

# Probing mixing of sterile-tau neutrino at SHiP experiment

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with Seong Moon Yoo and KSHiP members

[Work in progress]

12 January Pohang

Dark Matter as a portal to New Physics 2024

# Motivation for sterile neutrino

- to generate **neutrino mass** for explaining the oscillation of the active neutrinos
- to explain possible **anomalies in the neutrino oscillation** in the short baseline experiments
- to explain the **asymmetry of matter-antimatter** in the Universe such as leptogenesis
- to explain the **non-relativistic matter component** in the Universe



# Neutrino Mixing and Oscillation

# Neutrino mixing and oscillation

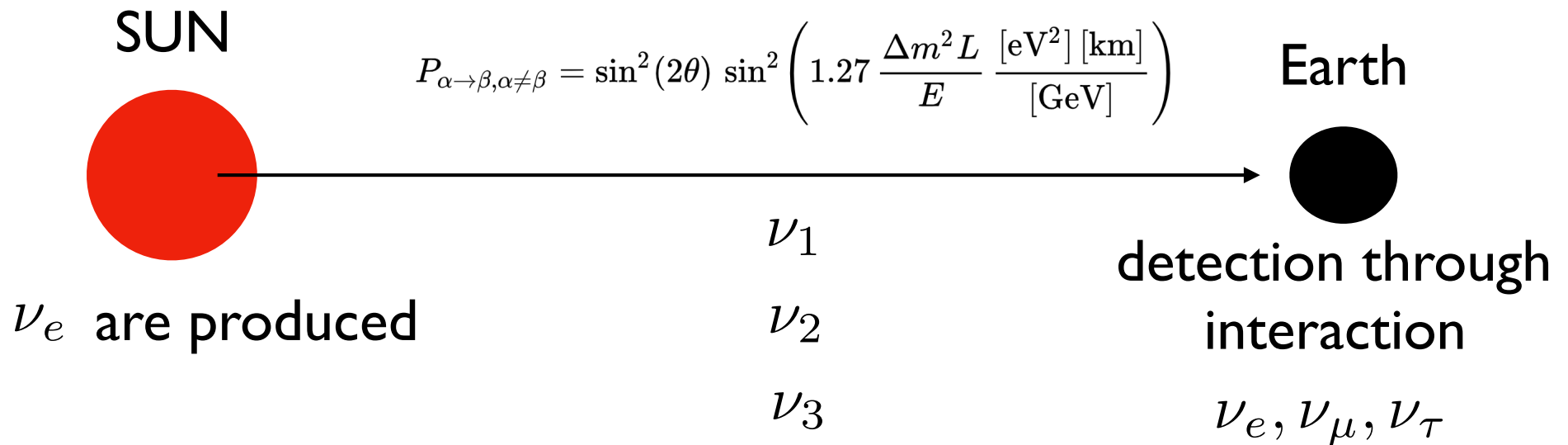
$$\nu_e, \nu_\mu, \nu_\tau \quad |\nu_\alpha\rangle = \sum U_{\alpha k}^* |\nu_k\rangle, \quad \nu_1, \nu_2, \nu_3$$

weak interaction states

mass states

(production, detection)

(propagation)



# Oscillation Probability

$$P_{\alpha \rightarrow \beta, \alpha \neq \beta} = \sin^2(2\theta) \sin^2 \left( 1.27 \frac{\Delta m^2 L}{E} \frac{[\text{eV}^2] [\text{km}]}{[\text{GeV}]} \right)$$

to make an order of  $\mathcal{O}(1)$  inside sine-function

Experiment		$L$ (m)	$E$ (MeV)	$ \Delta m^2 $ (eV <sup>2</sup> )	
$\nu_e$	Solar	$10^{10}$	1	$10^{-10}$	$\ll 10^{-5}$
$\nu_\mu, \bar{\nu}_\mu$	Atmospheric	$10^4 - 10^7$	$10^2 - 10^5$	$10^{-1} - 10^{-4}$	$\sim 10^{-3}$
$\bar{\nu}_e$	Reactor	VSBL-SBL-MBL	1	$1 - 10^{-3}$	
		LBL	$10^4 - 10^5$	$10^{-4} - 10^{-5}$	
$\nu_\mu, \bar{\nu}_\mu$	Accelerator	SBL	$10^3 - 10^4$	$> 0.1$	
		LBL	$10^5 - 10^6$	$10^3 - 10^4$	$10^{-2} - 10^{-3}$

[PDG 2022]

# Standard 3 neutrino oscillation

$$|\nu_\alpha\rangle = \sum U_{\alpha k}^* |\nu_k\rangle ,$$

$$U = \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \cdot \begin{pmatrix} c_{13} & 0 & s_{13}e^{-i\delta_{\text{CP}}} \\ 0 & 1 & 0 \\ -s_{13}e^{i\delta_{\text{CP}}} & 0 & c_{13} \end{pmatrix} \cdot \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix} \cdot \begin{pmatrix} e^{i\eta_1} & 0 & 0 \\ 0 & e^{i\eta_2} & 0 \\ 0 & 0 & 1 \end{pmatrix} ,$$

$$= \begin{pmatrix} c_{12} c_{13} & s_{12} c_{13} & s_{13} e^{-i\delta_{\text{CP}}} \\ -s_{12} c_{23} - c_{12} s_{13} s_{23} e^{i\delta_{\text{CP}}} & c_{12} c_{23} - s_{12} s_{13} s_{23} e^{i\delta_{\text{CP}}} & c_{13} s_{23} \\ s_{12} s_{23} - c_{12} s_{13} c_{23} e^{i\delta_{\text{CP}}} & -c_{12} s_{23} - s_{12} s_{13} c_{23} e^{i\delta_{\text{CP}}} & c_{13} c_{23} \end{pmatrix}$$

Pontecorvo-Maki-Nakagawa-Sakata (PMNS) mixing matrix

# Propagation and oscillation probability

The neutrino state after a time  $T$  and a distance  $L$

$$|\nu_\alpha(T, L)\rangle = \sum_j e^{-iE_j T + ip_j L} U_{\alpha j}^* |\nu_j\rangle$$

with  $E_j$  and  $p_j$  for the  $j$ -th mass eigenstates

The oscillation probability

$$P_{\alpha\beta} \equiv |\langle \nu_\beta | \nu_\alpha(T, L) \rangle|^2 = \sum_{j,k} U_{\alpha j}^* U_{\beta j} U_{\alpha k} U_{\beta k}^* e^{-i(E_j - E_k)T + i(p_j - p_k)L}$$

Then the phase term is approximated as

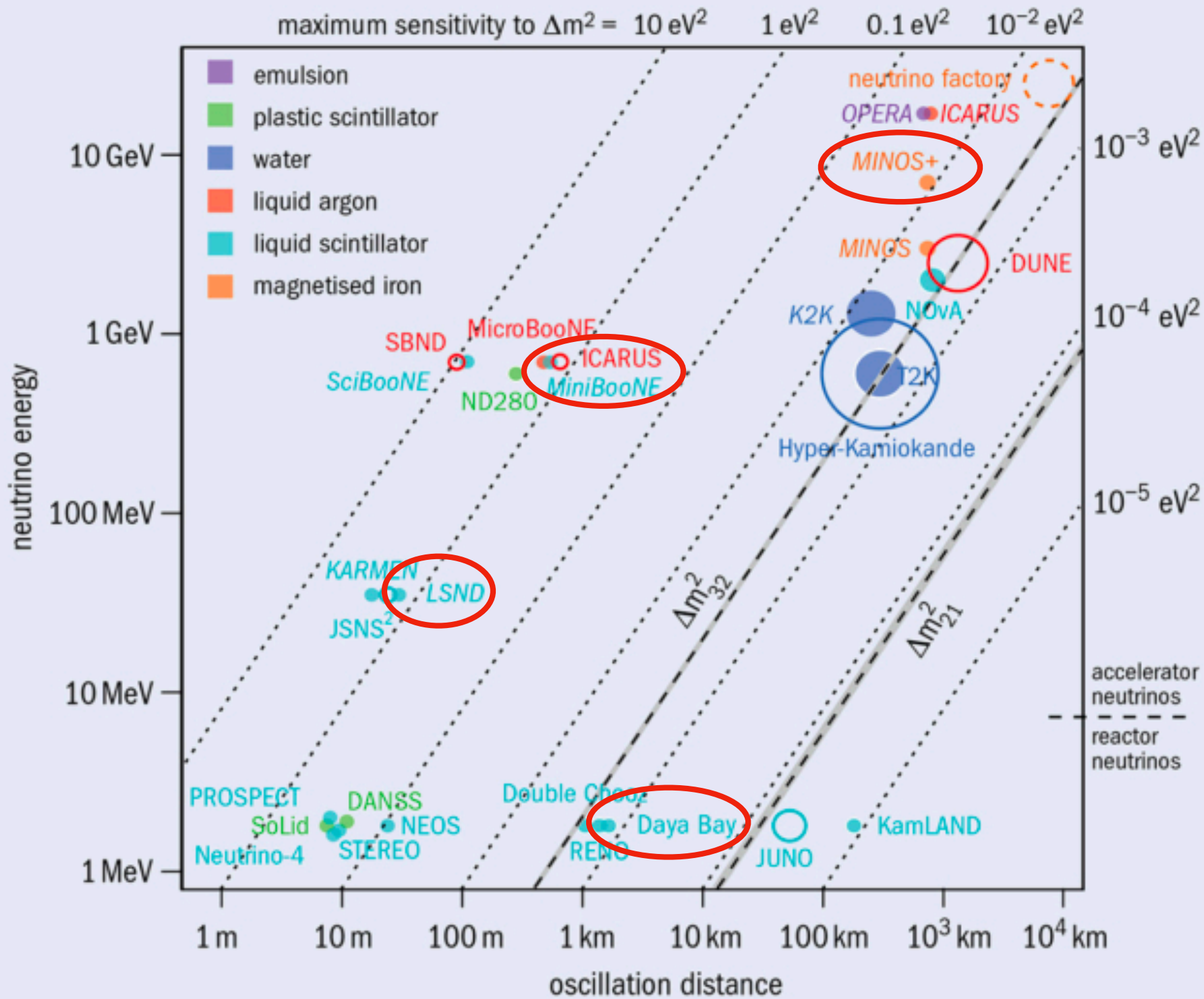
$$p_j = \sqrt{E_j^2 - m_j^2}$$

$$-i(E_j - E_k)(T - L) - i\Delta m_{jk}^2 L / (2E) \sim -i\Delta m_{jk}^2 L / (2E)$$

Therefore

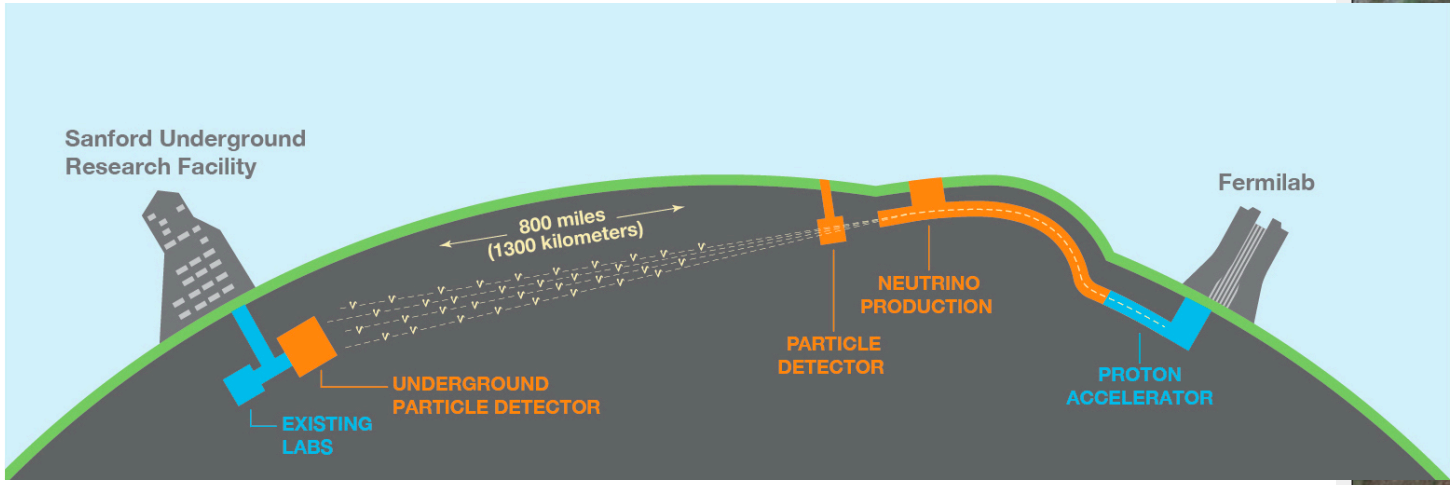
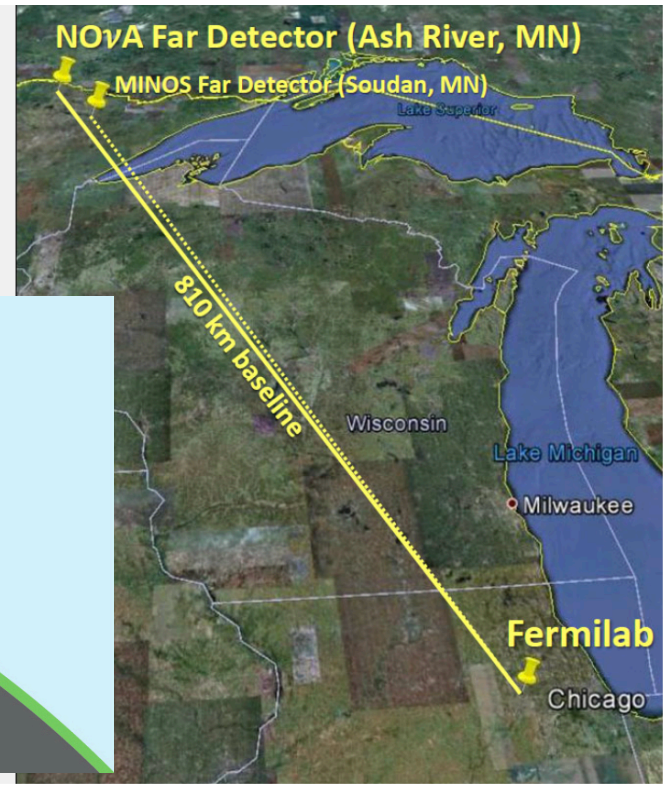
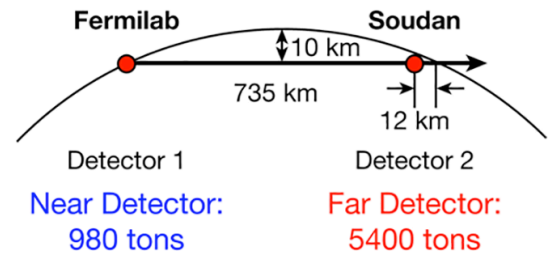
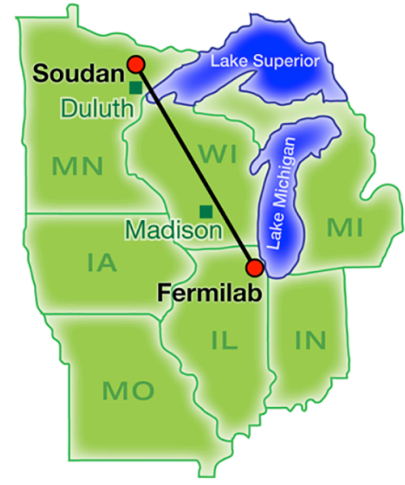
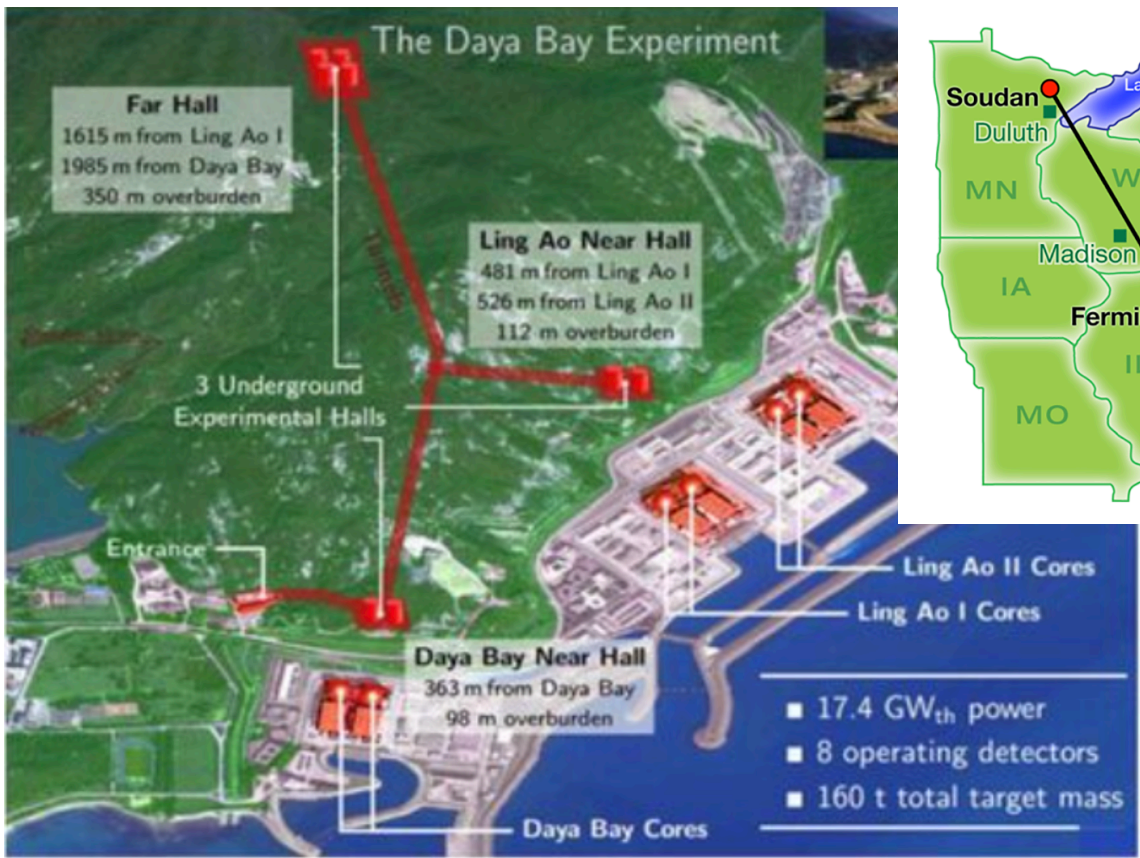
$$P_{\alpha\beta} = \sum_{j,k} U_{\alpha j}^* U_{\beta j} U_{\alpha k} U_{\beta k}^* e^{-i\Delta m_{jk}^2 L / (2E)}$$

