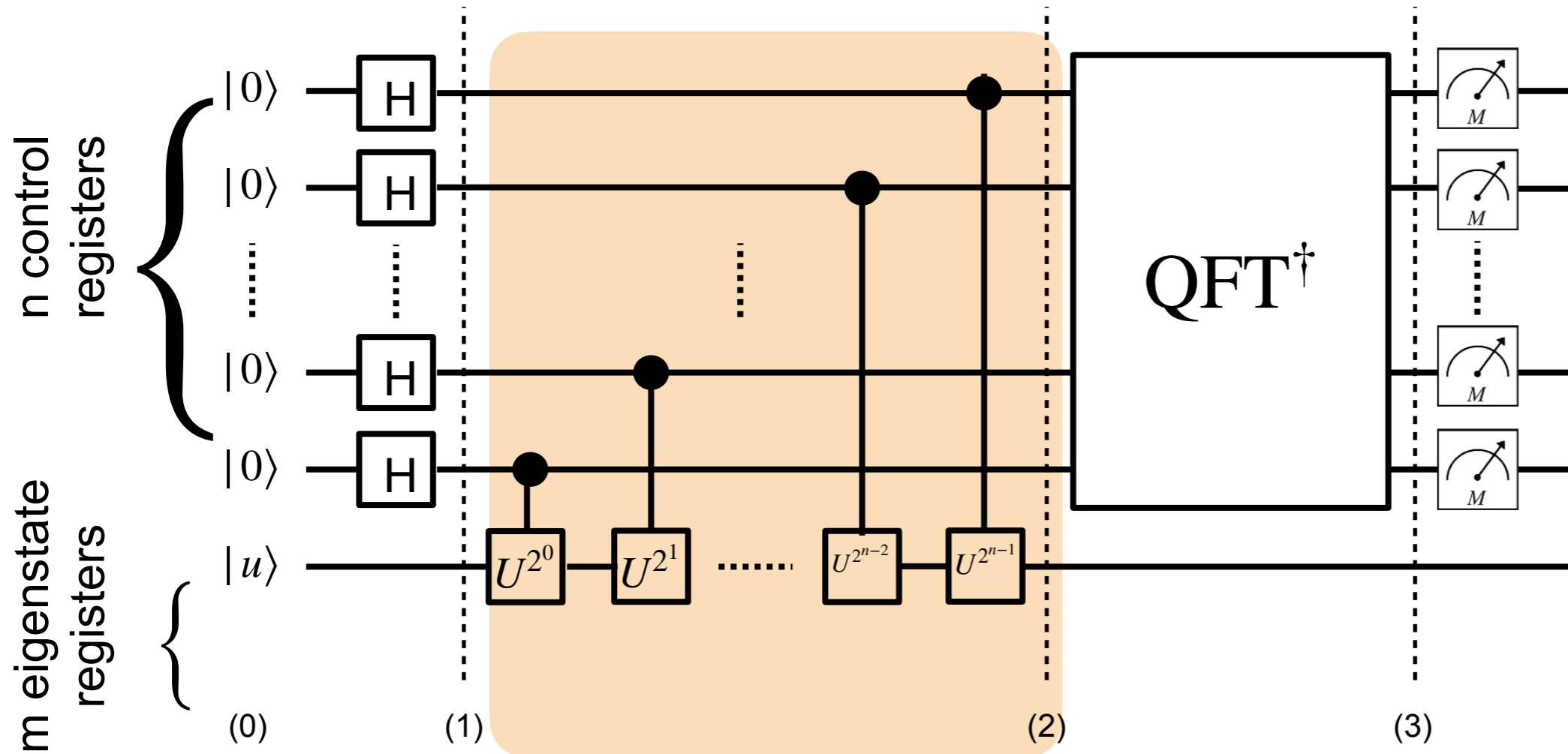
$$|\psi_0\rangle = |0\rangle^{\otimes n} \otimes |u\rangle$$

$$|\psi_1\rangle = \left( H|0\rangle \right)^{\otimes n} \otimes |u\rangle = \frac{1}{\sqrt{2}^n} \left( |0\rangle + |1\rangle \right)^{\otimes n} \otimes |u\rangle$$

$$|\psi_2\rangle = \prod_{j=0}^{n-1} C U^{2^j} \frac{1}{\sqrt{2}^n} \left( |0\rangle + |1\rangle \right)^{\otimes n} \otimes |u\rangle$$

$$QPE = H + \text{controlled} - U^{2^j} + QFT^\dagger$$

# Quantum Circuit for QPE



$$|\psi_2\rangle = \prod_{j=0}^{n-1} CU^{2^j} \frac{1}{\sqrt{2}^n} \left( |0\rangle + |1\rangle \right)^{\otimes n} \otimes |u\rangle$$

$$\frac{1}{\sqrt{2}} \left( |0\rangle + |1\rangle \right) \otimes |u\rangle \xrightarrow{CU^{2^j}} \frac{1}{\sqrt{2}} \left( |0\rangle \otimes |u\rangle + U^{2^j} |1\rangle \otimes |u\rangle \right)$$

$$= \frac{1}{\sqrt{2}} \left( |0\rangle + e^{i\phi 2^j} |1\rangle \right) \otimes |u\rangle$$

# Quantum Circuit for QPE

$$|\psi_2\rangle = \frac{1}{\sqrt{2}^n} \left( |0\rangle + e^{i\phi 2^{n-1}} |1\rangle \right) \left( |0\rangle + e^{i\phi 2^{n-2}} |1\rangle \right) \cdots \left( |0\rangle + e^{i2\phi} |1\rangle \right) \left( |0\rangle + e^{i\phi} |1\rangle \right) \otimes |u\rangle$$

$$= \frac{1}{\sqrt{2}^n} \sum_{y=0}^{2^n-1} e^{i\phi y} |y\rangle \otimes |u\rangle$$

Phase kick-back: phase factor  $e^{i\phi y}$  has been propagated back from the second eigenstate register to the first control register

$$\text{QFT} |a\rangle = \frac{1}{\sqrt{2}^n} \sum_{k=0}^{2^n-1} e^{2\pi i a k / 2^n} |k\rangle \longrightarrow \frac{2\pi i a}{2^n} = i\phi \longrightarrow \phi = 2\pi \left( \frac{a}{2^n} + \delta \right)$$

$$a = a_{n-1} a_{n-2} \cdots a_0$$

- $\frac{2\pi a}{2^n}$  is the best n-bit binary approximation of  $\phi$ .
- $0 \leq |\delta| \leq \frac{1}{2^{n+1}}$  is the associated error.

$$\text{QFT}^{-1} |y\rangle = \frac{1}{\sqrt{2}^n} \sum_{x=0}^{2^n-1} e^{-2\pi i x y / 2^n} |x\rangle$$

$$|\psi_3\rangle = \text{QFT}^{-1} |\psi_2\rangle = \frac{1}{2^n} \sum_{x=0}^{2^n-1} \sum_{y=0}^{2^n-1} e^{2\pi i (a-x)y / 2^n} e^{2\pi i \delta y} |x\rangle \otimes |u\rangle$$

Operate only n control register.

# Quantum Circuit for QPE

$$|\psi_3\rangle = \text{QFT}^{-1} |\psi_2\rangle = \frac{1}{2^n} \sum_{x=0}^{2^n-1} \sum_{y=0}^{2^n-1} e^{2\pi i(a-x)y/2^n} e^{2\pi i\delta y} |x\rangle \otimes |u\rangle$$

Operate only n control register.