	Ref. [181] w/o SK-ATM		Ref. [181] w SK-ATM		Ref. [182] w SK-ATM		Ref. [183] w SK-ATM	
NO	Best Fit Ordering		Best Fit Ordering		Best Fit Ordering		Best Fit Ordering	
Param	bfp $\pm 1\sigma$	$3\sigma$ range	bfp $\pm 1\sigma$	$3\sigma$ range	bfp $\pm 1\sigma$	$3\sigma$ range	bfp $\pm 1\sigma$	$3\sigma$ range
$\frac{\sin^2 \theta_{12}}{10^{-1}}$	$3.03^{+0.12}_{-0.11}$	2.70 → 3.41	$3.03^{+0.12}_{-0.12}$	2.70 → 3.41	$3.03^{+0.13}_{-0.13}$	2.63 → 3.45	$3.18^{+0.16}_{-0.16}$	2.71 → 3.69
$\theta_{12}/^\circ$	$33.41^{+0.75}_{-0.72}$	31.31 → 35.74	$33.41^{+0.75}_{-0.72}$	31.31 → 35.74	$33.40^{+0.80}_{-0.82}$	30.85 → 35.97	$34.3^{+1.0}_{-1.0}$	31.4 → 37.4
$\frac{\sin^2 \theta_{23}}{10^{-1}}$	$5.72^{+0.18}_{-0.23}$	4.06 → 6.20	$4.51^{+0.19}_{-0.16}$	4.08 → 6.03	$4.55^{+0.18}_{-0.15}$	4.16 → 5.99	$5.74^{+0.14}_{-0.14}$	4.34 → 6.10
$\theta_{23}/^\circ$	$49.1^{+1.0}_{-1.3}$	39.6 → 51.9	$42.2^{+1.1}_{-0.9}$	39.7 → 51.0	$42.4^{+1.0}_{-0.9}$	40.2 → 50.7	$49.3^{+0.8}_{-0.8}$	41.2 → 51.3
$\frac{\sin^2 \theta_{13}}{10^{-2}}$	$2.203^{+0.056}_{-0.059}$	2.029 → 2.391	$2.225^{+0.056}_{-0.059}$	2.052 → 2.398	$2.23^{+0.07}_{-0.06}$	2.04 → 2.44	$2.200^{+0.069}_{-0.062}$	2.00 → 2.405
$\theta_{13}/^\circ$	$8.54^{+0.11}_{-0.12}$	8.19 → 8.89	$8.58^{+0.11}_{-0.11}$	8.23 → 8.91	$8.59^{+0.13}_{-0.12}$	8.21 → 8.99	$8.53^{+0.13}_{-0.12}$	8.13 → 8.92
$\delta_{CP}/^\circ$	$197^{+42}_{-25}$	108 → 404	$232^{+36}_{-26}$	144 → 350	$223^{+32}_{-23}$	139 → 355	$194^{+24}_{-22}$	128 → 359
$\frac{\Delta m_{21}^2}{10^{-5} \text{ eV}^2}$	$7.41^{+0.21}_{-0.20}$	6.82 → 8.03	$7.41^{+0.21}_{-0.20}$	6.82 → 8.03	$7.36^{+0.16}_{-0.15}$	6.93 → 7.93	$7.50^{+0.22}_{-0.20}$	6.94 → 8.14
$\frac{\Delta m_{32}^2}{10^{-3} \text{ eV}^2}$	$2.437^{+0.028}_{-0.027}$	2.354 → 2.523	$2.433^{+0.026}_{-0.027}$	2.353 → 2.516	$2.448^{+0.023}_{-0.031}$	2.367 → 2.521	$2.47^{+0.02}_{-0.03}$	2.40 → 2.46
IO	$\Delta\chi^2 = 2.3$		$\Delta\chi^2 = 6.4$		$\Delta\chi^2 = 6.5$		$\Delta\chi^2 = 6.4$	
$\frac{\sin^2 \theta_{12}}{10^{-1}}$	$3.03^{+0.12}_{-0.11}$	2.70 → 3.41	$3.03^{+0.12}_{-0.11}$	2.70 → 3.41	$3.03^{+0.13}_{-0.13}$	2.63 → 3.45	$3.18^{+0.16}_{-0.16}$	2.71 → 3.69
$\theta_{12}/^\circ$	$33.41^{+0.75}_{-0.72}$	31.31 → 35.74	$33.41^{+0.75}_{-0.72}$	31.31 → 35.74	$33.40^{+0.80}_{-0.82}$	30.85 → 35.97	$34.3^{+1.0}_{-1.0}$	31.4 → 37.4
$\frac{\sin^2 \theta_{23}}{10^{-1}}$	$5.78^{+0.16}_{-0.21}$	4.12 → 6.23	$5.69^{+0.16}_{-0.21}$	4.12 → 6.13	$5.69^{+0.13}_{-0.21}$	4.17 → 6.06	$5.78^{+0.10}_{-0.17}$	4.33 → 6.08
$\theta_{23}/^\circ$	$49.5^{+0.9}_{-1.2}$	39.9 → 52.1	$49.0^{+1.0}_{-1.2}$	39.9 → 51.5	$49.0^{+0.7}_{-1.4}$	40.2 → 51.1	$49.5^{+0.6}_{-1.0}$	41.2 → 51.2
$\frac{\sin^2 \theta_{13}}{10^{-2}}$	$2.219^{+0.060}_{-0.057}$	2.047 → 2.396	$2.223^{+0.058}_{-0.058}$	2.048 → 2.416	$2.23^{+0.06}_{-0.06}$	2.03 → 2.45	$2.225^{+0.064}_{-0.070}$	2.02 → 2.42
$\theta_{13}/^\circ$	$8.57^{+0.12}_{-0.11}$	8.23 → 8.90	$8.57^{+0.11}_{-0.11}$	8.23 → 8.94	$8.59^{+0.13}_{-0.12}$	8.19 → 9.00	$8.58^{+0.12}_{-0.14}$	8.17 → 8.96
$\delta_{CP}/^\circ$	$286^{+27}_{-32}$	192 → 360	$276^{+22}_{-29}$	194 → 344	$274^{+25}_{-27}$	193 → 342	$284^{+26}_{-28}$	200 → 353
$\frac{\Delta m_{21}^2}{10^{-5} \text{ eV}^2}$	$7.41^{+0.21}_{-0.20}$	6.82 → 8.03	$7.41^{+0.21}_{-0.20}$	6.82 → 8.03	$7.36^{+0.16}_{-0.15}$	6.93 → 7.93	$7.50^{+0.22}_{-0.20}$	6.94 → 8.14
$\frac{\Delta m_{32}^2}{10^{-3} \text{ eV}^2}$	$-2.498^{+0.032}_{-0.025}$	-2.581 → -2.408	$-2.486^{+0.028}_{-0.025}$	-2.570 → -2.406	$-2.492^{+0.025}_{-0.030}$	-2.578 → -2.413	$-2.52 \pm^{+0.03}_{-0.02}$	-2.60 → -2.44





# The MINOS Experiment

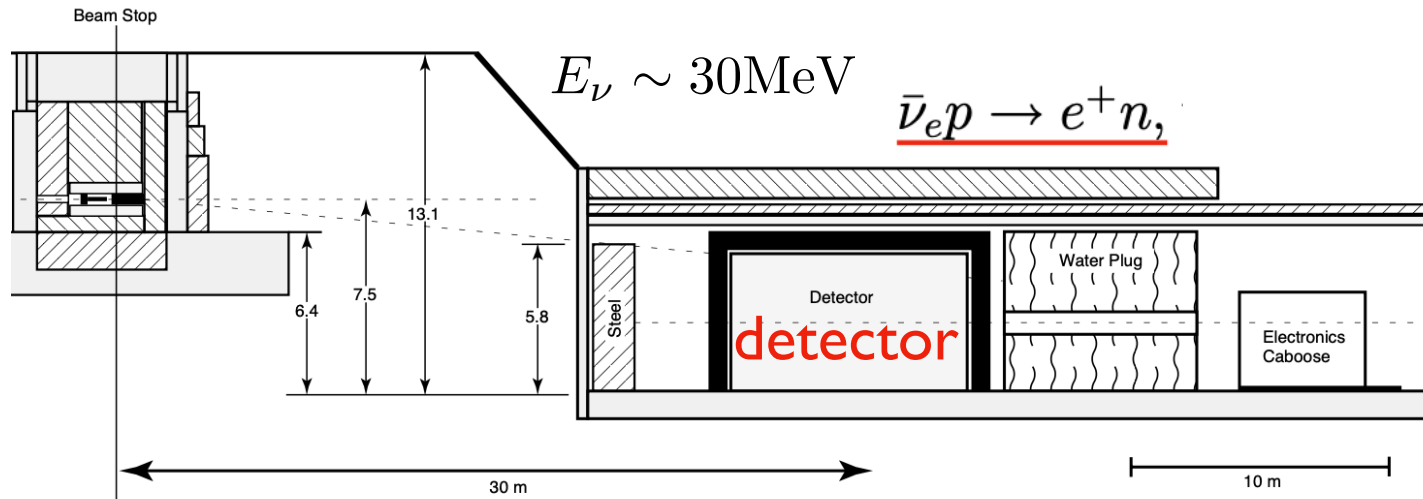




$\pi^+ \rightarrow \nu_\mu + (\mu^+ \rightarrow e^+ \nu_e \bar{\nu}_\mu)$   
**stopped pion decay**

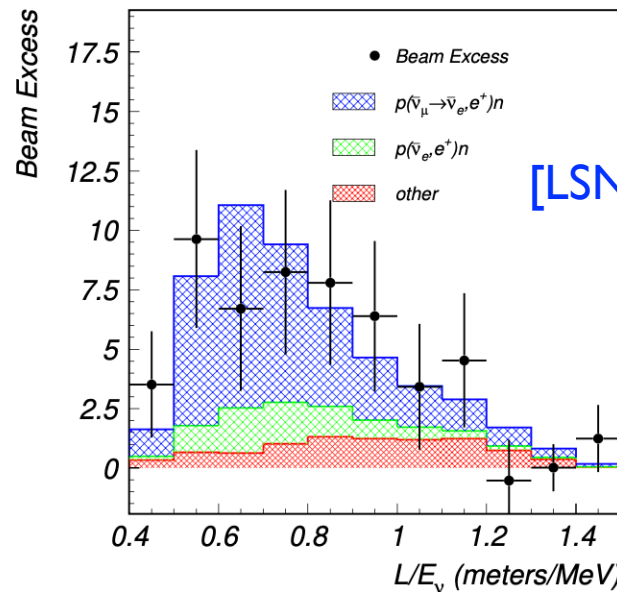
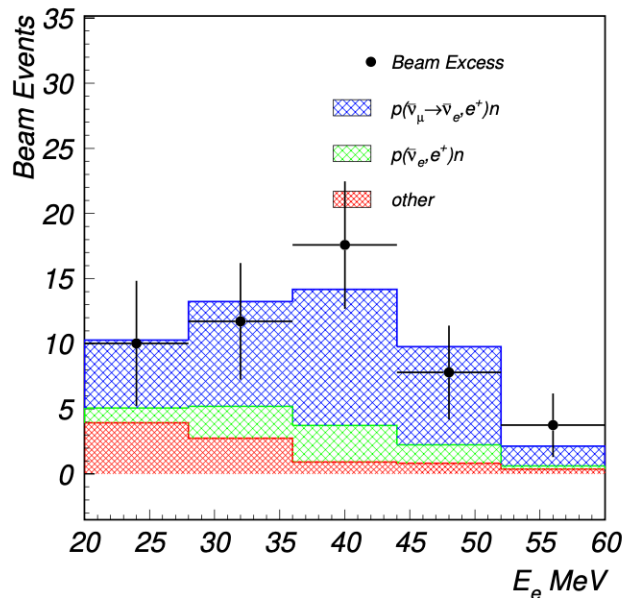
# LSND Anomaly

Liquid Scintillator Neutrino detector  
 at Los Alamos National Laboratory  
 (1993-1998)



**30 meters**

$\bar{\mu}_\nu \rightarrow \bar{\nu}_e$  oscillation search : e-neutrino appearance



[LSND, PRD (2001), hep-ex/0104049]

blue: predicted signal from  
 oscillation due to sterile neutrino

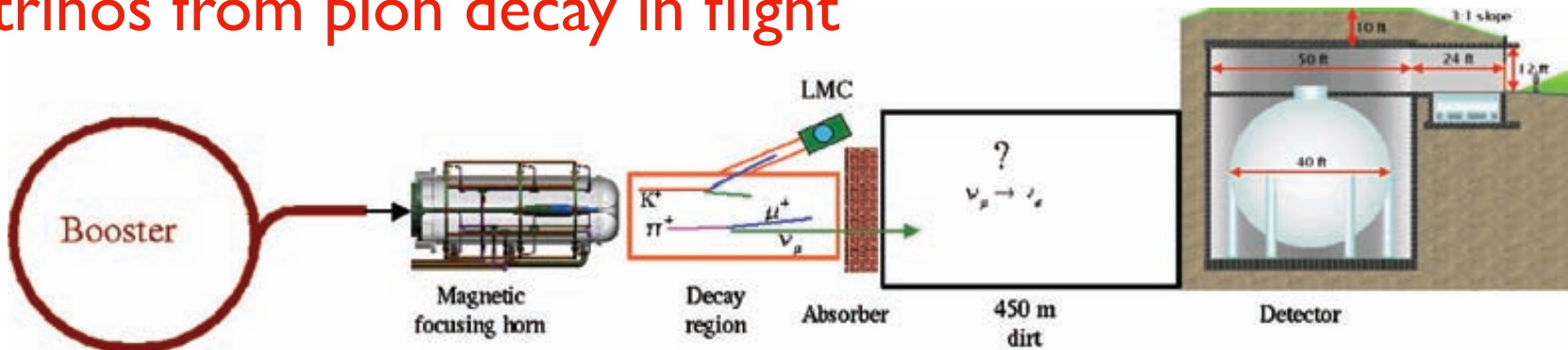
$$\bar{\nu}_\mu \rightarrow \bar{\nu}_s \rightarrow \bar{\nu}_e$$

green, red: background

# MiniBooNE Anomaly

at Fermilab

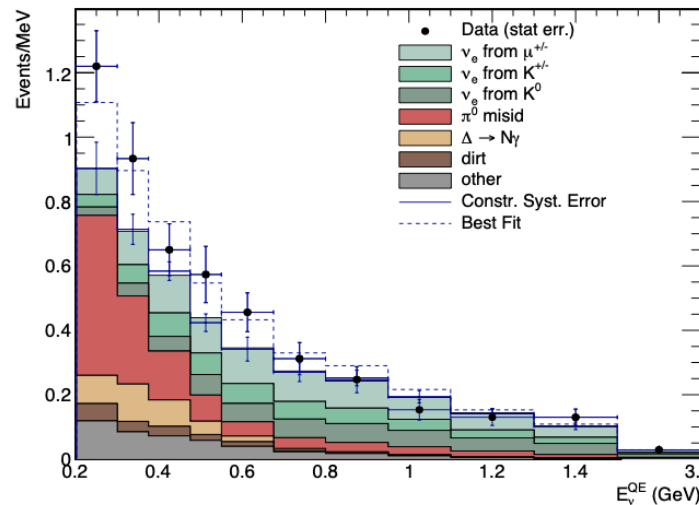
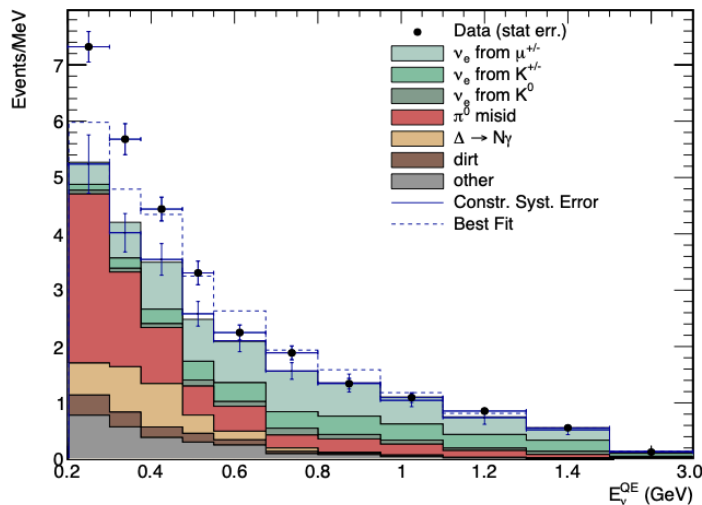
$\nu_\mu$  or  $\bar{\nu}_\mu$  : 200 MeV ~ 1.5 GeV  
 neutrinos from pion decay in flight



~ 500 meters

$\nu_\mu$  mode : e-neutrino appearance

$\bar{\nu}_\mu$  mode



blue dashed: predicted signal from oscillation due to sterile neutrino

[MiniBoone, PRL (2018), 1805.12028]

## $\nu_\mu \rightarrow \nu_e$ appearance searches

ex) LSND, MiniBoone, etc

In the short-baseline limit,  $\Delta m_{21}^2 L/E \ll 1$ ,  $\Delta m_{31}^2 L/E \ll 1$

: standard model oscillations have not been developed yet.

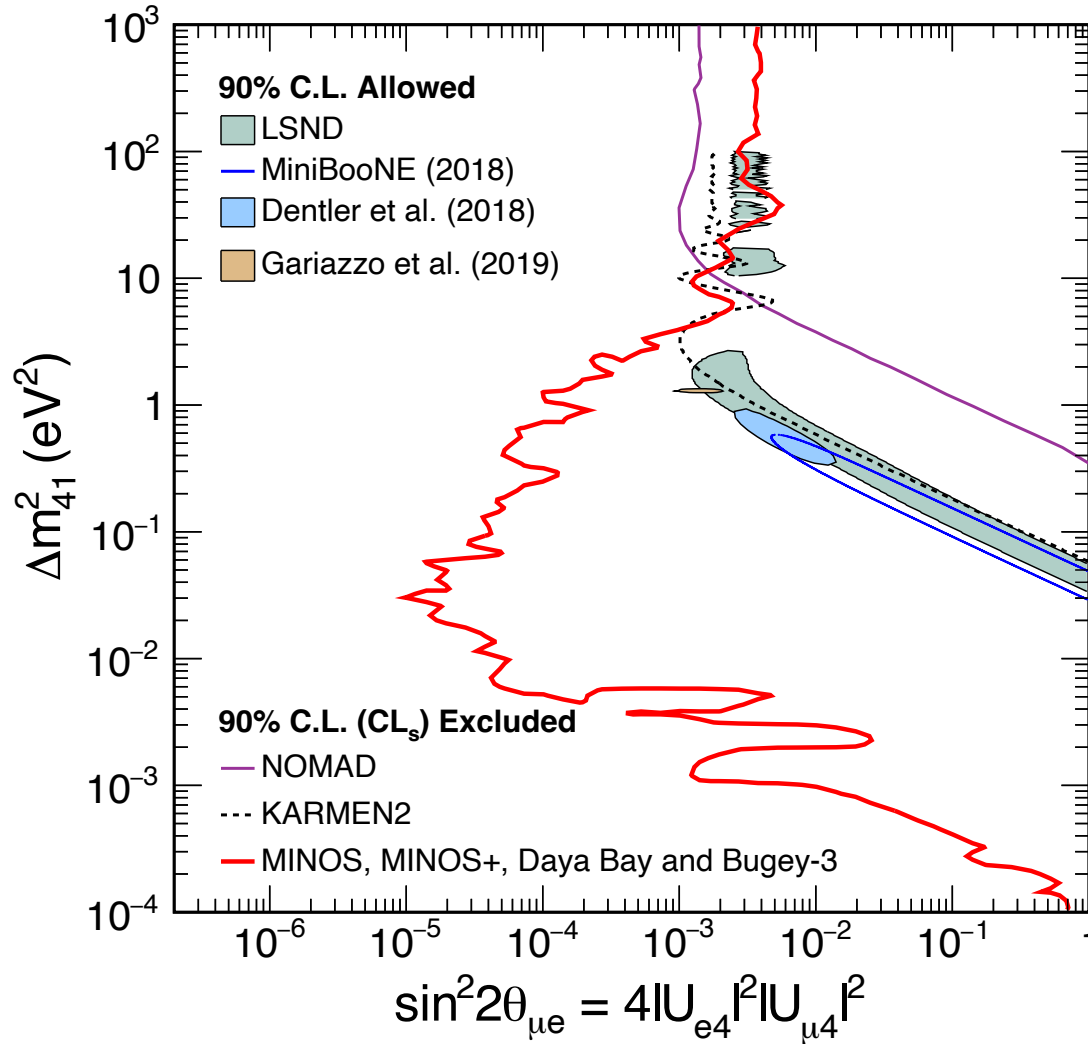
The oscillation can be solely due to sterile neutrino mixing as

$$P_{\mu e}^{\text{sbl}} \simeq 4|U_{e4}|^2|U_{\mu4}|^2 \sin^2 \frac{\Delta m_{41}^2 L}{4E}$$

thus, the effective mixing is defined as  $\sin^2 2\theta_{\mu e} \equiv 4|U_{e4}|^2|U_{\mu4}|^2$

# Constraints on the sterile neutrino mixing

[DAYA BAY, MINOS+ collaboration, PRL (2020), 2002.00301]



LSND, MiniBooNE 90% CL  
allowed region

MINOS, MINOS+, DAYA BAY,  
Bugey-3 combined 90% CL  
excluded region

disappearance of e-antineutrino,  
mu-neutrino, mu-antineutrino

$$|U_{e3}|^2 = \cos^2 \theta_{14} \sin^2 \theta_{13},$$

$$|U_{e4}|^2 = \sin^2 \theta_{14},$$

$$|U_{\mu 4}|^2 = \sin^2 \theta_{24} \cos^2 \theta_{14}.$$

**3+1 model**

# Standard 3 neutrino + one sterile neutrino

$$\begin{array}{l}
 \text{weak states} \\
 |\nu_\alpha\rangle = \sum U_{\alpha k}^* |\nu_k\rangle,
 \end{array}
 \quad
 \begin{array}{l}
 \text{mass states} \\
 \mathbf{U} \equiv
 \begin{pmatrix}
 U_{e1} & U_{e2} & U_{e3} & U_{e4} \\
 U_{\mu1} & U_{\mu2} & U_{\mu3} & U_{\mu4} \\
 U_{\tau1} & U_{\tau2} & U_{\tau3} & U_{\tau4} \\
 U_{s1} & U_{s2} & U_{s3} & U_{s4}
 \end{pmatrix}
 \end{array}
 \quad
 \begin{array}{l}
 \text{for fields} \\
 \nu_\alpha = \sum U_{\alpha j} \nu_j \\
 |\nu_\alpha\rangle = \nu_\alpha^\dagger |0\rangle
 \end{array}$$

$$\mathbf{U} = \mathbf{U}_{34} \mathbf{U}_{24} \mathbf{U}_{23} \mathbf{U}_{14} \mathbf{U}_{13} \mathbf{U}_{12},$$

# $\nu_e$ and $\bar{\nu}_e$ disappearance searches

In the short-baseline limit,  $\Delta m_{21}^2 L/E \ll 1$ ,  $\Delta m_{31}^2 L/E \ll 1$   
: standard model oscillations have not been developed yet.

The oscillation is solely due to sterile neutrino mixing as

$$P_{ee}^{\text{sb}} \simeq 1 - 4|U_{e4}|^2(1 - |U_{e4}|^2) \sin^2 \frac{\Delta m_{41}^2 L}{4E}$$

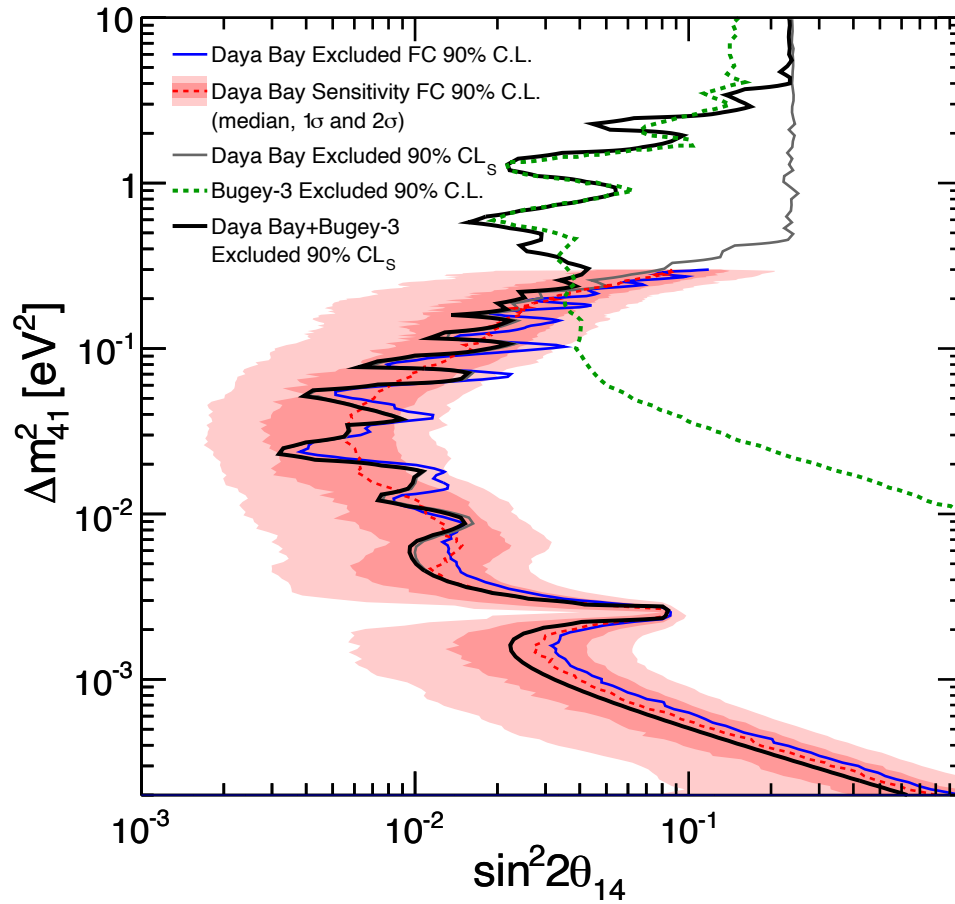
with the effective mixing angle can be define as

$$\sin^2 2\theta_{ee} \equiv 4|U_{e4}|^2(1 - |U_{e4}|^2)$$

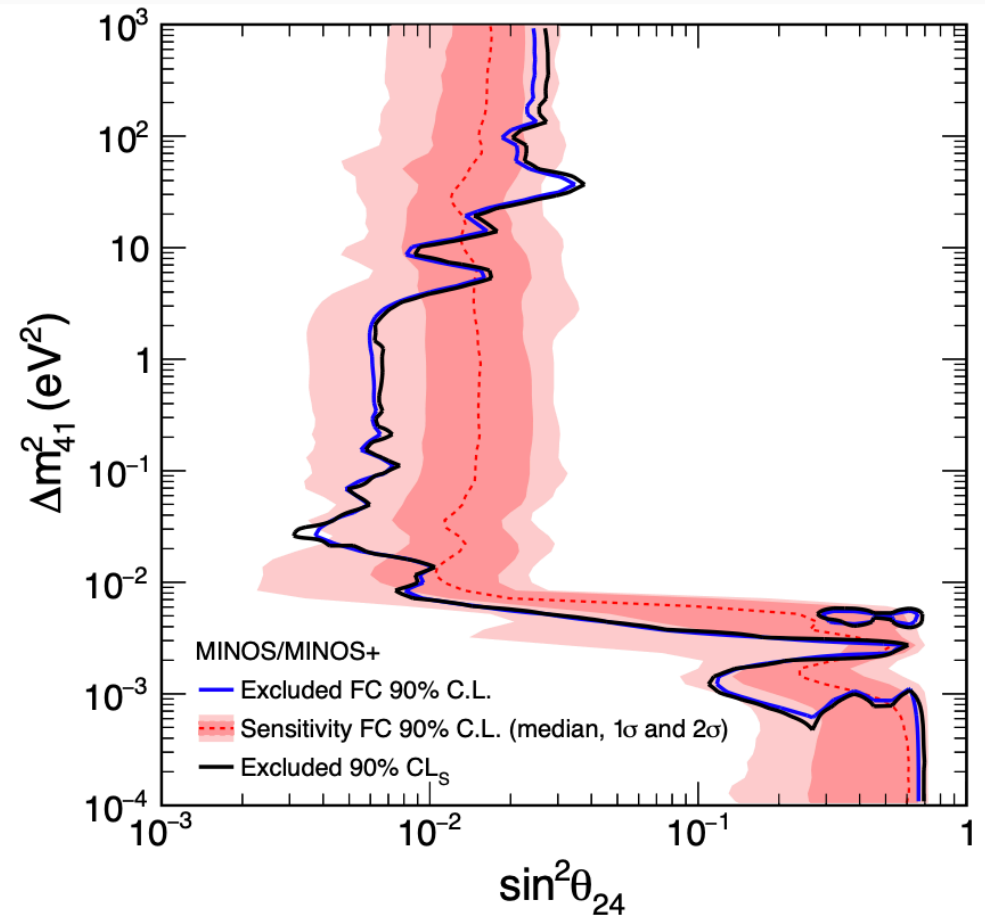
Similar to the **muon neutrino disappearance searches**, in the short-baseline

$$P_{\mu\mu}^{\text{sb}} \simeq 1 - 4|U_{\mu4}|^2(1 - |U_{\mu4}|^2) \sin^2 \frac{\Delta m_{41}^2 L}{4E}$$

[DAYA BAY, MINOS+ collaboration, PRL (2020), 2002.00301]



disappearance of e-antineutrino,

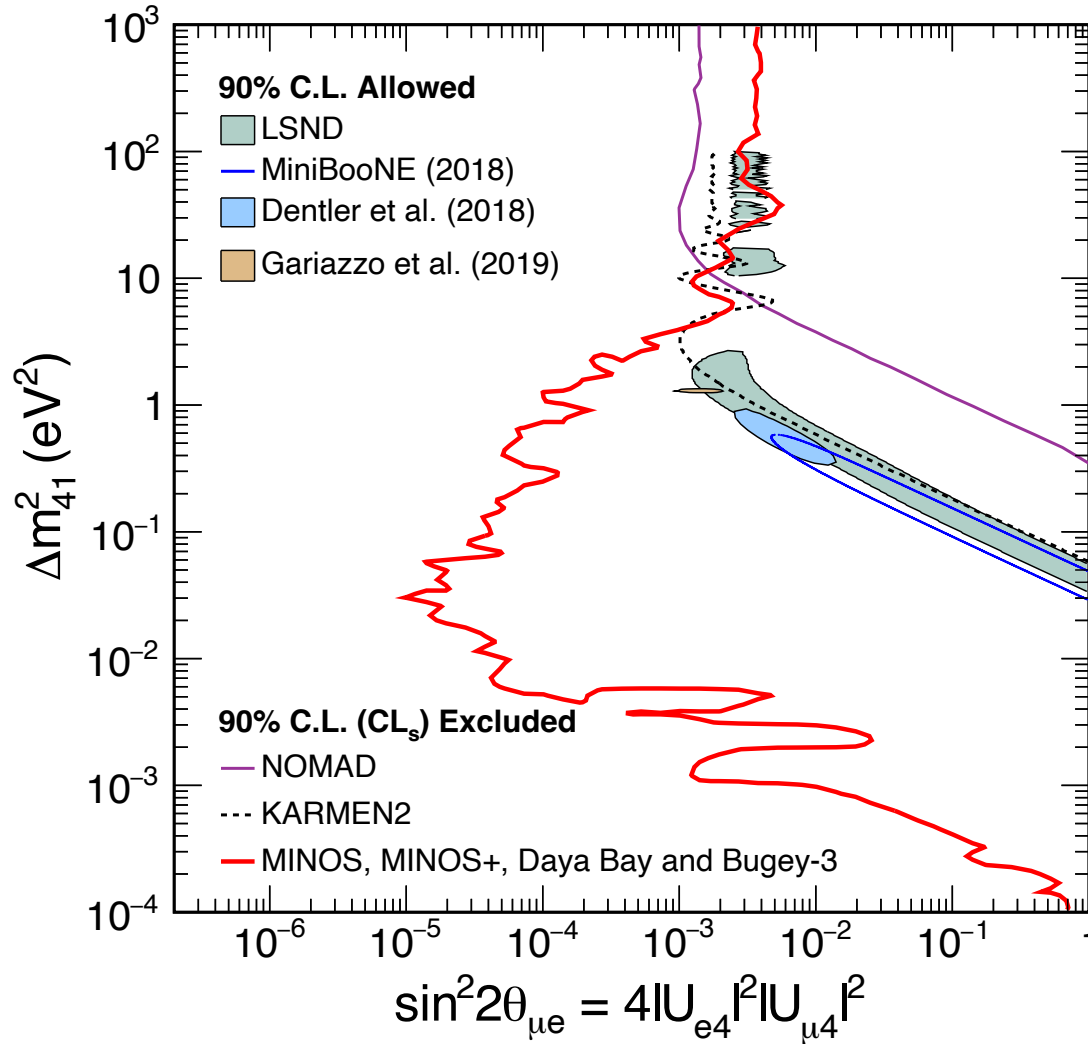


disappearance of  
mu-neutrino, mu-antineutrino



# Constraints on the sterile neutrino mixing

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LSND, MiniBooNE 90% CL  
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disappearance of e-antineutrino,  
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$$|U_{e3}|^2 = \cos^2 \theta_{14} \sin^2 \theta_{13},$$

$$|U_{e4}|^2 = \sin^2 \theta_{14},$$

$$|U_{\mu 4}|^2 = \sin^2 \theta_{24} \cos^2 \theta_{14}.$$

reactor

disappearance of e-antineutrino

$$\begin{aligned}
P_{\bar{\nu}_e \rightarrow \bar{\nu}_e} &\approx 1 - 4|U_{e4}|^2 (1 - |U_{e4}|)^2 \sin^2 \left( \frac{\Delta m_{41}^2 L}{4E} \right) \\
&\quad - 4|U_{e3}|^2 (1 - |U_{e3}|^2) \sin^2 \left( \frac{\Delta m_{31}^2 L}{4E} \right), \\
&\approx 1 - \sin^2 2\theta_{14} \sin^2 \left( \frac{\Delta m_{41}^2 L}{4E} \right) \\
&\quad - \sin^2 2\theta_{13} \sin^2 \left( \frac{\Delta m_{31}^2 L}{4E} \right).
\end{aligned}$$

Long baseline

disappearance of mu-(anti)neutrino

$$\begin{aligned}
P_{\nu_{\mu}^{(-)} \rightarrow \nu_{\mu}^{(-)}} &\approx 1 - \sin^2 2\theta_{23} \cos 2\theta_{24} \sin^2 \left( \frac{\Delta m_{31}^2 L}{4E} \right) \\
&\quad - \sin^2 2\theta_{24} \sin^2 \left( \frac{\Delta m_{41}^2 L}{4E} \right).
\end{aligned}$$

Long baseline

Deficits of neutral current neutrino interaction between near and far detector

$$\begin{aligned}
P_{\text{NC}} &= 1 - P(\nu_{\mu} \rightarrow \nu_s) \\
&\approx 1 - \cos^4 \theta_{14} \cos^2 \theta_{34} \sin^2 2\theta_{24} \sin^2 \left( \frac{\Delta m_{41}^2 L}{4E} \right) \\
&\quad - \sin^2 \theta_{34} \sin^2 2\theta_{23} \sin^2 \left( \frac{\Delta m_{31}^2 L}{4E} \right) \quad (5) \\
&\quad + \frac{1}{2} \sin \delta_{24} \sin \theta_{24} \sin 2\theta_{34} \sin 2\theta_{23} \sin \left( \frac{\Delta m_{31}^2 L}{2E} \right).
\end{aligned}$$