(1) If  $\delta = 0$ ,

$$\frac{1}{2^n} \sum_{y=0}^{2^n-1} \exp\left(\frac{2\pi i(a-x)y}{2^n}\right) = \delta_{ax} \longrightarrow |\psi_3\rangle = |a\rangle \otimes |u\rangle \longrightarrow \phi = \frac{2\pi a}{2^n}$$

(2) If  $\delta \neq 0$ , Measuring 1st register and getting the state  $|x\rangle = |a\rangle$  is the best n-bit estimate of  $\phi$ . The corresponding probability is  $P_a = |C_a|^2 \geq \frac{4}{\pi^2} \approx 0.405$



# Quantum Circuit for QPE

$$|\psi_2\rangle = \frac{1}{\sqrt{2^n}} \sum_{x=0}^{2^n-1} e^{2\pi i x \phi} |x\rangle \otimes |u\rangle$$

$$\text{QFT}^{-1} |x\rangle = \frac{1}{\sqrt{2^n}} \sum_{y=0}^{2^n-1} e^{-2\pi i xy/2^n} |y\rangle$$

$$|\psi_3\rangle = \text{QFT}^{-1} |\psi_2\rangle = \frac{1}{2^n} \sum_{x=0}^{2^n-1} \sum_{y=0}^{2^n-1} e^{2\pi i x(\phi - y/2^n)} |y\rangle \otimes |u\rangle$$

Probability of observing  $|y\rangle = P(y) = \left| \frac{1}{2^n} \sum_{x=0}^{2^n-1} e^{2\pi i x(\phi - y/2^n)} \right|^2 = \frac{1}{2^{2n}} \left| \frac{1 - r^{2^n}}{1 - r} \right|^2, \quad r \equiv \exp\left[2\pi i \left(\phi - \frac{y}{2^n}\right)\right]$

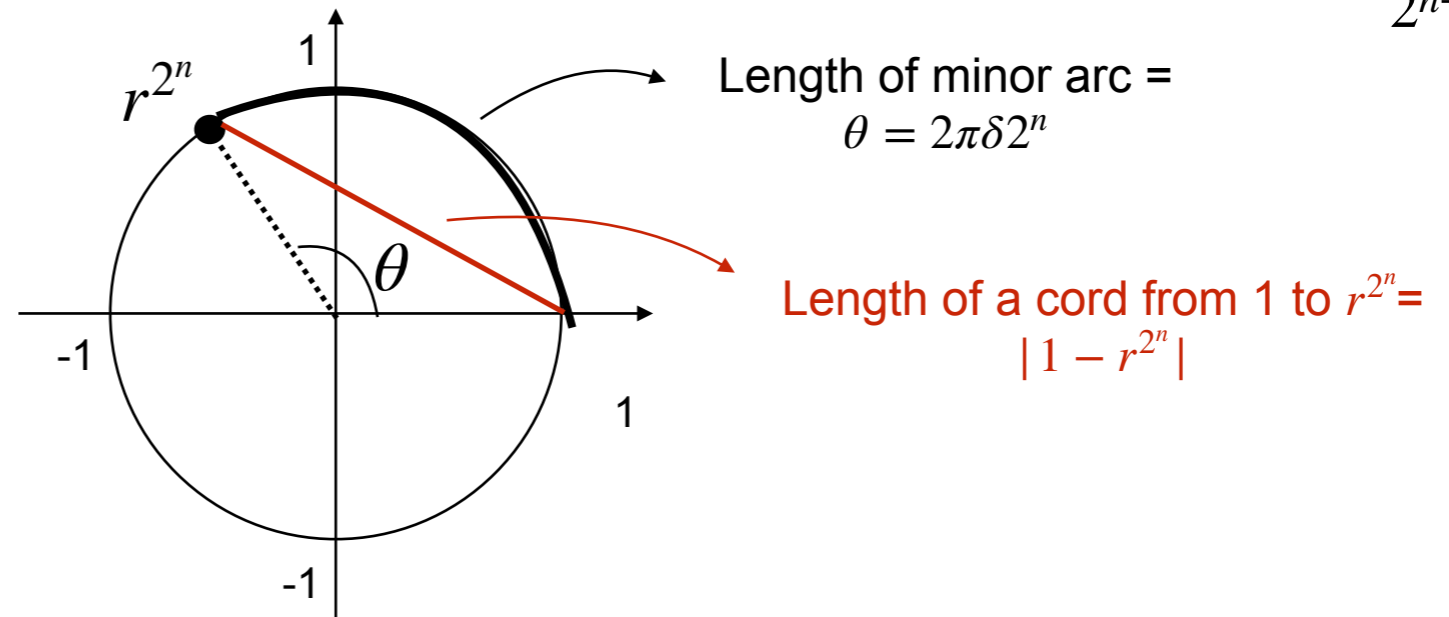
(1) If  $\phi = \frac{y}{2^n}$ ,  $|\psi_3\rangle = |y\rangle \otimes |u\rangle \quad P(\phi = \frac{y}{2^n}) = 100\%$

(2) If  $\phi \neq \frac{y}{2^n}$ , closest n-bit approximation to  $\phi = 0.\nu_1\nu_2\cdots\nu_n \equiv \nu \quad \phi - \nu \equiv \delta, \quad 0 \leq |\delta| \leq \frac{1}{2^{n+1}}$

$$r \equiv \exp\left[2\pi i \left(\phi - \frac{y}{2^n}\right)\right] = \exp(2\pi i \delta)$$

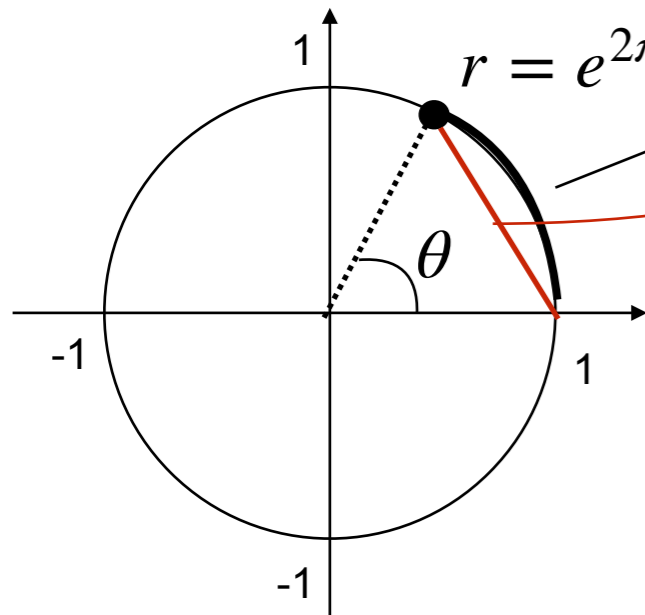
$$P(y) = \frac{1}{2^{2n}} \left| \frac{1 - r^{2^n}}{1 - r} \right|^2,$$

$$r^{2^n} = \left[\exp(2\pi i \delta)\right]^{2^n} = \exp(2\pi i \delta 2^n) = e^{i\theta}$$



$$\frac{\text{length of minor arc}}{\text{length of cord}} = \frac{2\pi\delta 2^n}{|1 - r^{2^n}|} \leq \frac{\text{half circumference}}{\text{diameter}} \leq \frac{\pi R}{2R} = \frac{\pi}{2} \rightarrow |1 - r^{2^n}| \geq 4\delta 2^n$$

# Quantum Circuit for QPE



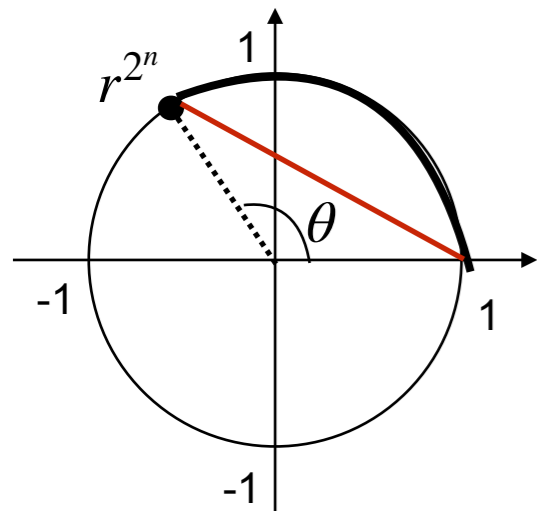
Length of minor arc =  $\theta = 2\pi\delta 2^n$

Length of a cord from 1 to  $r = |1 - r|$

$$\frac{\text{length of minor arc}}{\text{length of cord}} = \frac{2\pi\delta}{|1 - r|} > 1, \quad |1 - r| < 2\pi\delta$$

$$P(y) = \frac{1}{2^{2n}} \left| \frac{1 - r^{2^n}}{1 - r} \right|^2 \geq \frac{1}{2^{2n}} \left( \frac{4\delta 2^n}{2\pi\delta} \right)^2 = \frac{4}{\pi^2} > 0.405$$

- We will get the correct answer with probability greater than a constant.
- Probability of getting incorrect outcome can be calculated using  $|\delta| > \frac{1}{2^{n+1}}$



$$|1 - r^{2^n}| < 2 \quad \frac{\text{length of minor arc}}{\text{length of cord}} = \frac{2\pi\delta}{|1 - r|} < \frac{\pi}{2}, \quad |1 - r| > 4\pi\delta$$

$$P(y) = \frac{1}{2^{2n}} \left| \frac{1 - r^{2^n}}{1 - r} \right|^2 \leq \frac{1}{2^{2n}} \left( \frac{2}{4\delta} \right)^2 = \frac{1}{2^{2n}(2\delta)^2}$$

$$\text{If } \delta = \frac{c}{2^n}, \quad P(c) \leq \frac{1}{4c^2}$$

- N-bit estimate of phase  $\phi$  is obtained with a high probability.
- Need to repeat the calculation multiple times.
- Increasing n will increase the probability of success (not obvious but true).
- Increasing n (# of qubits) will improve the precision of the phase estimate.

# Quantum Error Correction

- [quant-ph/9705052](#), Stabilizer codes and quantum error correction, Caltech PhD thesis by D. Gottesman

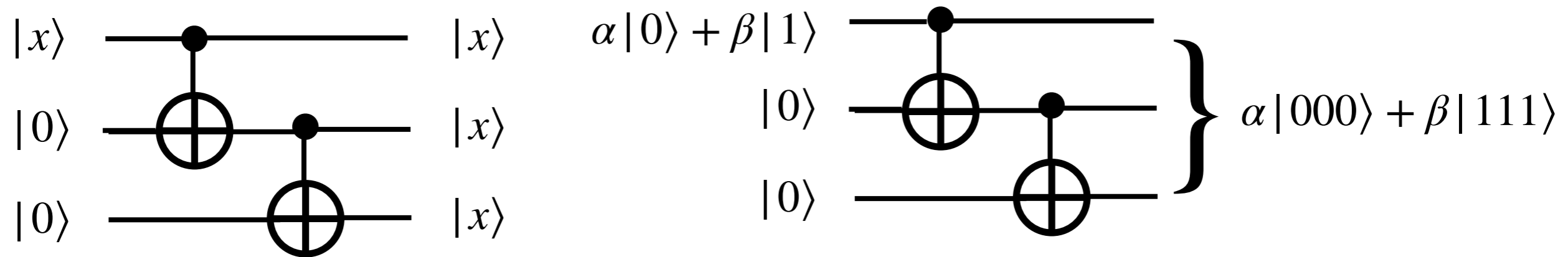


# Quantum Error Correction

- QEC is essential and QC requires error correction
  - Physical system for a single qubit is small (often on an atomic scale) so any small external interference can disrupt the quantum system
- Measurement destroys quantum information
  - Checking for error is problematic.
  - Monitoring means measuring which would alter quantum states
- More general types of error can occur
  - (ex) phase error:  $\frac{1}{\sqrt{2}}(|0\rangle + |1\rangle) \rightarrow \frac{1}{\sqrt{2}}(|0\rangle + e^{i\phi}|1\rangle)$
- Errors are continuous
  - Unlike all or nothing bit flip errors for classical bits, errors on qubits can grow continuously out of the uncorrupted state.

# Bit Flip Error Correction

- If the error rate is low, we hope to correct them by tailing the number of qubits as the classical case.

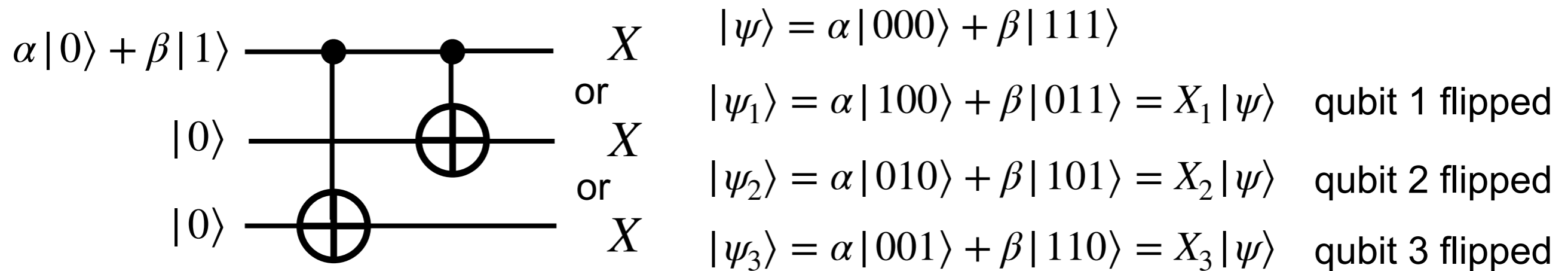


$\alpha|0\rangle + \beta|1\rangle \longrightarrow \alpha|000\rangle + \beta|111\rangle$  is not a clone of the input state

$$\begin{aligned}
 (\alpha|0\rangle + \beta|1\rangle)^{\otimes 3} &= \alpha^3|000\rangle + \alpha^2\beta(|001\rangle + |010\rangle + |100\rangle) \\
 &\quad + \alpha\beta^2(|110\rangle + |101\rangle + |011\rangle) + \beta^3|111\rangle
 \end{aligned}$$

# Bit Flip Error Correction

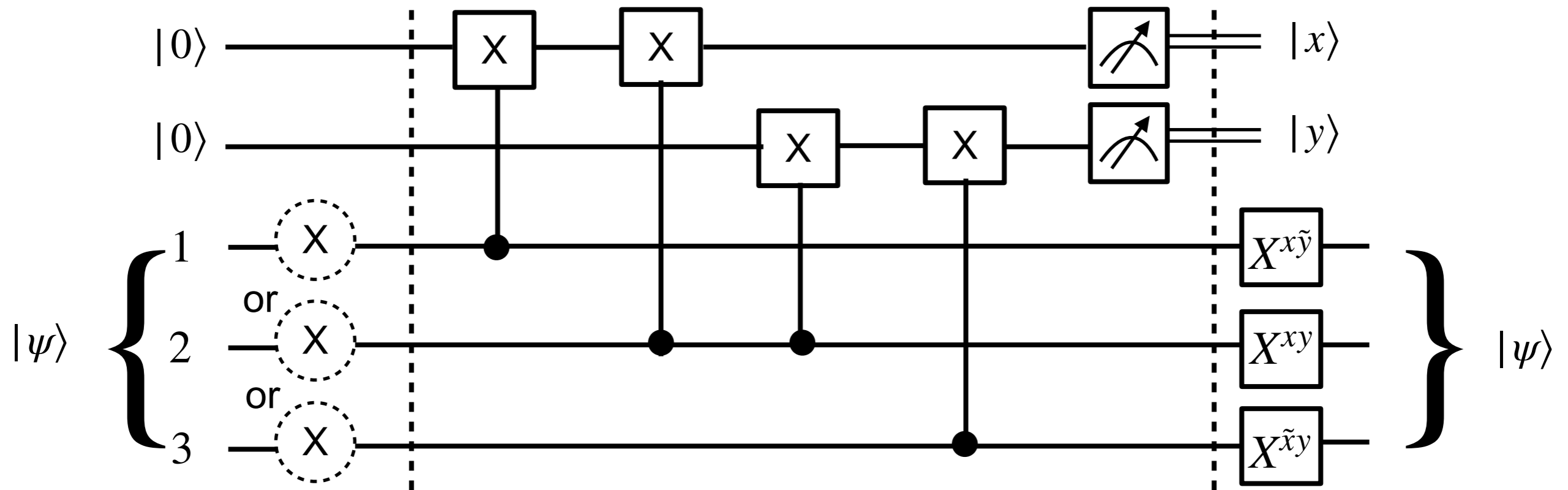
- Assume that no more than one qubit is flipped (reasonable approximation if the error rate is small)



→ four states are called “syndromes”

- Classically to determine if one of the bits is flipped, we just have to look at them. However quantum mechanically, if we measure  $|\psi\rangle$ , we get  $|000\rangle$  with probability  $|\alpha|^2$  and  $|111\rangle$  with  $|\beta|^2$  which destroys the coherent superposition.
- Need to couple the codeword qubits to ancilla qubits and measure those, which does not destroy the coherent superposition.