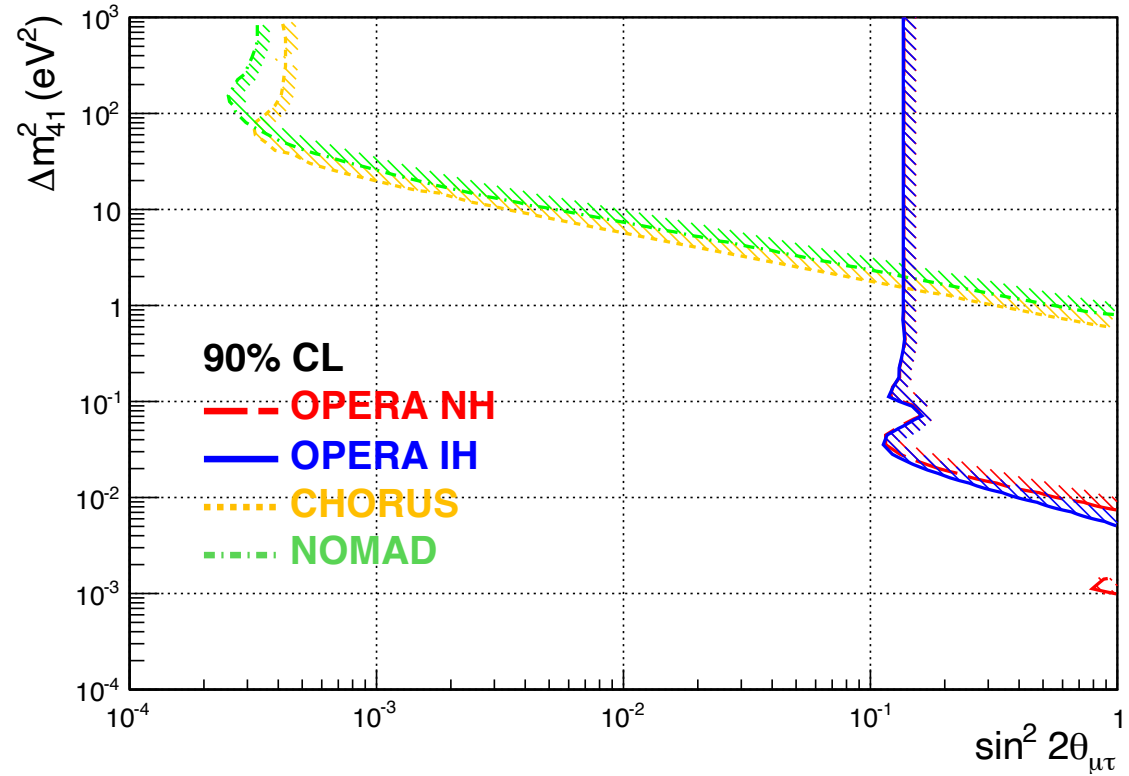
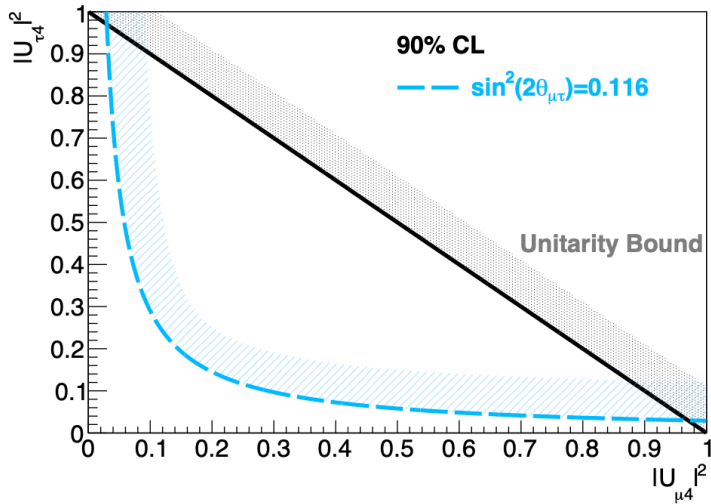


# Sterile neutrino search via $\nu_\mu \rightarrow \nu_\tau$ oscillations

: tau neutrino appearance

[OPERA, JHEP (2015), 1503.01876]

$$\Delta m_{41}^2 > 1 \text{ eV}^2$$

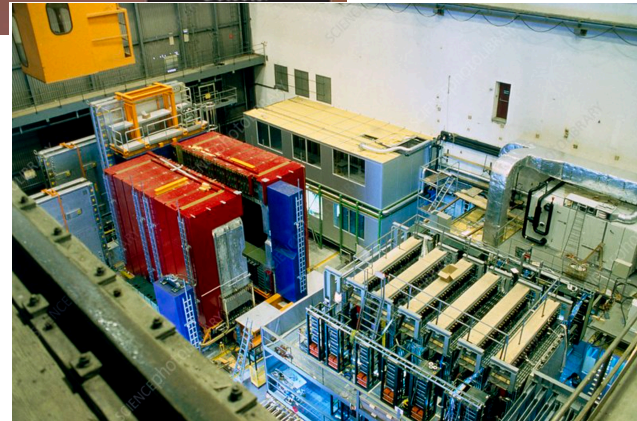
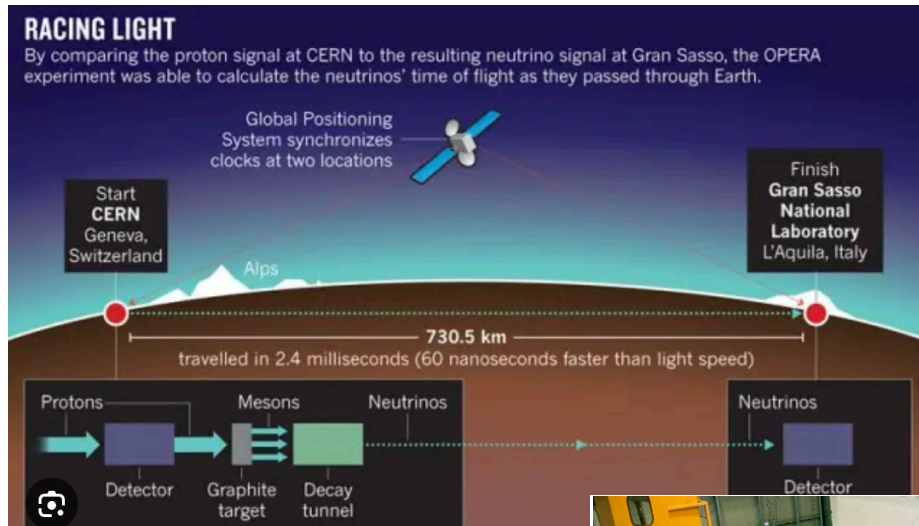


$$P(E) = C^2 \sin^2 \Delta_{31} + \frac{1}{2} \sin^2 2\theta_{\mu\tau} + C \sin 2\theta_{\mu\tau} \cos \phi_{\mu\tau} \sin^2 \Delta_{31} + \frac{1}{2} C \sin 2\theta_{\mu\tau} \sin \phi_{\mu\tau} \sin 2\Delta_{31}.$$

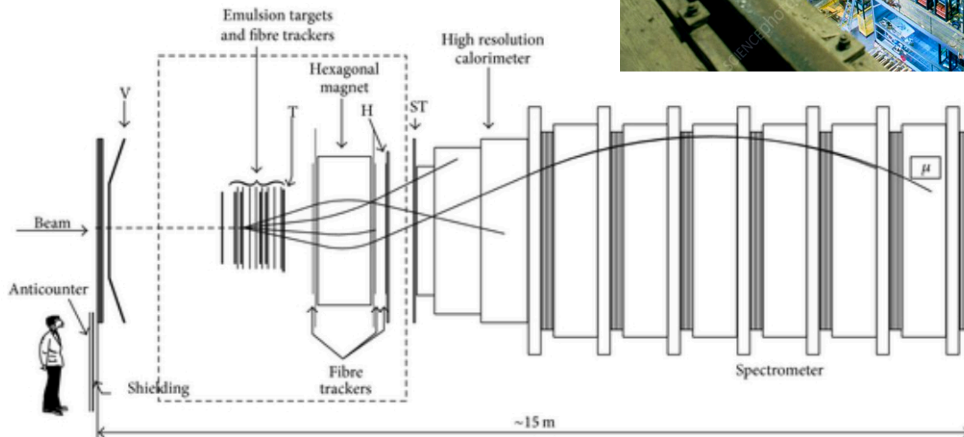
$$\sin 2\theta_{\mu\tau} = 2|U_{\mu 4}||U_{\tau 4}|,$$

$$C = 2|U_{\mu 3}||U_{\tau 3}|, \Delta_{ij} = 1.27 \Delta m_{ij}^2 L/E \quad (i,j = 1,2,3,4), \phi_{\mu\tau} = \text{Arg}(U_{\mu 3}U_{\tau 3}^*U_{\mu 4}^*U_{\tau 4})$$

# OPERA



# CHORUS



# NOMAD at CERN

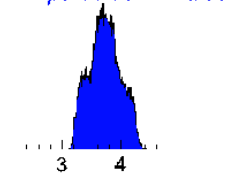
## Pion Decay Hypothesis

$$\pi^+ \rightarrow \mu^+ + X$$

$$m_X = 33.9 \text{ MeV}$$

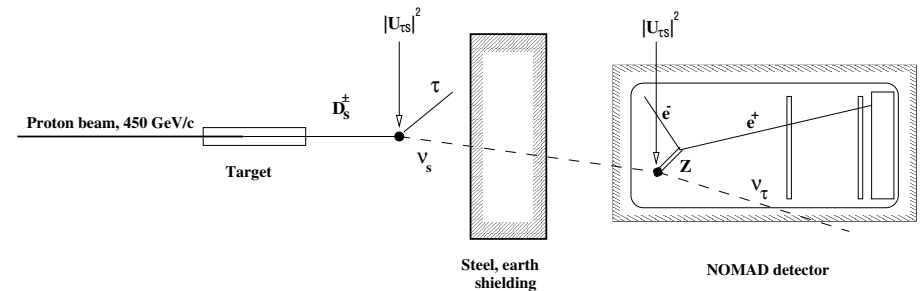
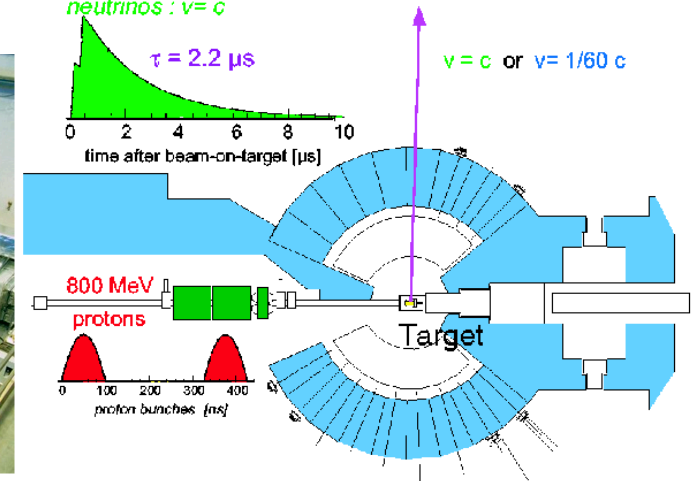
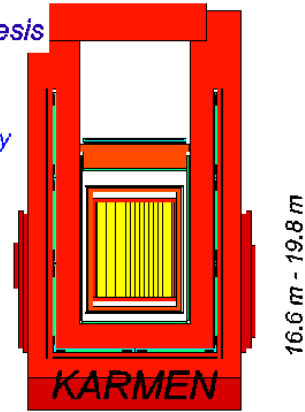
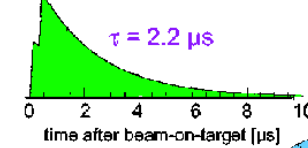
$$X\text{-particles: } t_0 = \pi\text{-decay}$$

$$X\text{-particles: } v = c/60$$



$$\text{neutrinos: } t_0 = \mu\text{-decay}$$

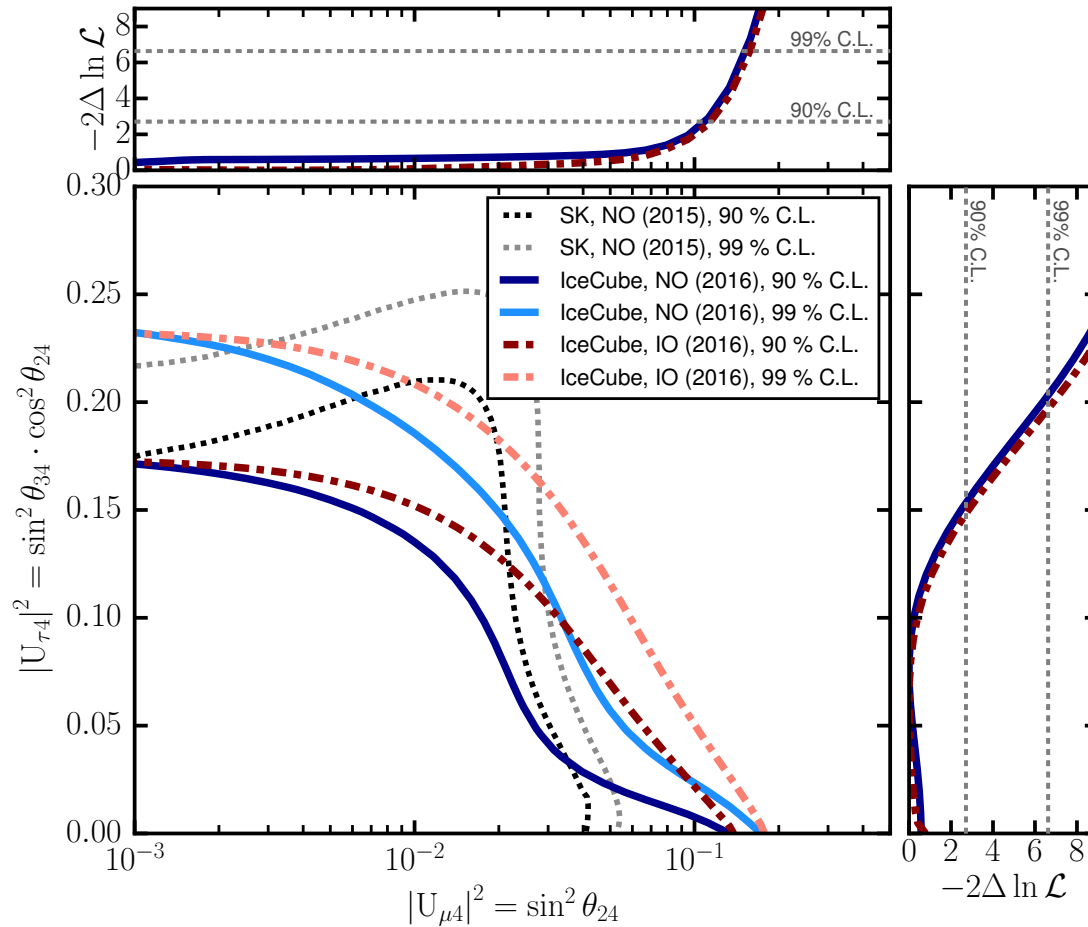
$$\text{neutrinos: } v = c$$



# Search for sterile neutrino mixing using three years of IceCube DeepCore data

[IceCube, PRD (2017), 1702.05160]

atmospheric neutrino  
with energy around 10 - 60 GeV



the upper bound on the mixing  
from **muon neutrino disappearance**

$$\text{for } \Delta m_{41}^2 \sim 1 \text{ eV}^2$$

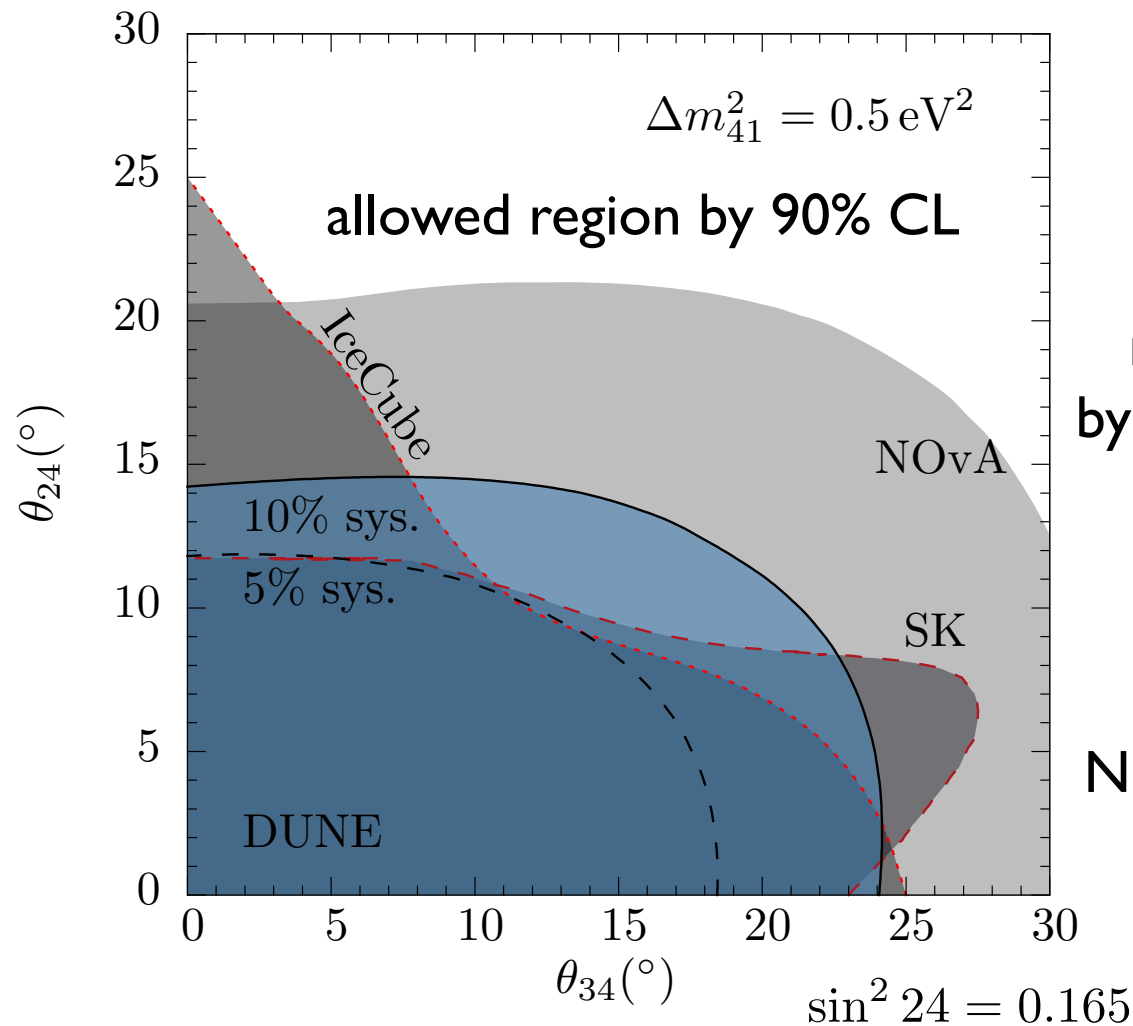
$$|U_{\mu 4}|^2 < 0.11$$

$$|U_{\tau 4}|^2 < 0.15 \text{ (90\% C.L.)}$$

$$P(\nu_\mu \rightarrow \nu_\mu) \approx 1 - \sin^2(2\theta_{23}) \sin^2\left(\Delta m_{32}^2 \frac{L}{4E_\nu}\right), \text{ w/o sterile neutrino}$$