# Bit Flip Error Correction



$$|\psi\rangle = \alpha|000\rangle + \beta|111\rangle$$

correction

$|\psi\rangle$  : codeword  $|000\rangle \rightarrow$  no ancilla flipped  $\rightarrow x = 0 = y$   
 codeword  $|000\rangle \rightarrow$  both ancillas flipped  $\rightarrow x = 0 = y$

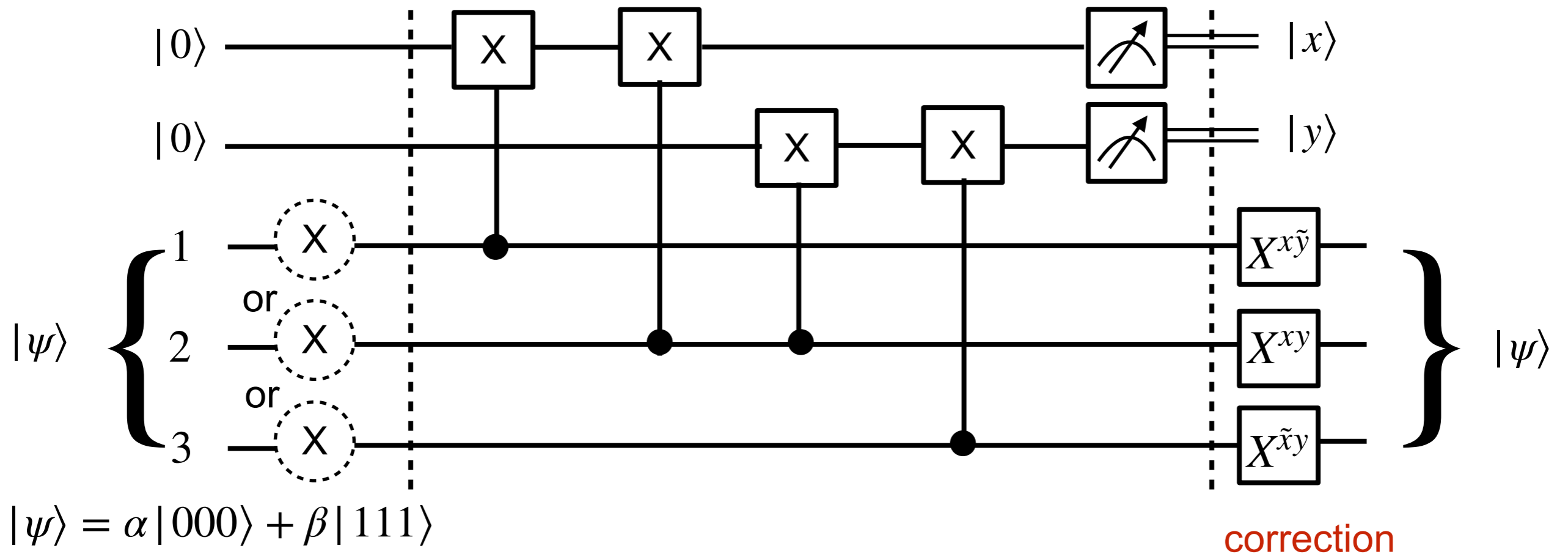
$|\psi_1\rangle$  : codeword  $|100\rangle \rightarrow x$  flipped,  $y$  not flipped  $\rightarrow x = 1, y = 0$   
 codeword  $|011\rangle \rightarrow x$  flipped,  $y$  flipped twice  $\rightarrow x = 1, y = 0$

$|\psi_2\rangle$  : codeword  $|010\rangle \rightarrow x$  and  $y$  flipped once  $\rightarrow x = 1 = 1$   
 codeword  $|101\rangle \rightarrow x$  and  $y$  flipped once  $\rightarrow x = 1 = 1$

$|\psi_3\rangle$  : codeword  $|001\rangle \rightarrow x$  not flipped,  $y$  flipped  $\rightarrow x = 0, y = 1$   
 codeword  $|110\rangle \rightarrow x$  flipped twice,  $y$  flipped  $\rightarrow x = 0, y = 1$

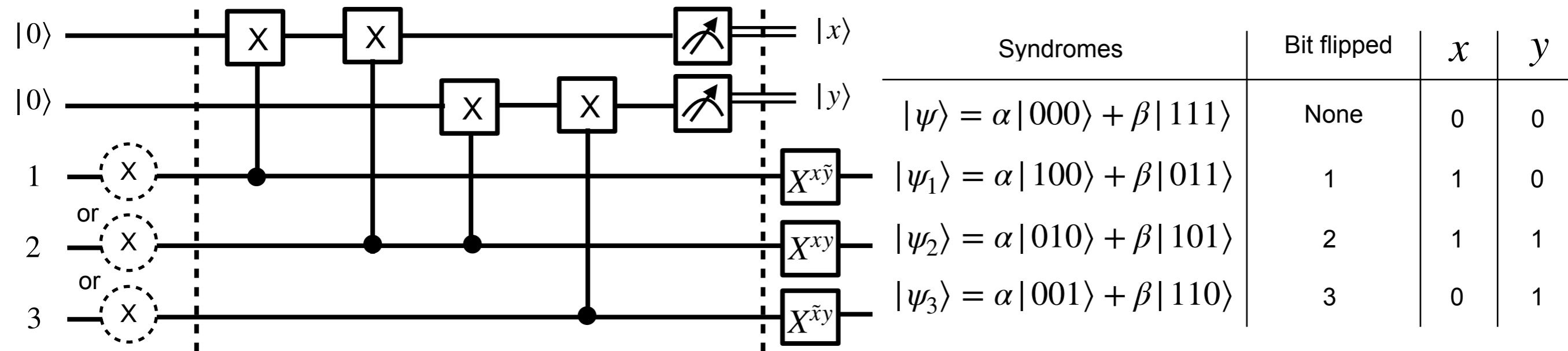


# Bit Flip Error Correction



Syndromes	Bit flipped	$x$	$y$
$ \psi\rangle = \alpha 000\rangle + \beta 111\rangle$	None	0	0
$ \psi_1\rangle = \alpha 100\rangle + \beta 011\rangle$	1	1	0
$ \psi_2\rangle = \alpha 010\rangle + \beta 101\rangle$	2	1	1
$ \psi_3\rangle = \alpha 001\rangle + \beta 110\rangle$	3	0	1

# Bit Flip Error Correction



$$|\psi\rangle = \alpha|000\rangle + \beta|111\rangle$$

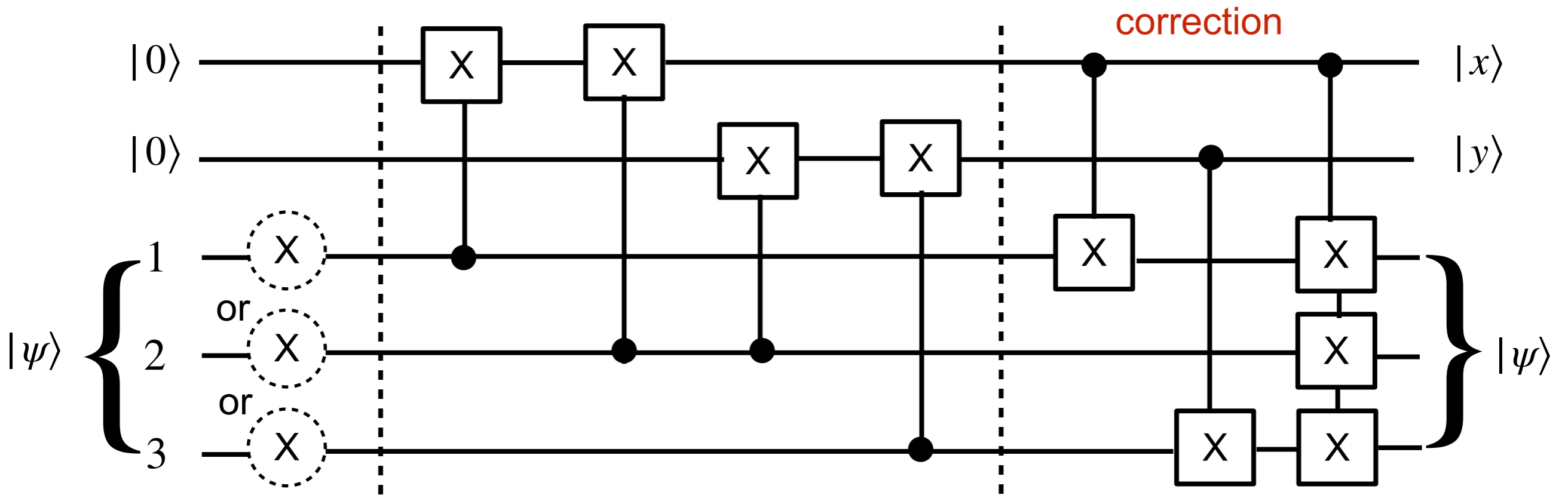
correction

$X^{x\tilde{y}}$  gate on qubit 1, only if  $x=1$  and  $y=0$  → correcting  $|\psi_1\rangle$

$X^{xy}$  gate on qubit 2, only if  $x=1$  and  $y=1$  → correcting  $|\psi_2\rangle$

$X^{\tilde{x}y}$  gate on qubit 3, only if  $x=0$  and  $y=0$  → correcting  $|\psi_3\rangle$

# Bit Flip Error Correction



$$|\psi\rangle = \alpha|000\rangle + \beta|111\rangle$$

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$X^{\tilde{x}y}$  gate on qubit 3, only if  $x=0$  and  $y=0$  → correcting  $|\psi_3\rangle$

- What if errors in quantum circuits can arise continuously from zero? (Assume the error rate is small)

$$|\psi\rangle \longrightarrow \left[ 1 + (\epsilon_1 X_1 + \epsilon_2 X_2 + \epsilon_3 X_3) \right] |\psi\rangle \quad \epsilon_i \in \mathbb{C}, |\epsilon_i| \ll 1$$

# Stabilizer Formalism

- Useful method for error correction of arbitrary error.
- Consider two Hermitian operators,  $Z_1Z_2$  and  $Z_2Z_3$

$$Z_i^2 = I_{2 \times 2} \quad Z_1Z_2 = Z_2Z_1 \quad (Z_1Z_2)^2 = I_{2 \times 2} \quad (Z_2Z_3)^2 = I_{2 \times 2}$$

$$\longrightarrow A^2 = I_{2 \times 2} \quad \longrightarrow \text{eigenvalues} = \pm 1 \quad Ax = \lambda x \quad A^2x = \lambda^2x = x \quad \lambda^2 = 1$$

$$\longrightarrow [Z_1Z_2, Z_2Z_3] = 0 \quad Z_1Z_3 \text{ and } Z_2Z_3 \text{ have the same eigenvectors.}$$

Syndromes	$Z_1Z_2$	$Z_2Z_3$	$x$	$y$	
$ \psi\rangle = \alpha 000\rangle + \beta 111\rangle$	1	1	0	0	$Z_1Z_2 = (-1)^x$
$ \psi_1\rangle = \alpha 100\rangle + \beta 011\rangle = X_1 \psi\rangle$	-1	1	1	0	$Z_2Z_3 = (-1)^y$
$ \psi_2\rangle = \alpha 010\rangle + \beta 101\rangle = X_2 \psi\rangle$	-1	-1	1	1	
$ \psi_3\rangle = \alpha 001\rangle + \beta 110\rangle = X_3 \psi\rangle$	1	-1	0	1	

- Syndromes are eigenvectors of  $Z_1Z_2$  and  $Z_2Z_3$ .
- Stabilizers are operators whose eigenvalues distinguish the different syndromes.

# Properties of Stabilizers and Syndromes

- Syndromes are eigenvectors of  $Z_1Z_2$  and  $Z_2Z_3$ .
- Stabilizers are operators whose eigenvalues distinguish the different syndromes.
- Eigenvalues of a stabilizer in a syndrome is +1 or -1.
- Eigenvalues of all stabilizers are +1 in the uncorrupted syndrome  $|\psi\rangle$ .
- Operators for the stabilizers are built out of the single qubit operators  $Z_i$  and  $X_i$ .
- Syndromes with a single qubit error are obtained by acting on the uncorrupted syndrome with  $X_i$ ,  $Y_i$  and  $Z_i$  operators.
- For a general stabilizer  $A_\alpha$  and a syndrome state  $|\psi_\beta\rangle = B_\beta|\psi\rangle$ ,  $A_\alpha$  either commutes or anti-commutes with  $B_\beta$ .
  - $B_\beta$  involves a single Pauli's operator (X, Y or Z).
  - $A_\alpha$  involves a product of Pauli's operators (X's, and Z's b/c  $Y = iXZ$ ).

# Properties of Stabilizers and Syndromes

- If  $[A_\alpha, B_\beta] = 0$ ,  $A_\alpha |\psi_\beta\rangle = +1 |\psi_\beta\rangle$  and eigenvalue of the stabilizer  $A_\alpha$  in state  $|\psi_\beta\rangle$  is  $+1$ .  
$$-A_\alpha |\psi\rangle = A_\alpha B_\beta |\psi\rangle = B_\beta A_\alpha |\psi\rangle = B_\beta |\psi\rangle = |\psi\rangle$$
- If  $\{A_\alpha, B_\beta\} = 0$ ,  $A_\alpha |\psi_\beta\rangle = -1 |\psi_\beta\rangle$   
$$-A_\alpha |\psi\rangle = A_\alpha B_\beta |\psi\rangle = -B_\beta A_\alpha |\psi\rangle = -B_\beta |\psi\rangle = -|\psi\rangle$$
- Syndromes must be eigenvectors of all stabilizers  $\rightarrow$  stabilizers must commute each other
- How to determine efficiently if a stabilizer commutes or anti-commutes with the operator which generates a corrupted syndrome out of the uncorrupted syndrome?
- For the case of 3-qubit bit-flip code, stabilizers are  $Z_1 Z_2$  and  $Z_2 Z_3$ .
- Operators which generate the corrupted syndromes from the uncorrupted syndrome:  $X_1$ ,  $X_2$  and  $X_3$ .

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- Operators which generate the corrupted syndromes from the uncorrupted syndrome:  $X_1$ ,  $X_2$  and  $X_3$ .
  - $X_1$  commutes with  $Z_2Z_3 \iff [X_1, Z_2Z_3] = 0$ .  $\because$  no sites in common  
 $\rightarrow Z_2Z_3 |\psi_1\rangle = +1 |\psi_1\rangle$
  - $X_2$  has one common site with  $Z_2Z_3$ .  $\rightarrow X_2Z_2Z_3 = -Z_2X_2Z_3 = -Z_2Z_3X_2$   
 $\rightarrow \{X_1, Z_2Z_3\} = 0 \rightarrow Z_2Z_3 |\psi_2\rangle = - |\psi_2\rangle$

# Stabilizer Formalism

- In the stabilizer formalism, we need to construct a set of Hermitian operators (stabilizers) which satisfy the following properties
  - They square to 1 (so eigenvalues are  $\pm 1$ ).
  - They mutually commute (so they have the same eigenvectors).
  - The syndromes are eigenstates.
  - The uncorrupted syndrome has eigenvalue +1 for all stabilizers.
  - The set of  $\pm 1$  eigenvalues of the stabilizers uniquely specifies the syndrome.
  - Whether the eigenvalue is +1 or -1 is easily determined from the commutation properties of the stabilizer with respect to the operator which generate the corruption in the syndrome.