# Using neutral current interaction

Coloma et al (JHEP 2018, 1707.05348): DUNE expectation



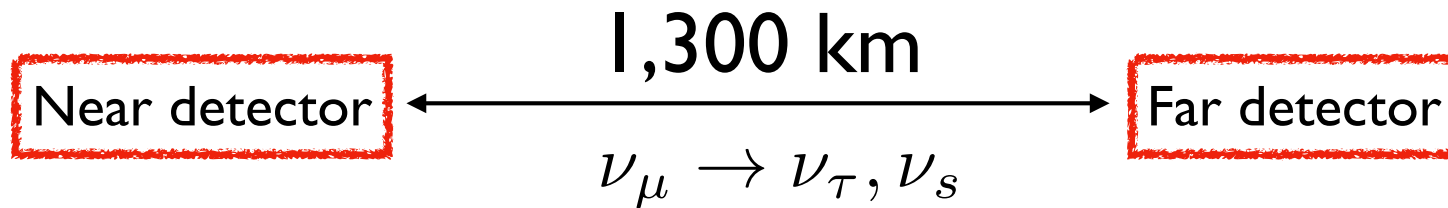
neutral current interaction  
:observe all 3-flavors

muon neutrino flux is suppressed  
by the oscillation into sterile neutrino

$$\phi_{\nu_\mu} \sigma_\nu^{NC} \{1 - P(\nu_\mu \rightarrow \nu_s)\}$$

NOvA (PRD 2017, 1706.04592)

# Probing depletion into sterile neutrino



Initially muon neutrino and  
assume no oscillation

Oscillation to sterile neutrino  
as well as standard ones

Then there will be depletion in the neutral current (NC) events since sterile does not have NC interaction.

$$\begin{aligned} N_{NC} &= N_{NC}^e + N_{NC}^\mu + N_{NC}^\tau = \phi_{\nu_\mu} \sigma_\nu^{NC} \{P(\nu_\mu \rightarrow \nu_e) + P(\nu_\mu \rightarrow \nu_\mu) + P(\nu_\mu \rightarrow \nu_\tau)\} \\ &= \phi_{\nu_\mu} \sigma_\nu^{NC} \{1 - P(\nu_\mu \rightarrow \nu_s)\} , \end{aligned}$$

This is sensitive to the oscillations between muon and sterile neutrinos.



Can we constrain the mixing of sterile neutrino  
and tau neutrino directly  
using tau neutrinos?

# Search for Hidden Particles (SHiP) at ECN3

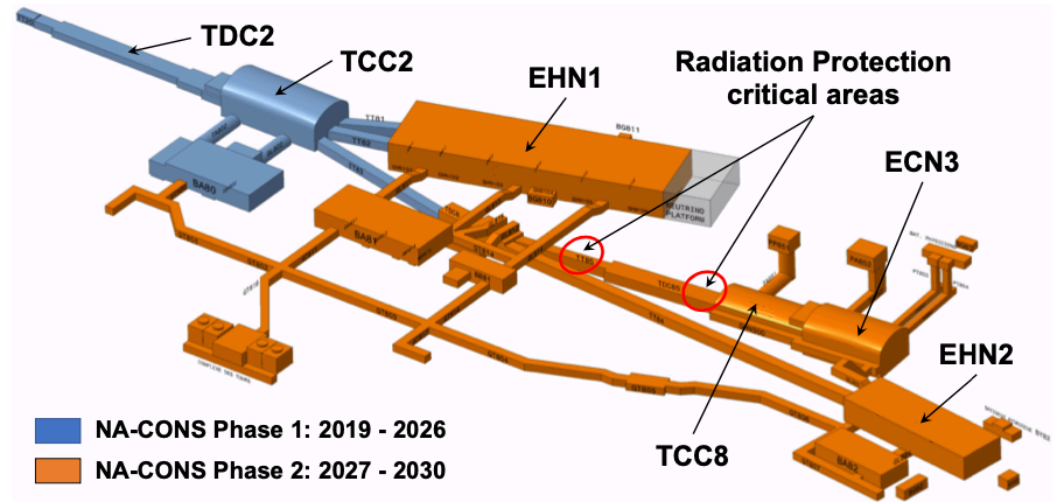
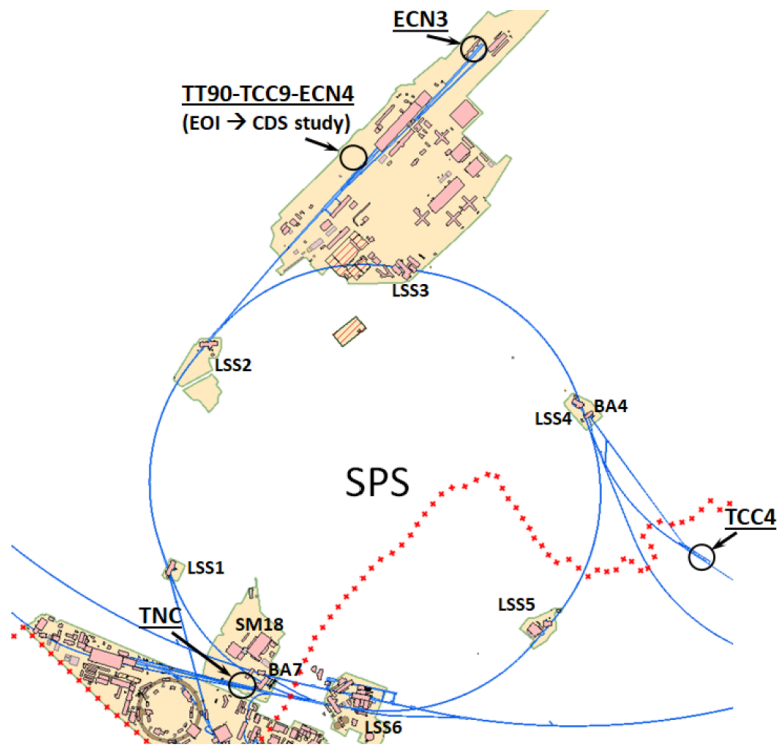


Figure 1: Overview of the locations considered for the implementation of the BD.

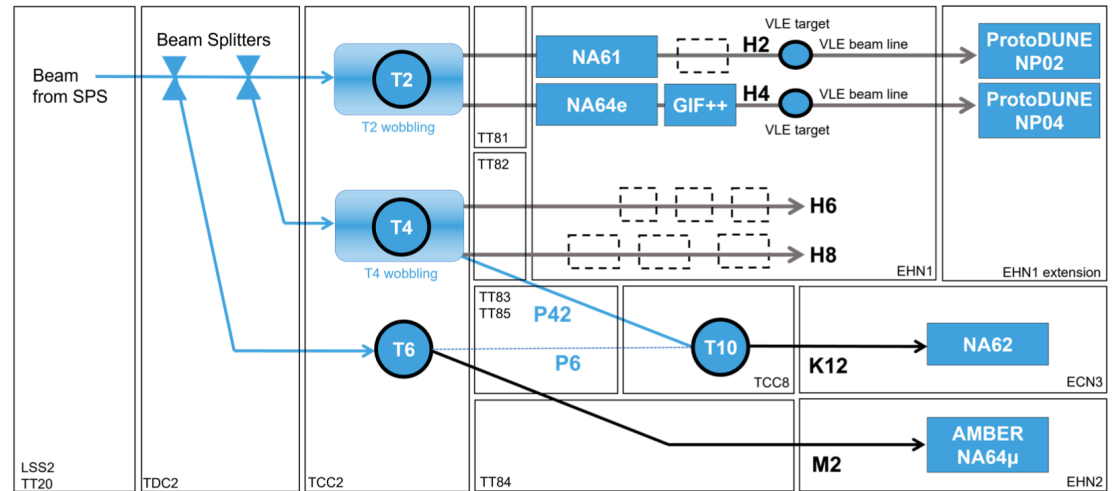
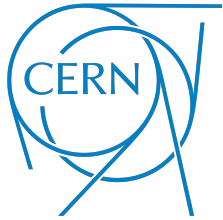


Figure 1: A schematic layout of the NA beamline and experiment complex as of 2023.

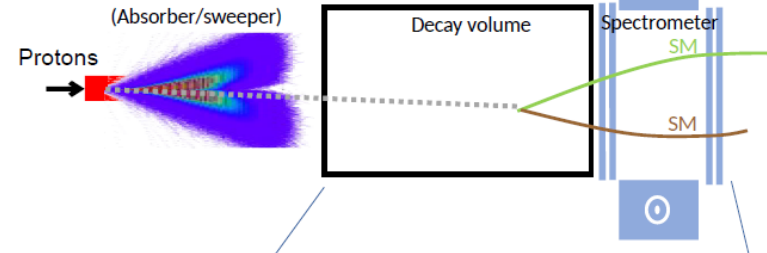
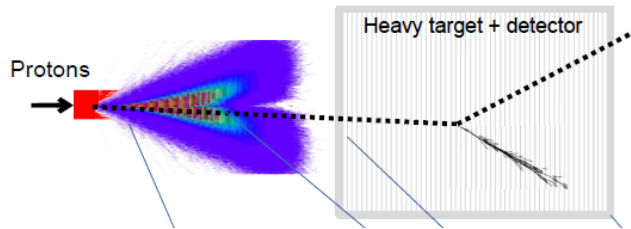


# Search for Hidden Particles

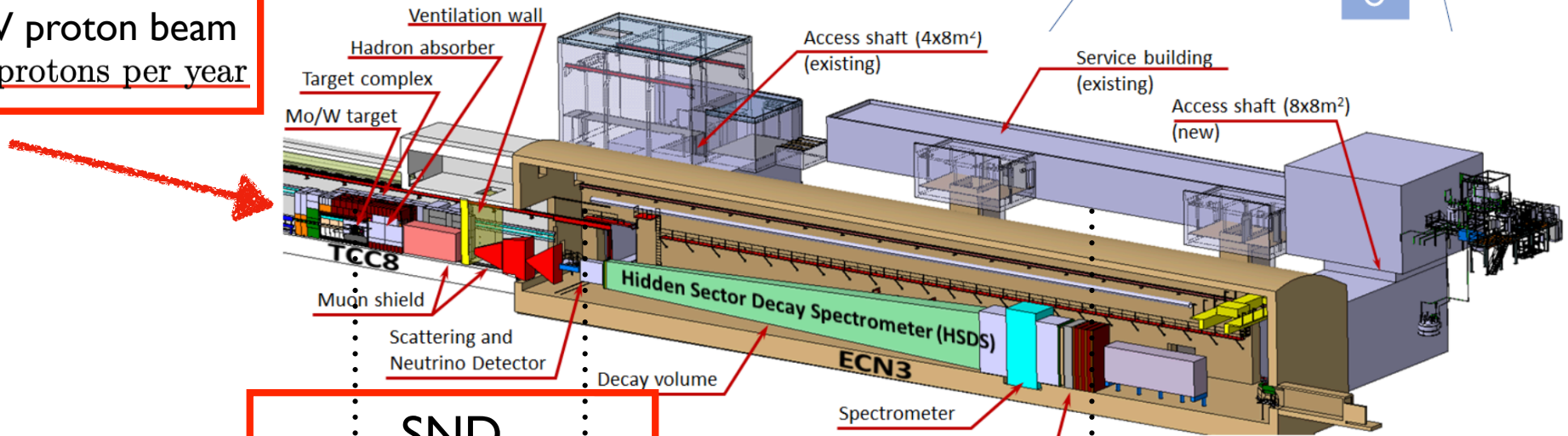


Scattering off atomic electrons (and nuclei)

Decay to SM particles



400GeV proton beam  
 $4 \times 10^{19}$  protons per year

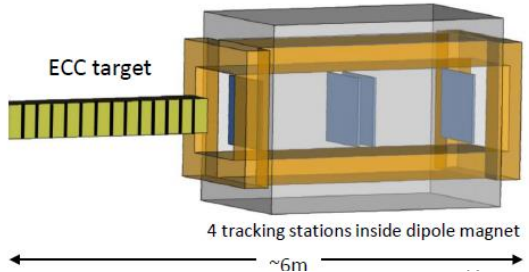


SND  
:neutrino detector

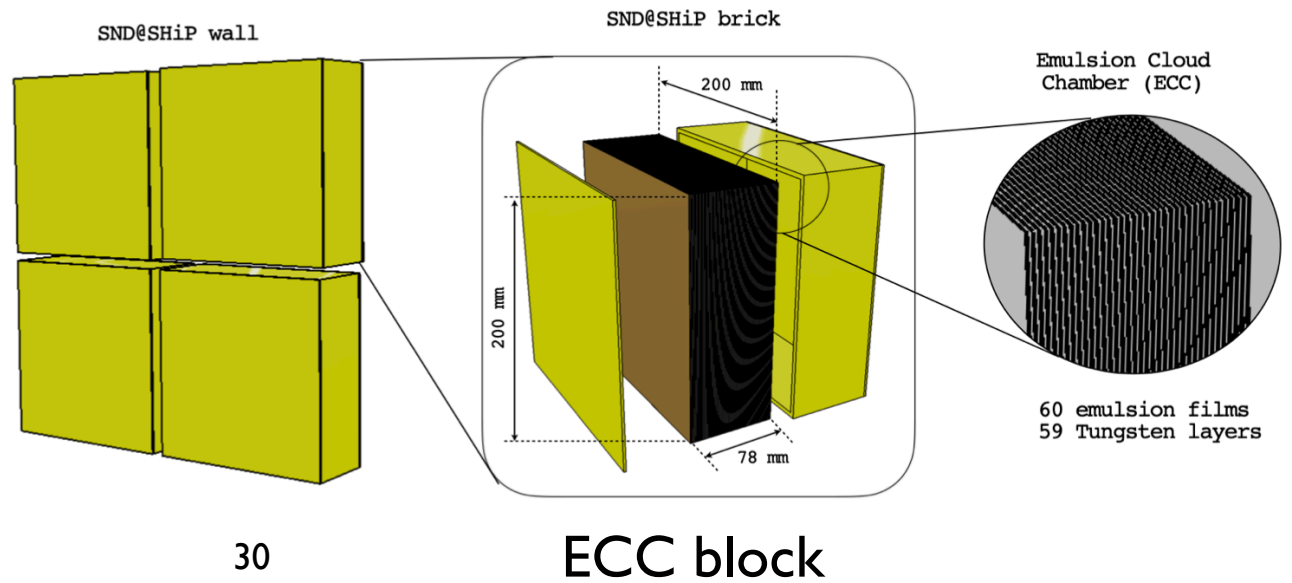
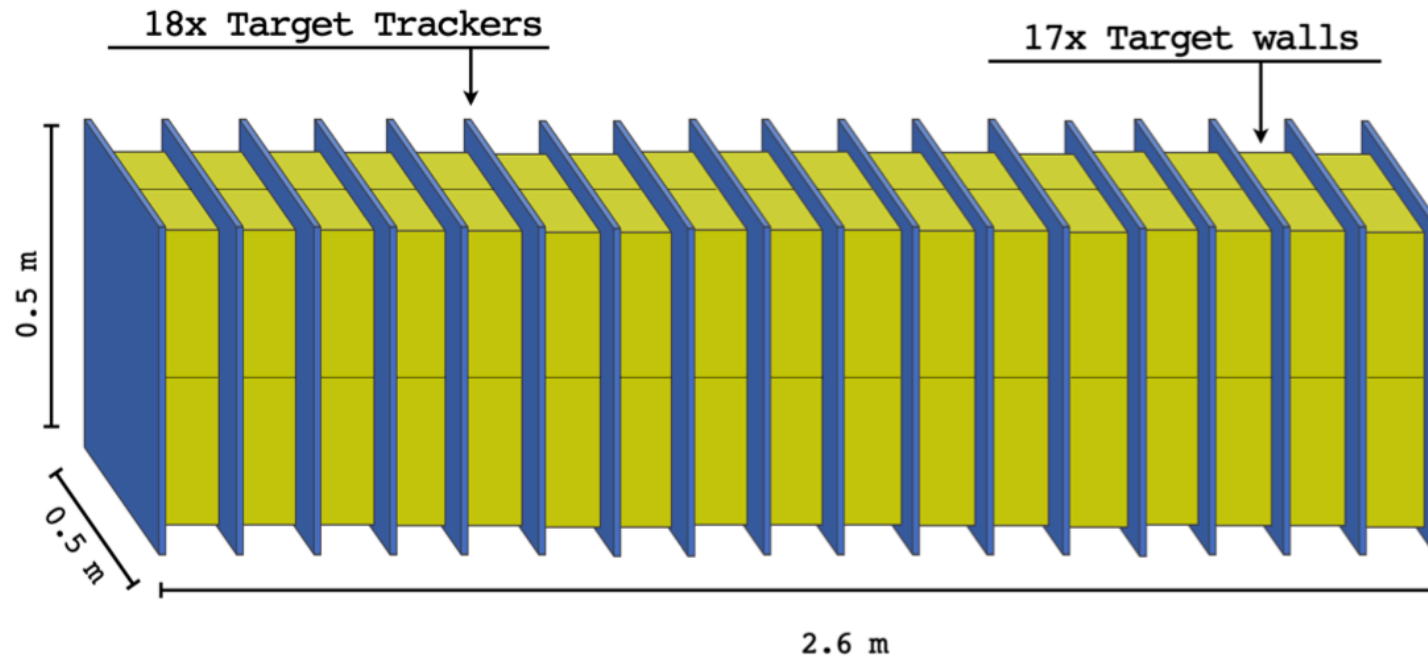
Hidden Sector  
detector