# Stabilizer Formalism: Circuits

- Circuit which will measure the eigenvalues of stabilizers and hence determine which syndromes have occurred.

$$U = U^\dagger$$

$$U|\psi_\pm\rangle = \pm|\psi_\pm\rangle$$

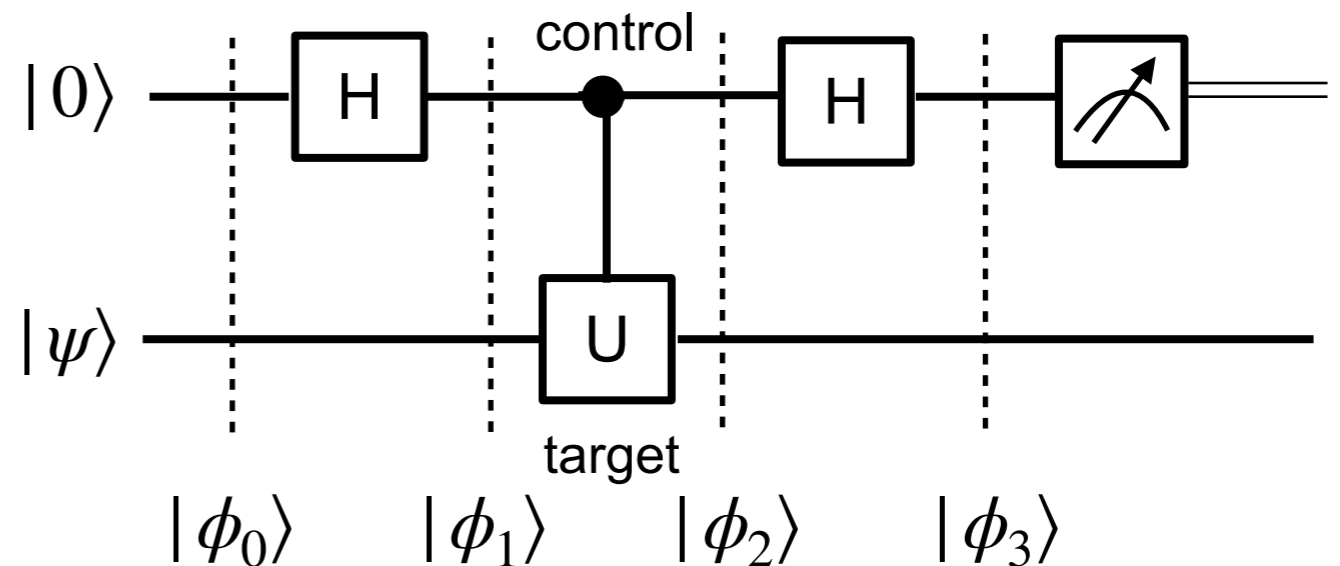
$$|\psi\rangle \equiv \alpha_+|\psi_+\rangle + \alpha_-|\psi_-\rangle$$

$$|\phi_0\rangle = |0\rangle \otimes |\psi\rangle = \alpha_+|0\psi_+\rangle + \alpha_-|0\psi_-\rangle$$

$$|\phi_1\rangle = \frac{1}{\sqrt{2}}(|0\rangle + |1\rangle) \otimes |\psi\rangle = \frac{\alpha_+}{\sqrt{2}}[|0\psi_+\rangle + |1\psi_+\rangle] + \frac{\alpha_-}{\sqrt{2}}[|0\psi_-\rangle + |1\psi_-\rangle]$$

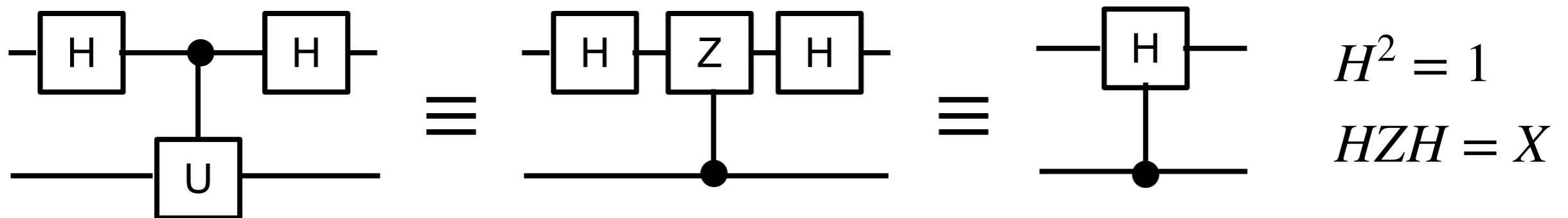
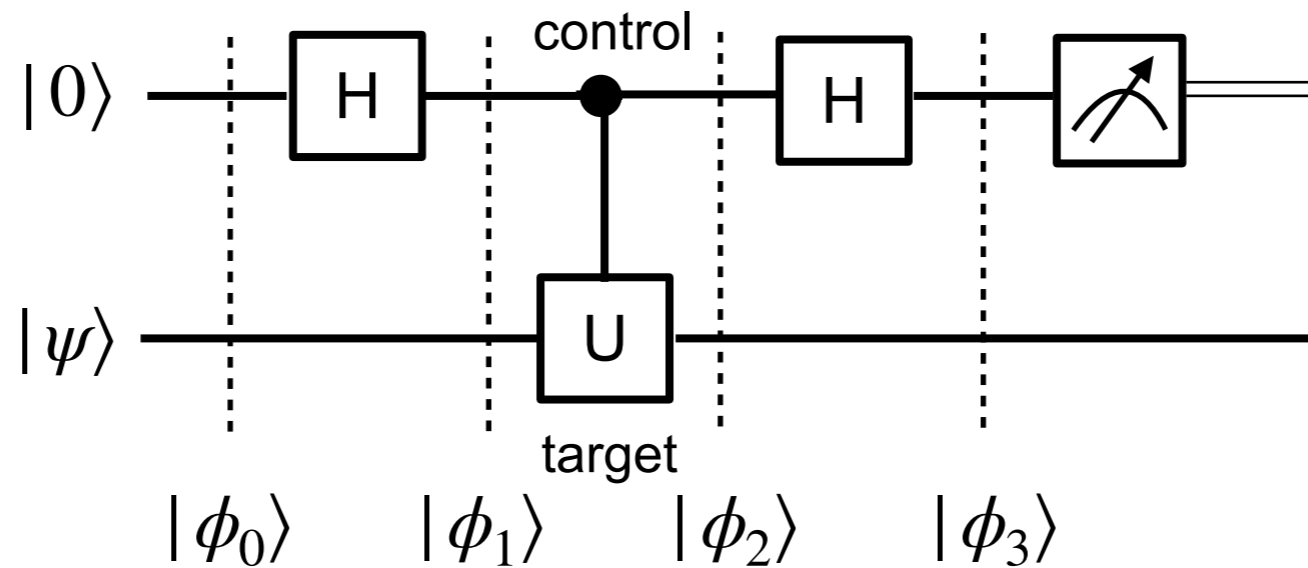
$$|\phi_2\rangle = \frac{\alpha_+}{\sqrt{2}}(|0\psi_+\rangle + |1\psi_+\rangle) + \frac{\alpha_-}{\sqrt{2}}(|0\psi_-\rangle - |1\psi_-\rangle)$$

$$|\phi_3\rangle = \alpha_+|0\psi_+\rangle + \alpha_-|1\psi_-\rangle$$

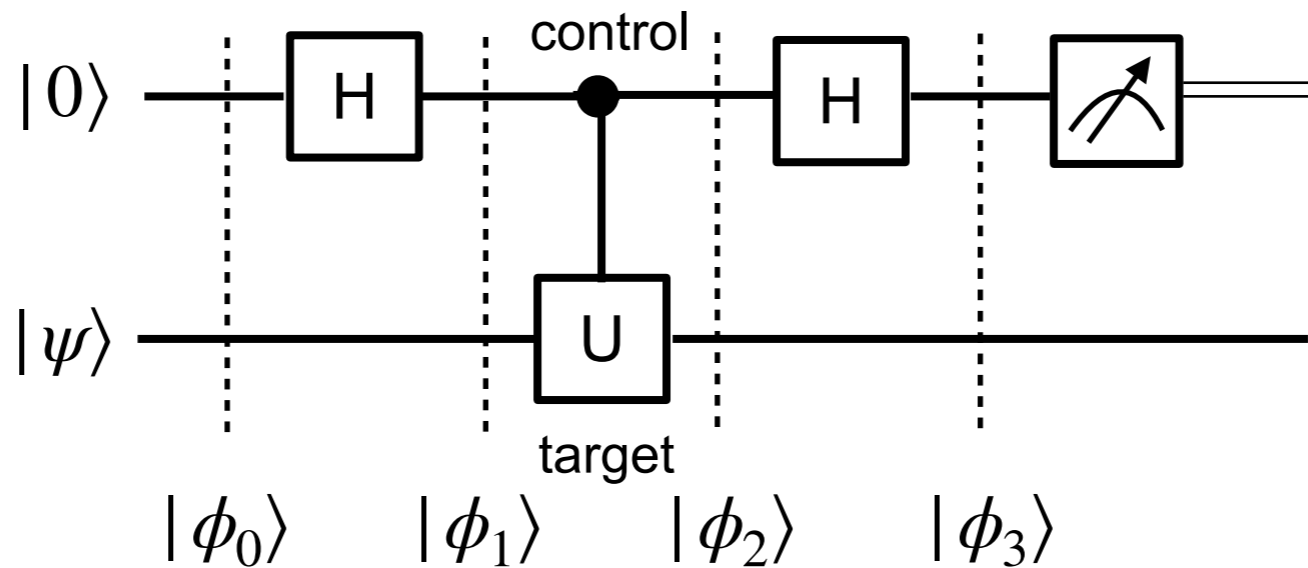


# Stabilizer Formalism: Circuits

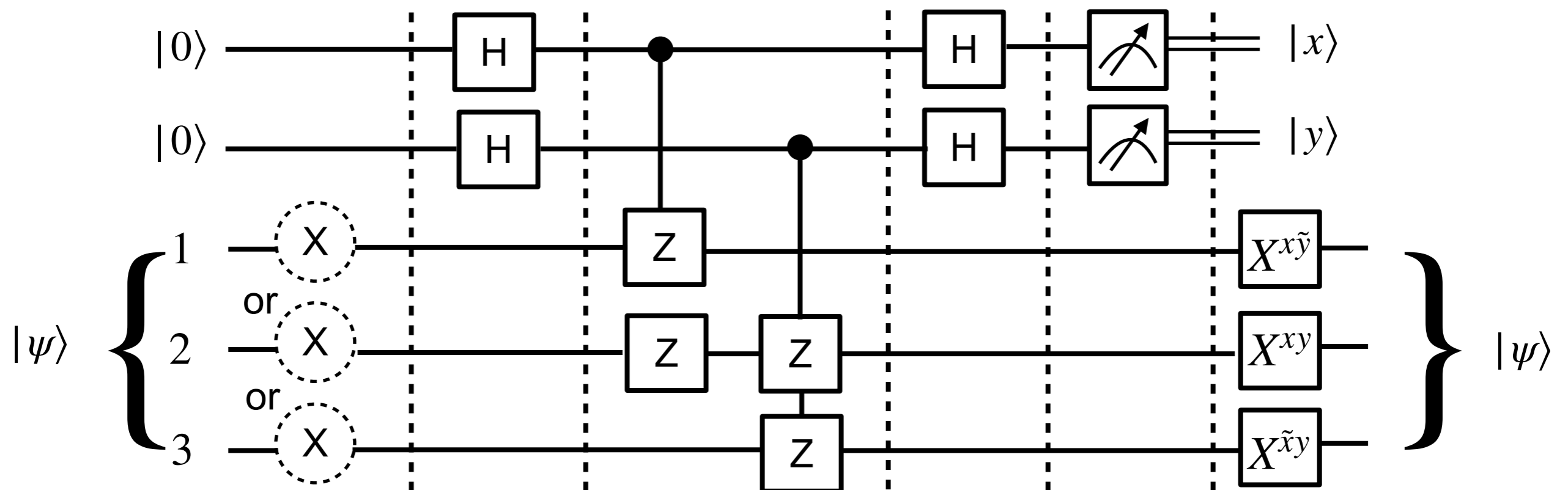
- If a measurement of the upper qubit gives  $|0\rangle$  (with probability  $|\alpha_+|^2$ ), the lower qubit will be in state  $|\psi_+\rangle$ .
- If a measurement of the upper qubit gives  $|1\rangle$  (with probability  $|\alpha_-|^2$ ), the lower qubit will be in state  $|\psi_-\rangle$ .
- $\therefore$  control bit tells us which eigenstates of  $U$  the target qubit is in.



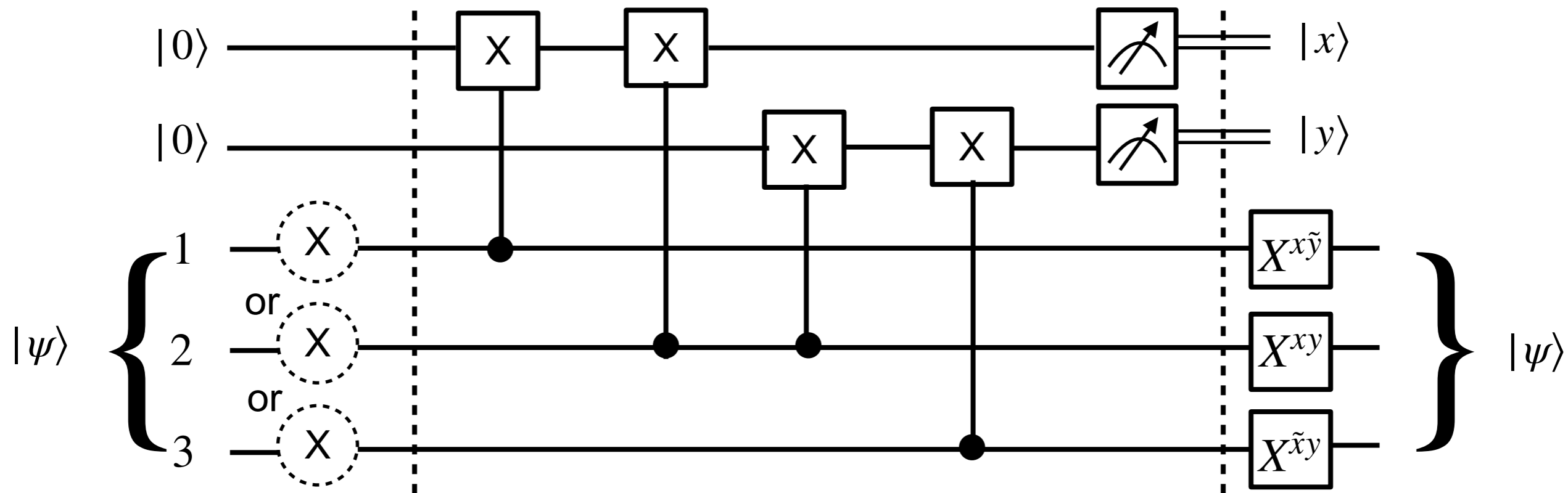
# Bitflip code for 3 qubits



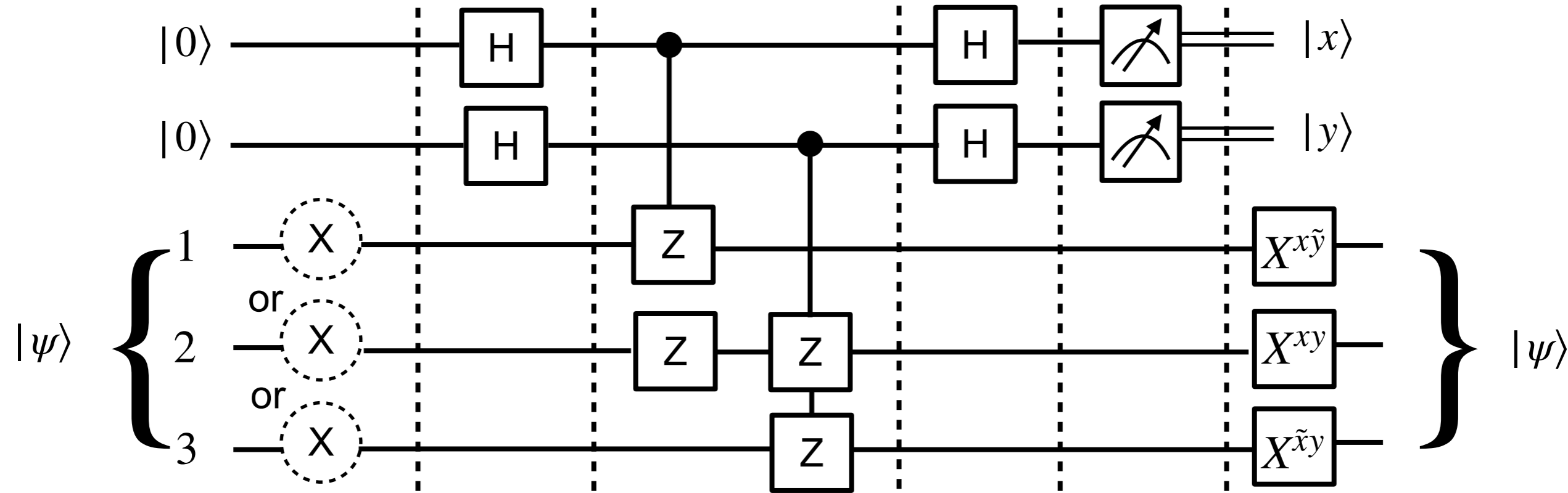
$$|\psi\rangle = \alpha|000\rangle + \beta|111\rangle$$



# Bitflip code for 3 qubits



$|\psi\rangle = \alpha|000\rangle + \beta|111\rangle$



# Phase Flip

- With some probability  $p$ , the relative phase of  $|0\rangle$  and  $|1\rangle$  is flipped.