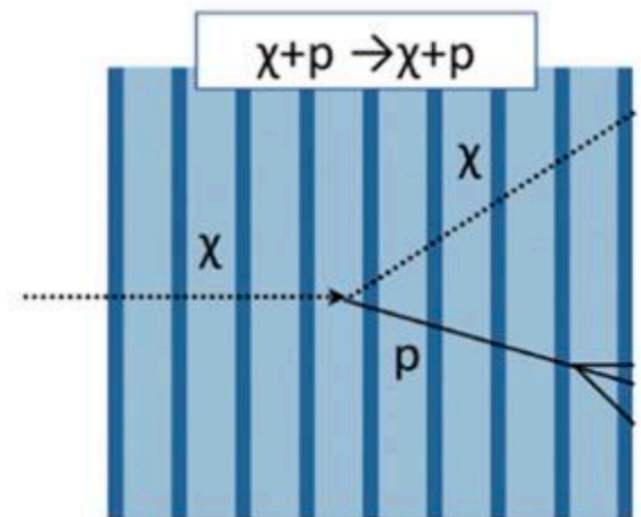
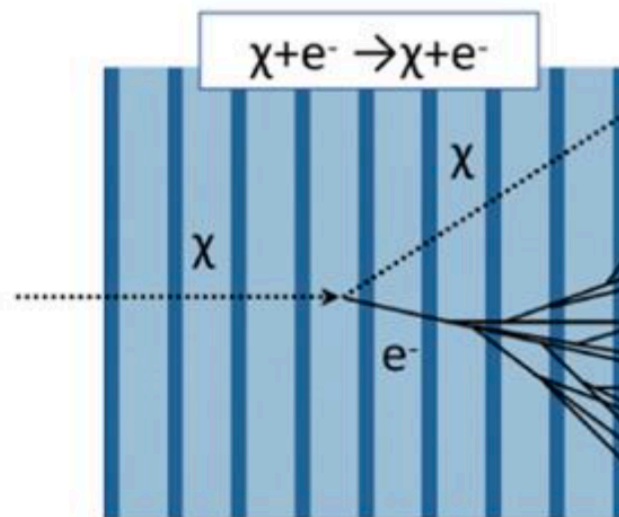
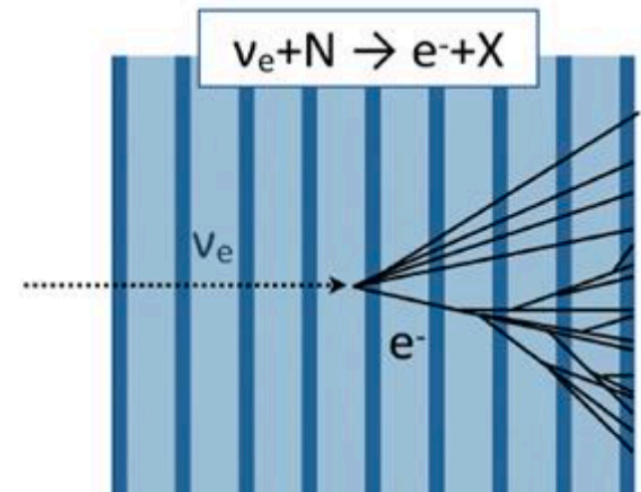
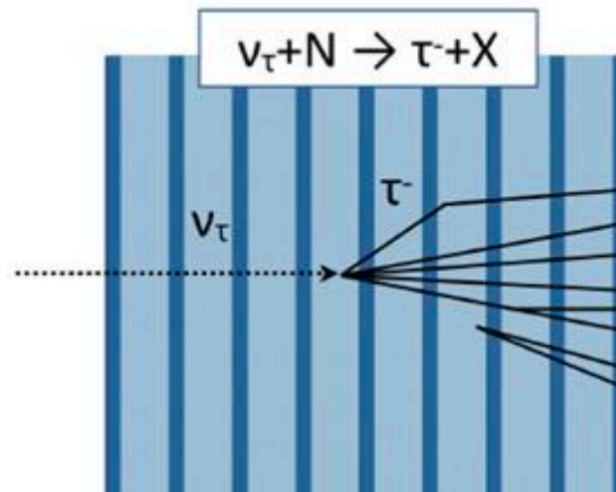
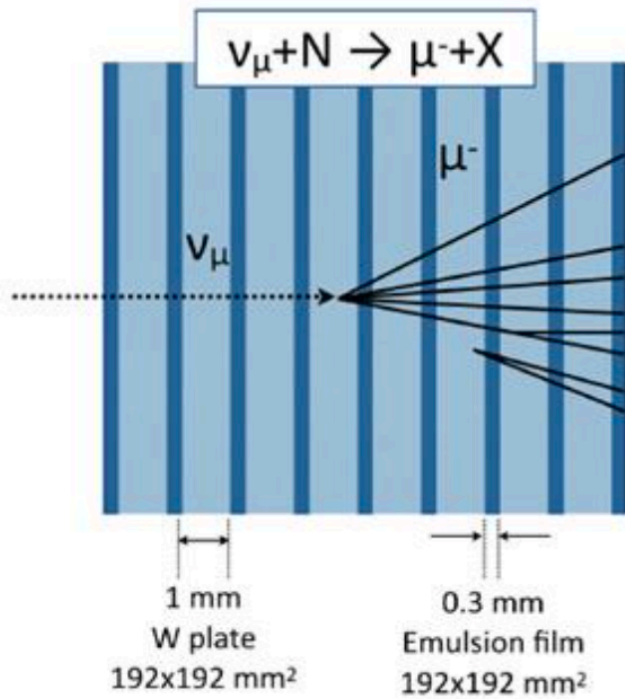
30 m  
Muon spectrometer

120 m



# SND detector and ECC block







# Korean Group

Korean group member (SNDG LHC & SHIP)

## Gyeongsang National University (GNU)

S. H. Kim, K. Y. Lee, B. D. Park, J. Y. Sohn, C. S. Yoon

## Korea University (KU)

K. S. Lee

## Gwangju National University of Education (GNUE)

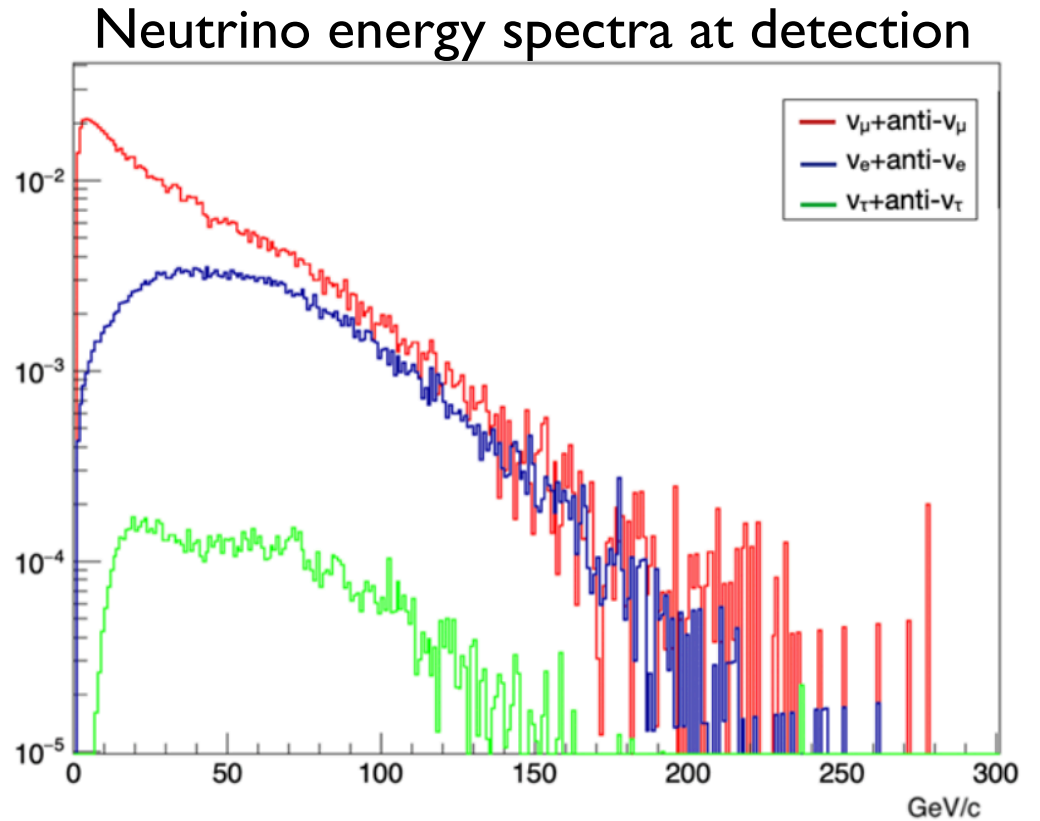
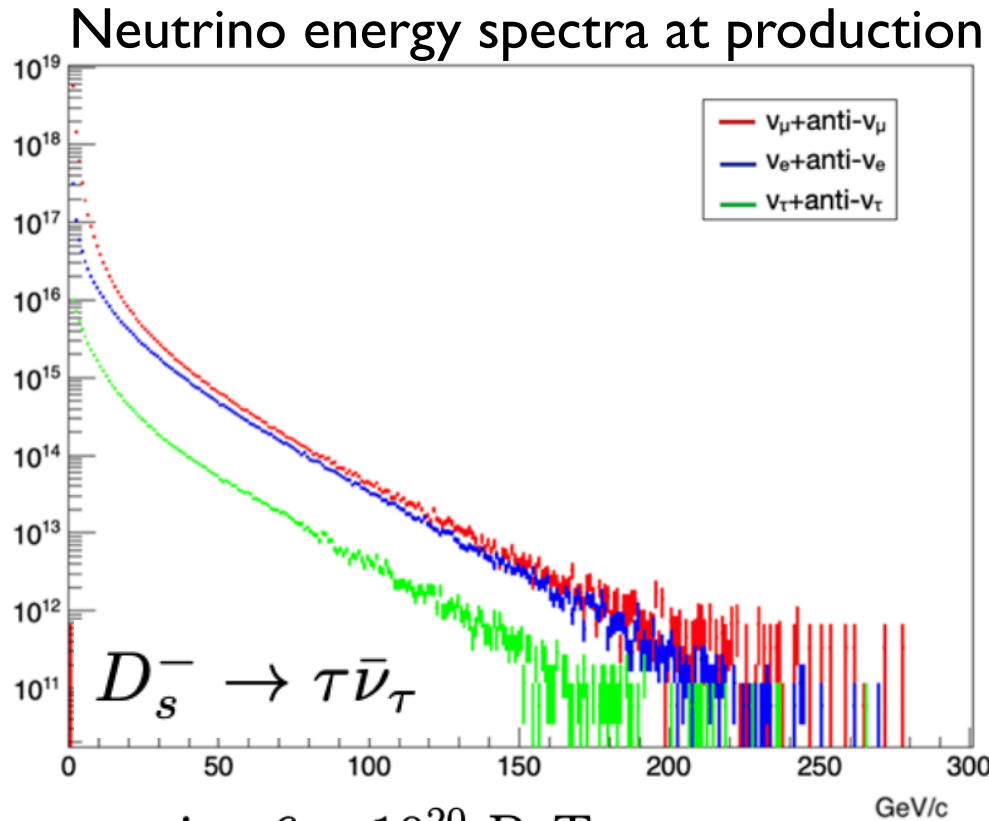
Y. G. Kim

## Sungkyunkwan University (SKKU)

K.-Y. Choi



# Expected Neutrino Spectrum at SHiP



assuming  $6 \times 10^{20}$  PoT.

[SHiP collaboration, 2023]

	$\langle E \rangle$ [GeV]	Beam dump	$\langle E \rangle$ [GeV]	CC DIS interactions
$N_{\nu_e}$	6.3	$4.1 \times 10^{17}$	63	$2.8 \times 10^6$
$N_{\nu_\mu}$	2.6	$5.4 \times 10^{18}$	40	$8.0 \times 10^6$
$N_{\nu_\tau}$	9.0	$2.6 \times 10^{16}$	54	$8.8 \times 10^4$
$N_{\bar{\nu}_e}$	6.6	$3.6 \times 10^{17}$	49	$5.9 \times 10^5$
$N_{\bar{\nu}_\mu}$	2.8	$3.4 \times 10^{18}$	33	$1.8 \times 10^6$
$N_{\bar{\nu}_\tau}$	9.6	$2.7 \times 10^{16}$	74	$6.1 \times 10^4$

# Efficiency for tau decay channels

$\nu_\tau$  and  $\bar{\nu}_\tau$  efficiencies for different  $\tau$  decay channels

Efficiency	$\tau \rightarrow \mu$	$\tau \rightarrow h$	$\tau \rightarrow 3h$	$\tau \rightarrow e$
Geometrical		0.89		
Location		0.71		
Decay search	0.38	0.37	0.51	0.35
PID	0.32	0.37	0.51	0.31
Charge	0.30	-	-	-

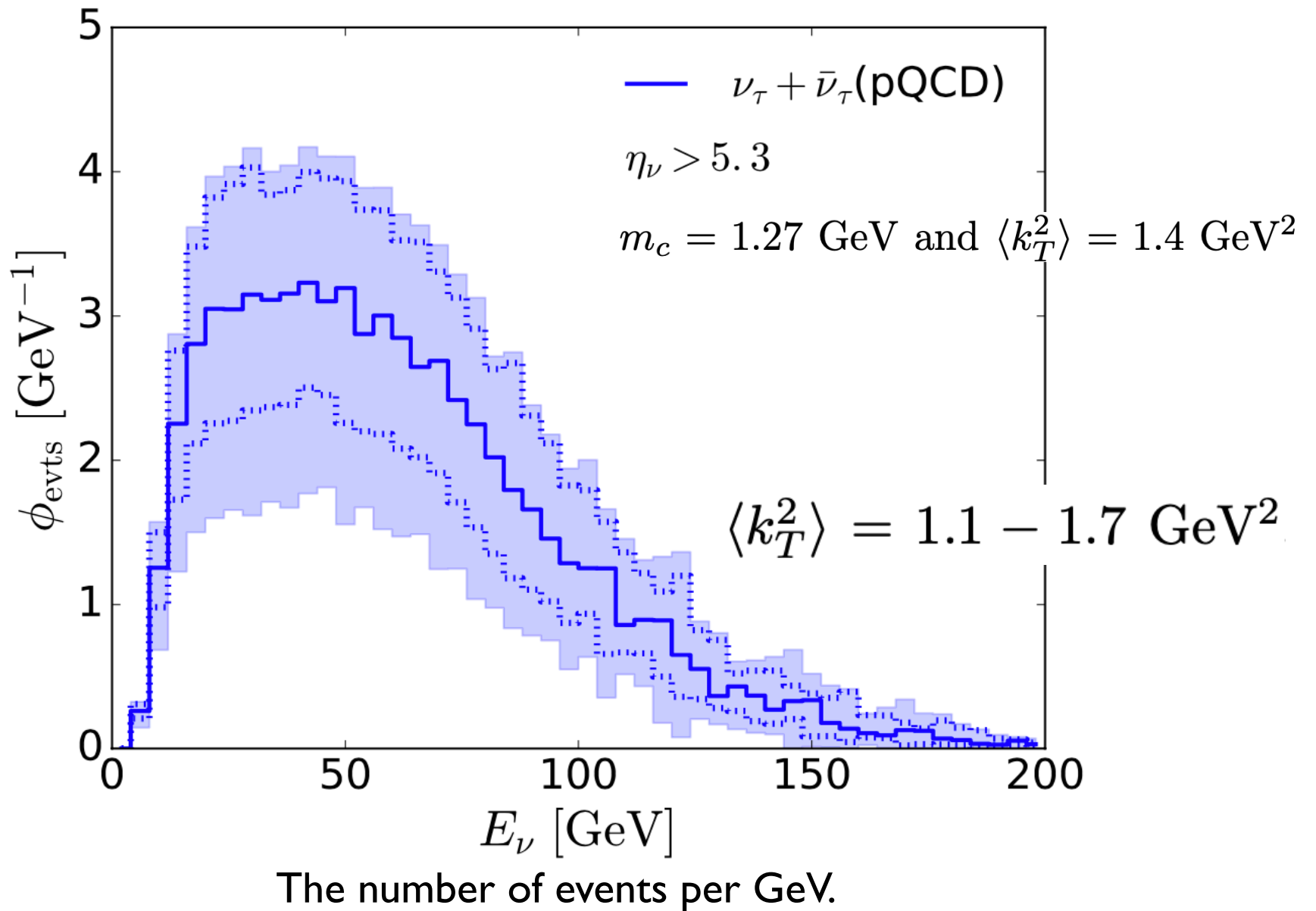
[SHiP collaboration, 2023]

Expected number of  $\nu_\tau$  and  $\bar{\nu}_\tau$  signal events observed in different  $\tau$  decay channels, assuming  $6 \times 10^{20}$  PoT.