Phase Flip

$$|\psi\rangle = \alpha|0\rangle + \beta|1\rangle \longrightarrow \alpha|0\rangle - \beta|1\rangle$$

$$\begin{pmatrix} \alpha \\ \beta \end{pmatrix} \longrightarrow Z \begin{pmatrix} \alpha \\ \beta \end{pmatrix} = \begin{pmatrix} \alpha \\ -\beta \end{pmatrix} \quad \text{in Z-basis (computational basis)}$$

Bit Flip

$$|\psi\rangle = \alpha|0\rangle + \beta|1\rangle \longrightarrow \alpha|1\rangle + \beta|0\rangle$$

$$\begin{pmatrix} \alpha \\ \beta \end{pmatrix} \longrightarrow X \begin{pmatrix} \alpha \\ \beta \end{pmatrix} = \begin{pmatrix} \beta \\ \alpha \end{pmatrix}$$

$$X|0\rangle = |1\rangle$$

$$X|1\rangle = |0\rangle$$

- Phase flip error model can be turned into the bit-flip error model by transforming to the  $\pm$  basis (X basis).

$$|+\rangle = \frac{1}{\sqrt{2}}(|0\rangle + |1\rangle)$$

$$|-\rangle = \frac{1}{\sqrt{2}}(|0\rangle - |1\rangle)$$

Transformation is Hadamard:

$$H|0\rangle = |+\rangle \quad H|+\rangle = |0\rangle$$

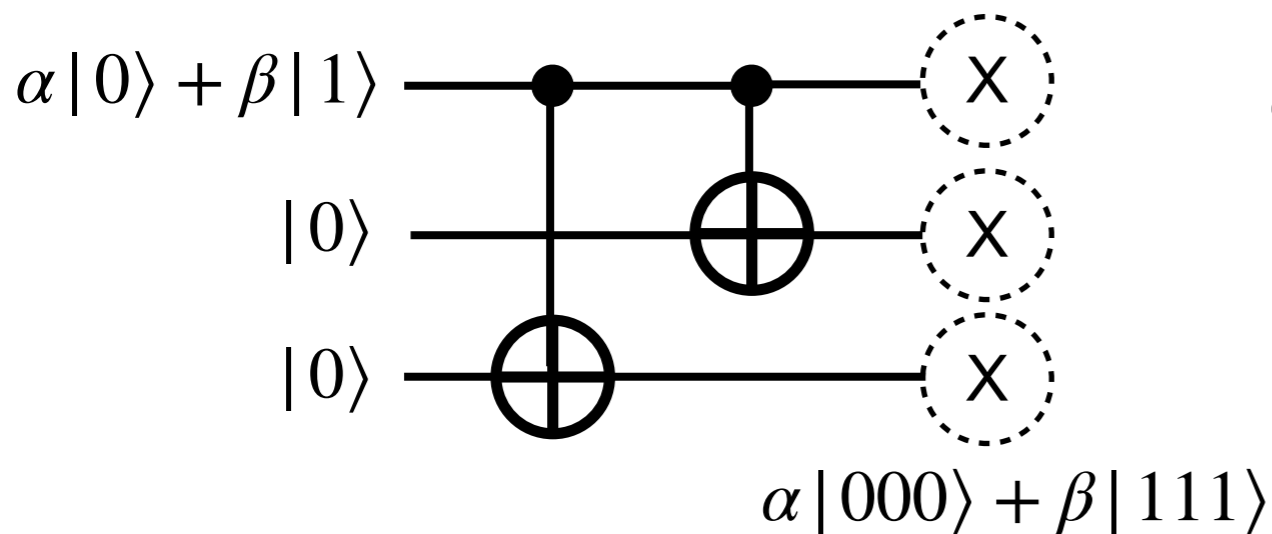
$$H|1\rangle = |-\rangle \quad H|-\rangle = |1\rangle$$

# Phase Flip

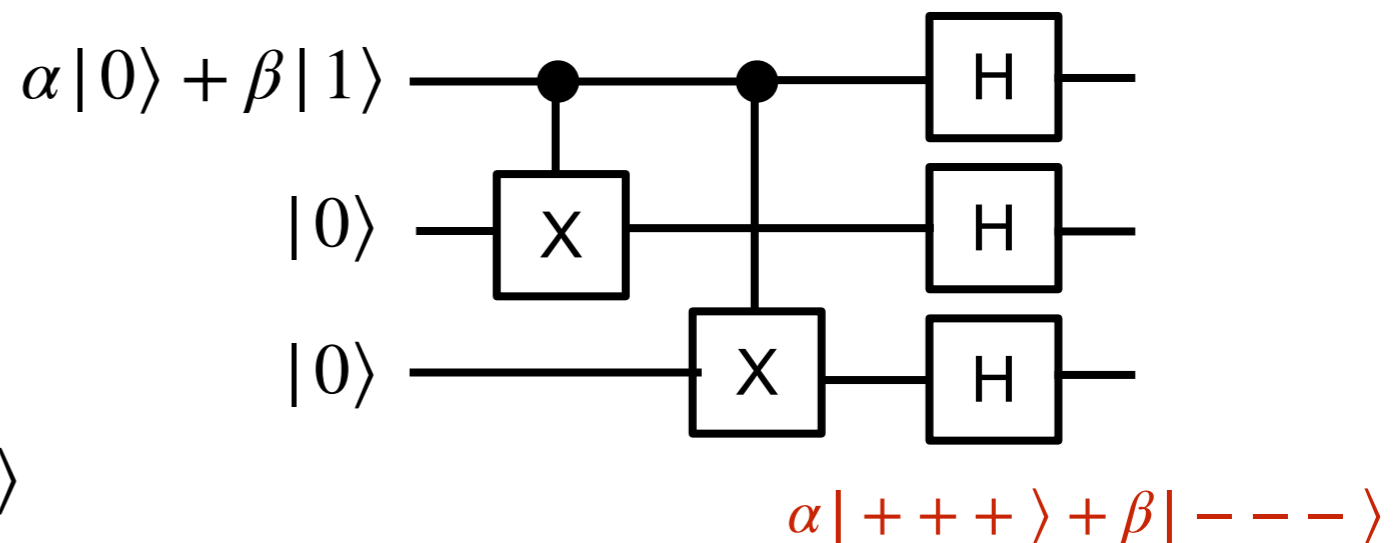
- In the X-basis, roles of X and Z are interchanged.

Bit-flip	$X 0\rangle =  1\rangle$ $X 1\rangle =  0\rangle$	$Z +\rangle =  -\rangle$ $Z -\rangle =  +\rangle$	Phase-flip
Phase-flip	$Z 0\rangle =  0\rangle$ $Z 1\rangle = - 1\rangle$	$X +\rangle =  +\rangle$ $X -\rangle = - -\rangle$	Bit-flip
In computational basis (Z-basis)		In X-basis	

- Stabilizers to detect phase errors involve X-operations as opposed to those used to detect bit-flip errors which involve Z-operators.



Circuit to encode 3-qubit bit-flip code acting on a linear combination of  $|0\rangle$  and  $|1\rangle$



Encoding circuit for the 3-qubit phase flip