Decay channel	$\nu_\tau$	$\bar{\nu}_\tau$
$\tau \rightarrow \mu$	$4 \times 10^3$	$3 \times 10^3$
$\tau \rightarrow h$	$27 \times 10^3$	
$\tau \rightarrow 3h$	$11 \times 10^3$	
$\tau \rightarrow e$	$8 \times 10^3$	
total	$53 \times 10^3$	



# Uncertainty in the tau neutrino events



# Neutrino Oscillation

$$\Delta_{ij} \simeq 1.27 \left( \frac{\delta_{ij} m^2}{\text{eV}^2} \right) \left( \frac{L}{\text{km}} \right) \left( \frac{\text{GeV}}{E} \right)$$

Solar neutrinos

$$E \sim \text{MeV}, L \sim 10^8 \text{ km}, \quad \Delta m_{12}^2 = 0.759 \times 10^{-4} \text{ eV}^2$$

Atmospheric neutrinos ~ DUNE far detector

$$E \sim \text{GeV}, L \sim 10 - 10,000 \text{ km}, \quad \Delta m_{32}^2 \approx \Delta m_{13}^2 = 23.2 \times 10^{-4} \text{ eV}^2 \sim 1 \text{ eV}^2$$

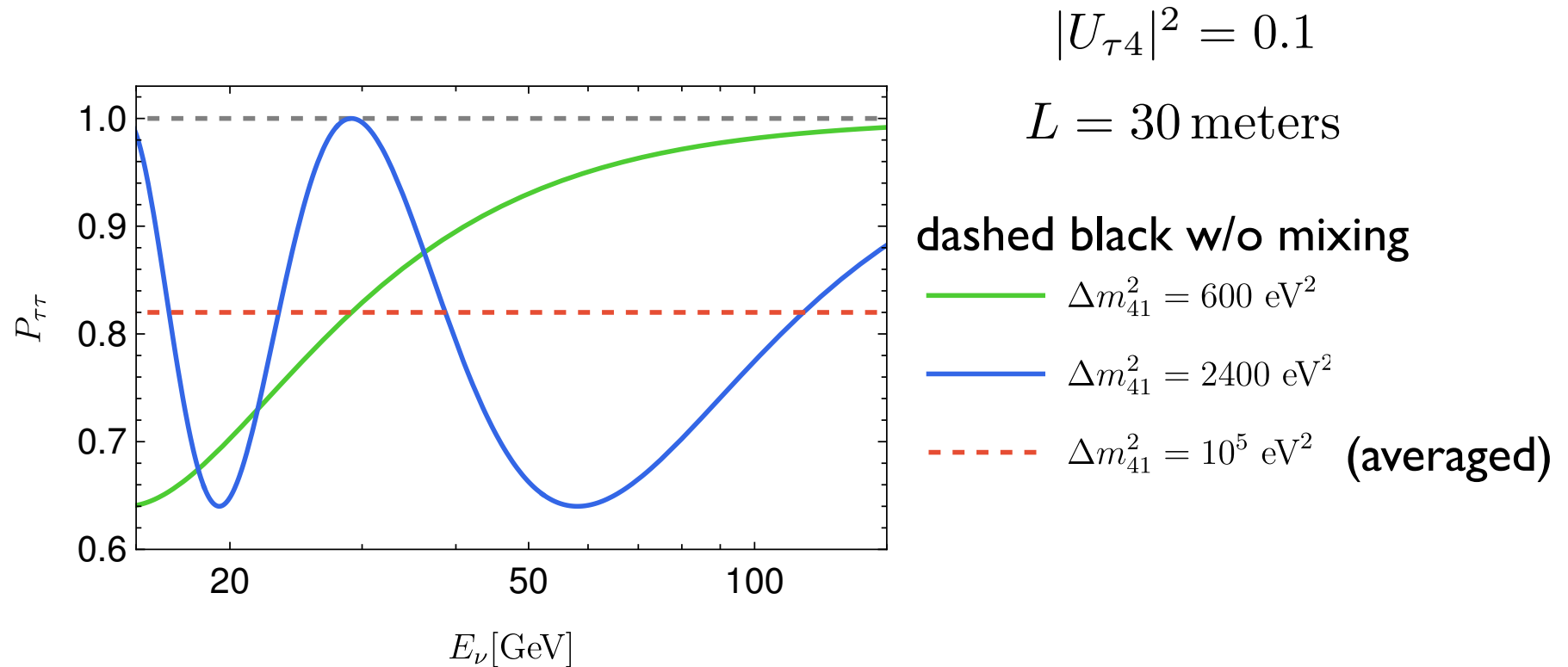
$$E \sim 10 \text{ GeV}, L \sim 1,000 \text{ km}, \quad \Delta m^2 \sim 10^{-2} \text{ eV}^2$$

SHiP : oscillations between active neutrino are suppressed.

$$E \sim 100 \text{ GeV}, L \sim 100 \text{ m}, \quad \longrightarrow \quad \Delta m_{41}^2 \simeq 10^3 \text{ eV}^2$$

Active neutrinos may disappear with sterile neutrino mixing!

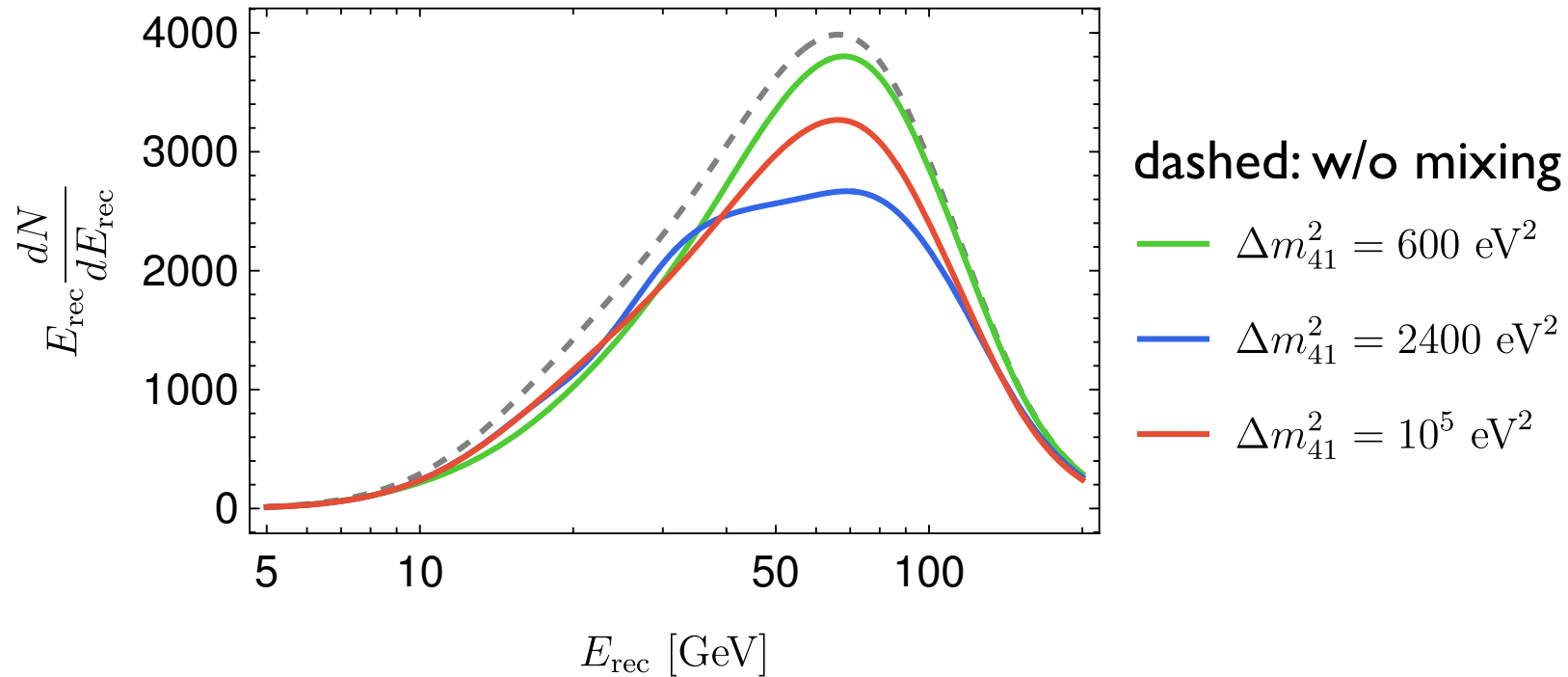
# Survival Probability of tau neutrino at SHiP



$$P_{\tau\tau} = 1 - 4|U_{\tau 4}|^2(1 - |U_{\tau 4}|^2) \sin^2 \left( \frac{\Delta m_{41}^2 L}{4E_\nu} \right)$$

\* neutrino oscillation between active neutrinos are negligible.

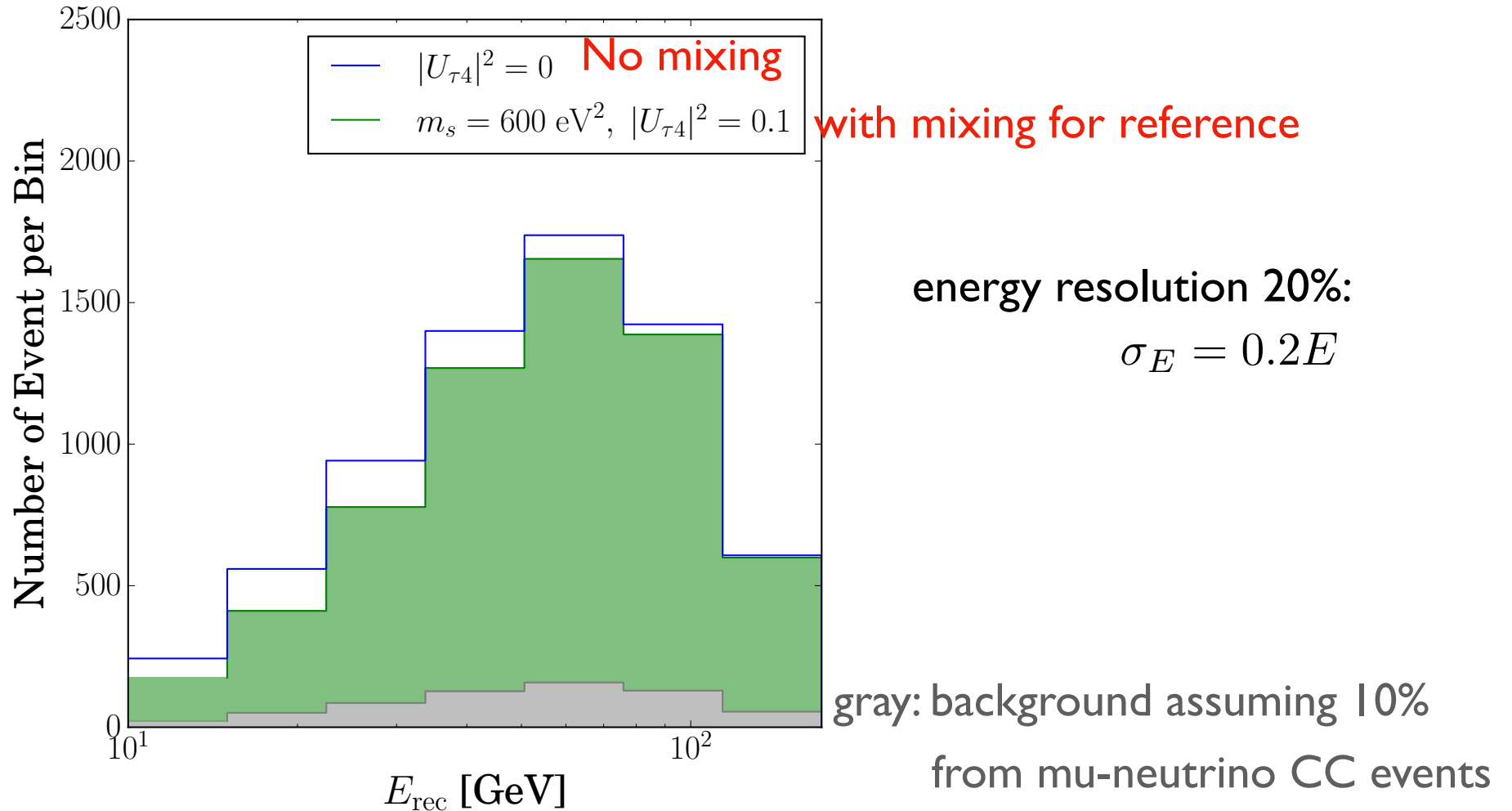
# Observed Spectrum of tau neutrinos at SND



The energy spectrum can be used to observe or constrain the sterile neutrino.

# Number of Events with Sterile Neutrino

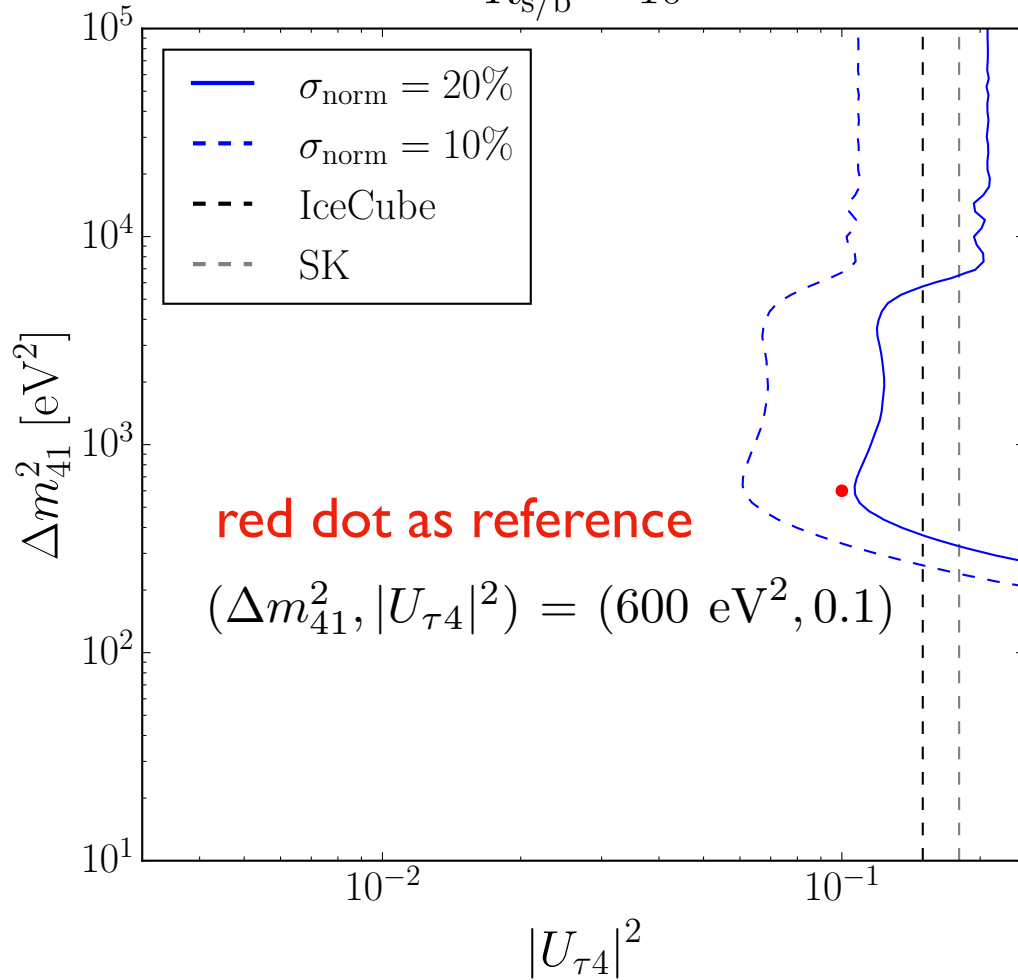
with 5 years data expected



# Sensitivity in the plane of $(\Delta m_{41}^2, |U_{\tau 4}|^2)$

90%CL expected sensitivity with 5 years data

$$R_{s/b} = 10$$

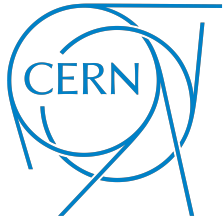


energy resolution 20%:  $\sigma_E = 0.2E$

overall and shape uncertainty  
in the energy spectrum: 20% or 10%

background assuming 10%

$$\theta_{14} = \theta_{24} = 0$$

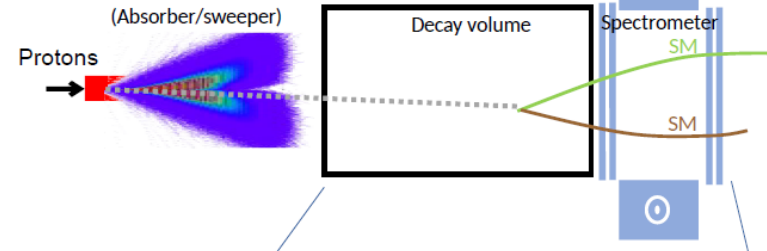
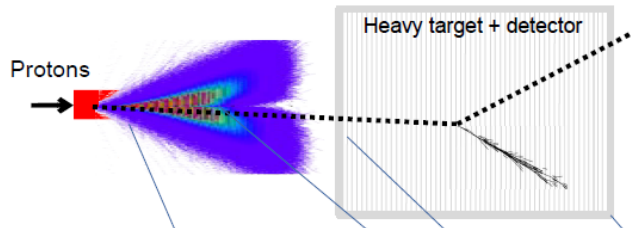


# Near SND + Far SND at SHiP

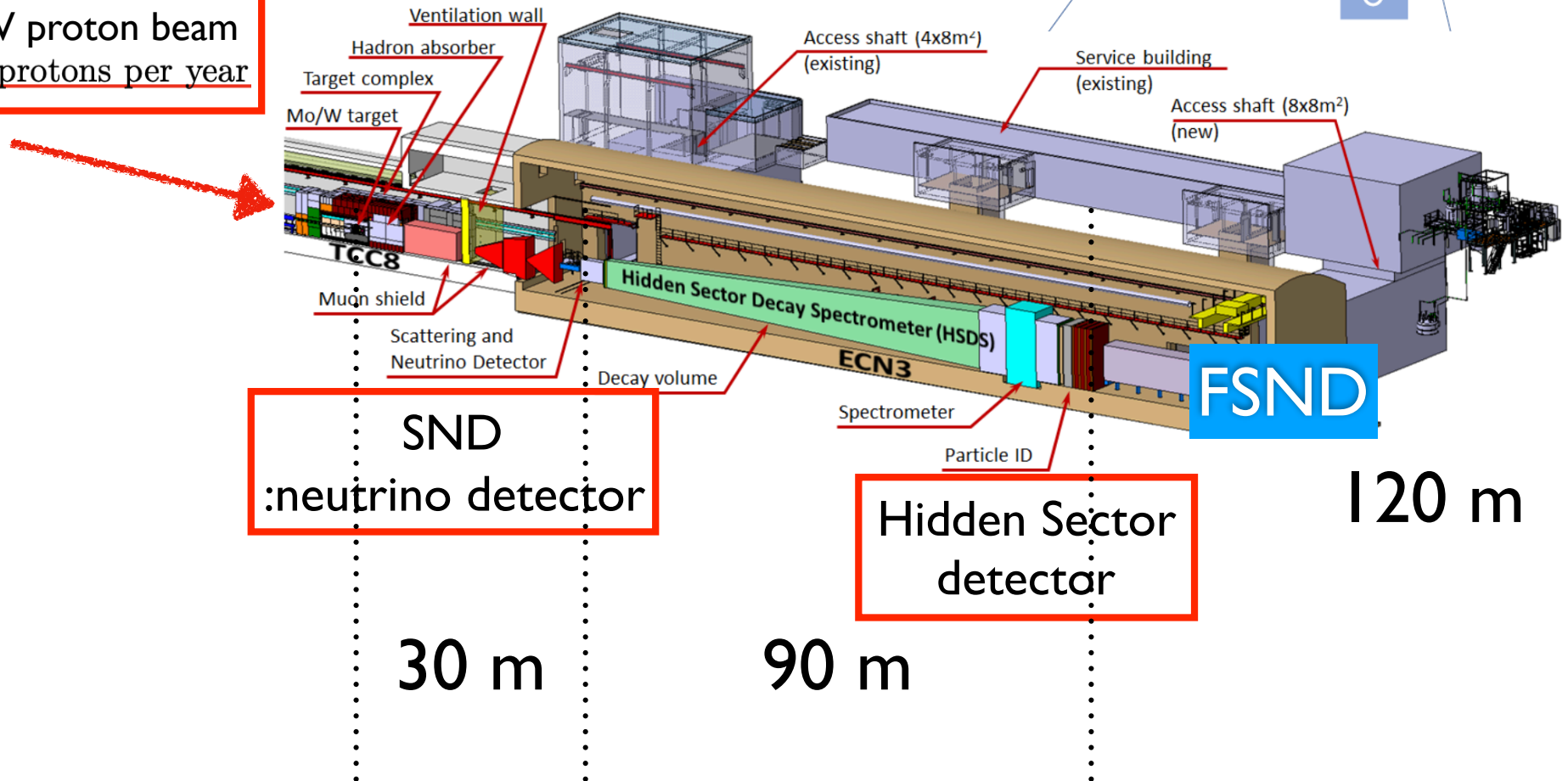


Scattering off atomic electrons (and nuclei)

Decay to SM particles



400GeV proton beam  
 $4 \times 10^{19}$  protons per year



SND  
:neutrino detector

Hidden Sector  
detector

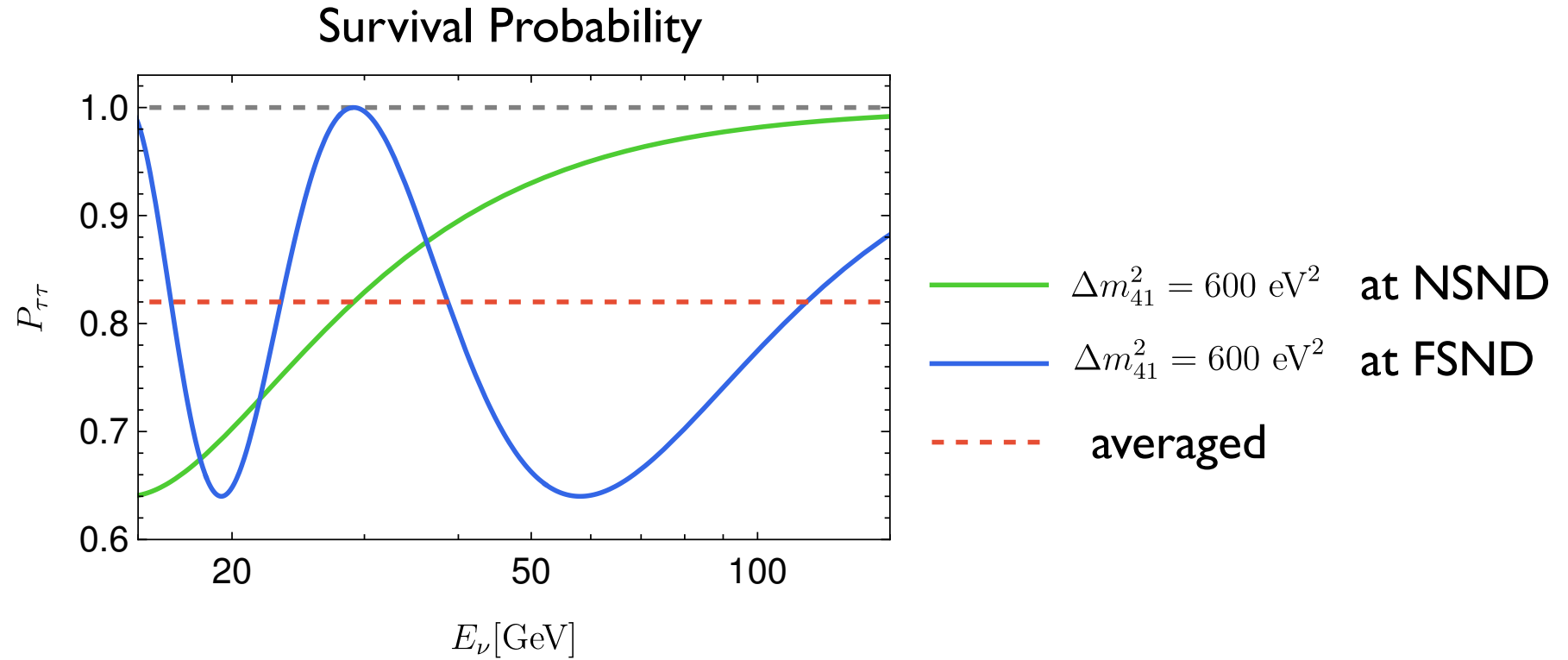
FSND

30 m

90 m

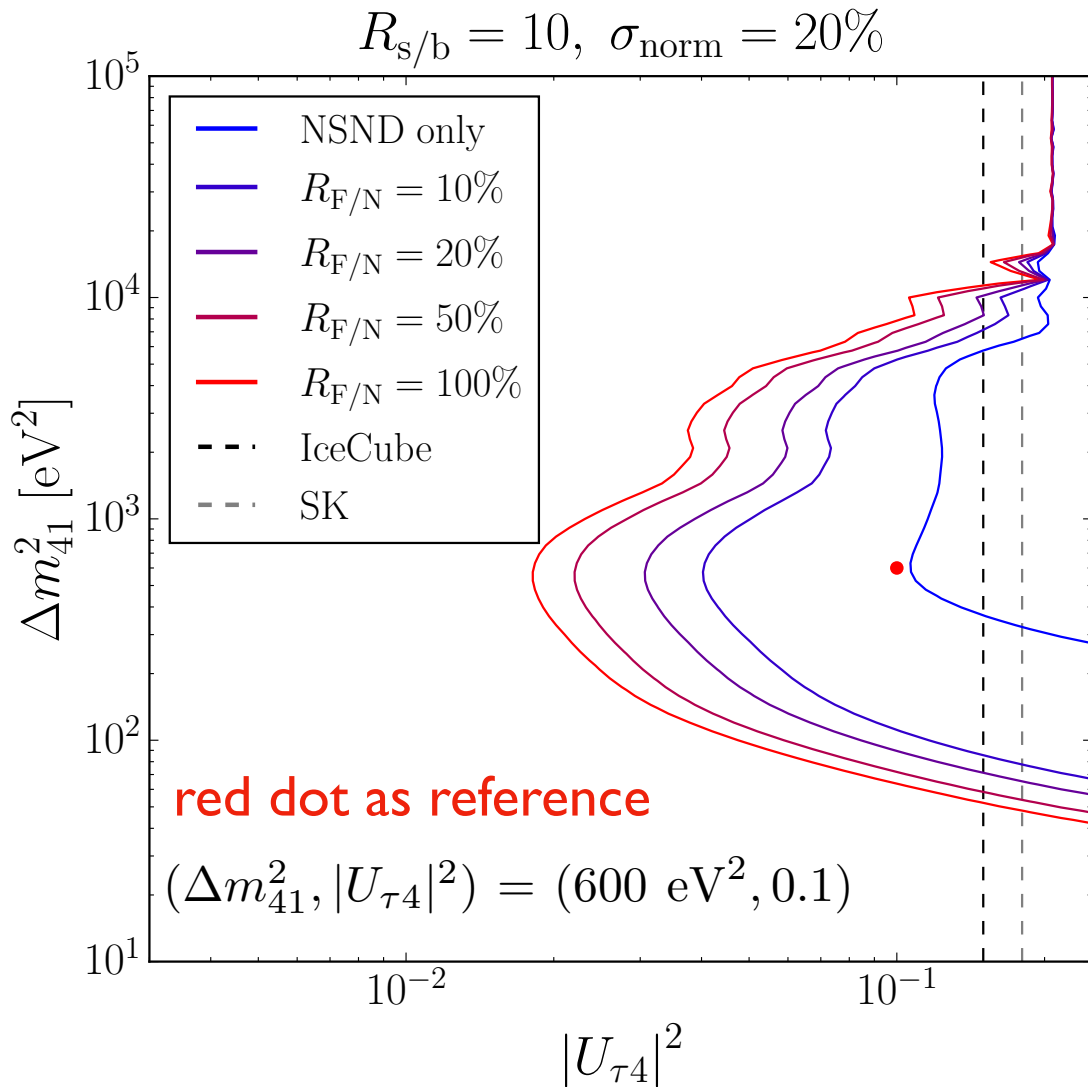
120 m

# Near SND + Far SND at SHiP





# Sensitivity with SND + FSND



energy resolution 20%:  $\sigma_E = 0.2E$

overall and shape uncertainty  
in the energy spectrum: 20%

background assuming 10%

$\theta_{14} = \theta_{24} = 0$

acceptance ratio of FSND to NSND

$R_{F/N} = 10\%, 20\%, 50\%, \text{ and } 100\%$

# Summary

[Choi, Yoo, KSHiP, ongoing work]

1. It is possible to constrain the sterile-tau neutrino mixing directly at SHiP experiment.

2. With 5 years operation, SHiP has a sensitivity to

$$|U_{\tau 4}|^2 \sim 0.06 \quad \text{for} \quad \Delta m_{41}^2 \sim 10^3 \text{ eV}$$

3. With additional FSND at the end of HS detector of SHiP

$$|U_{\tau 4}|^2 \sim 0.02 \quad \text{for} \quad \Delta m_{41}^2 \sim 10^3 \text{ eV}$$

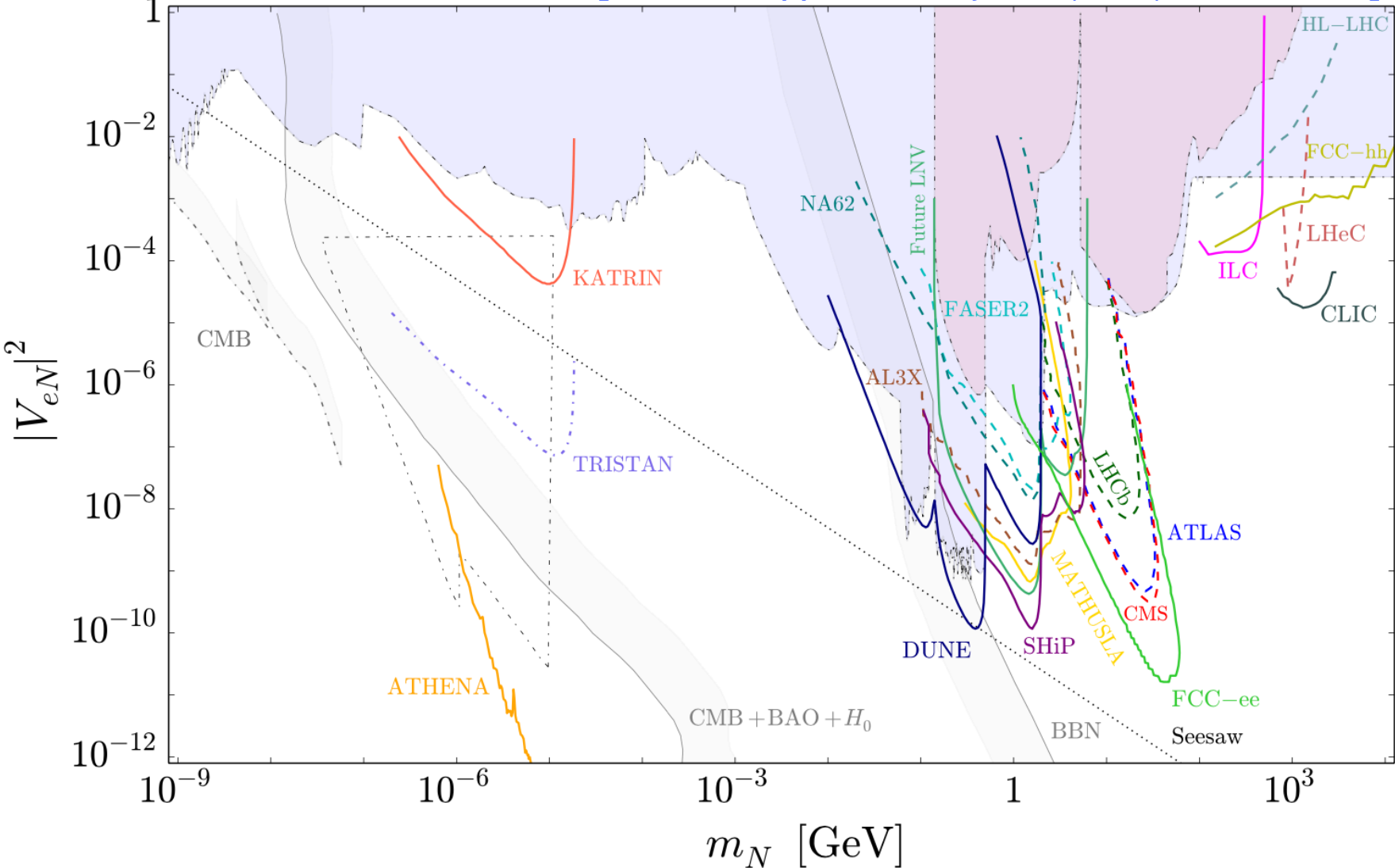
Thank You!

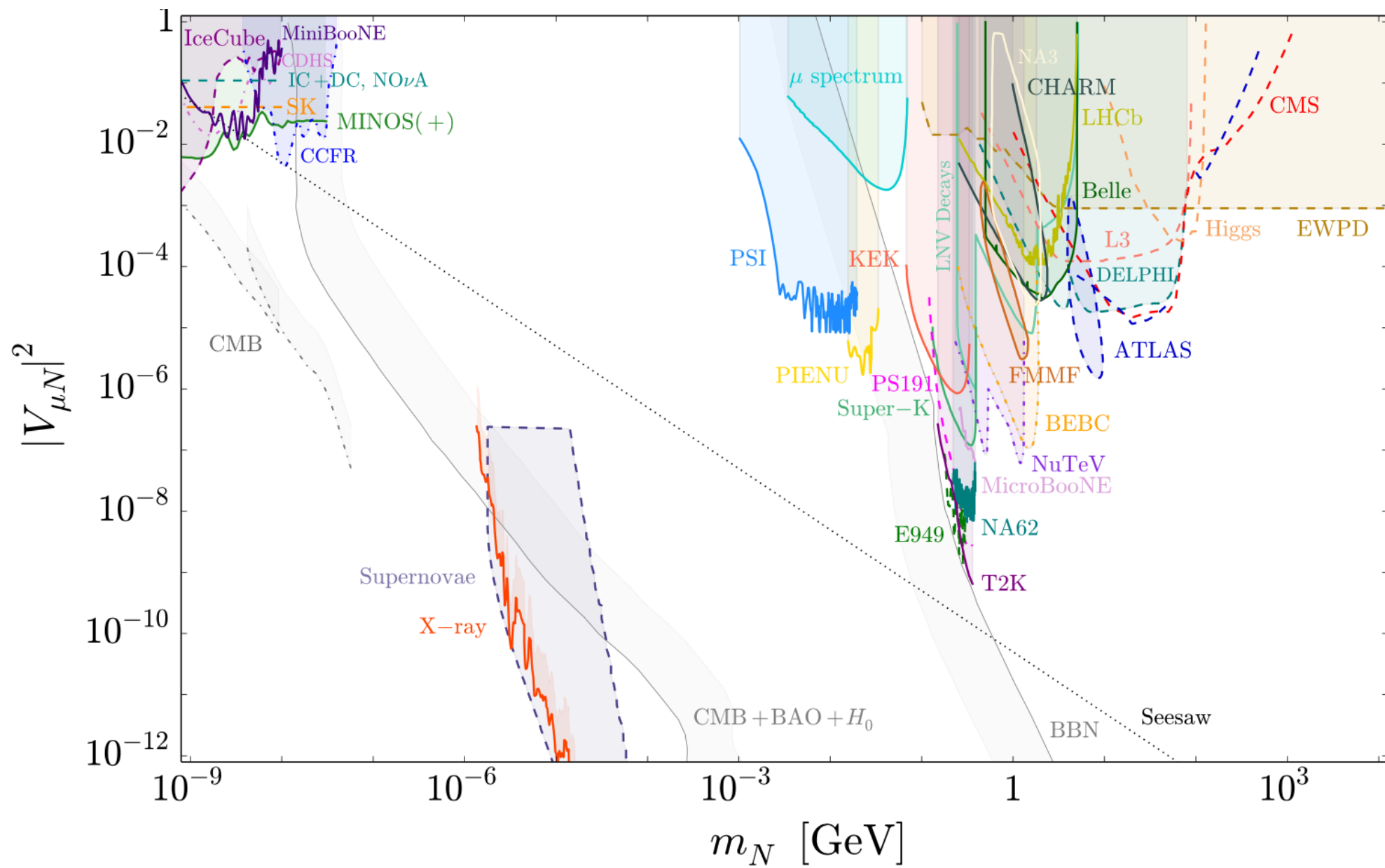


backup

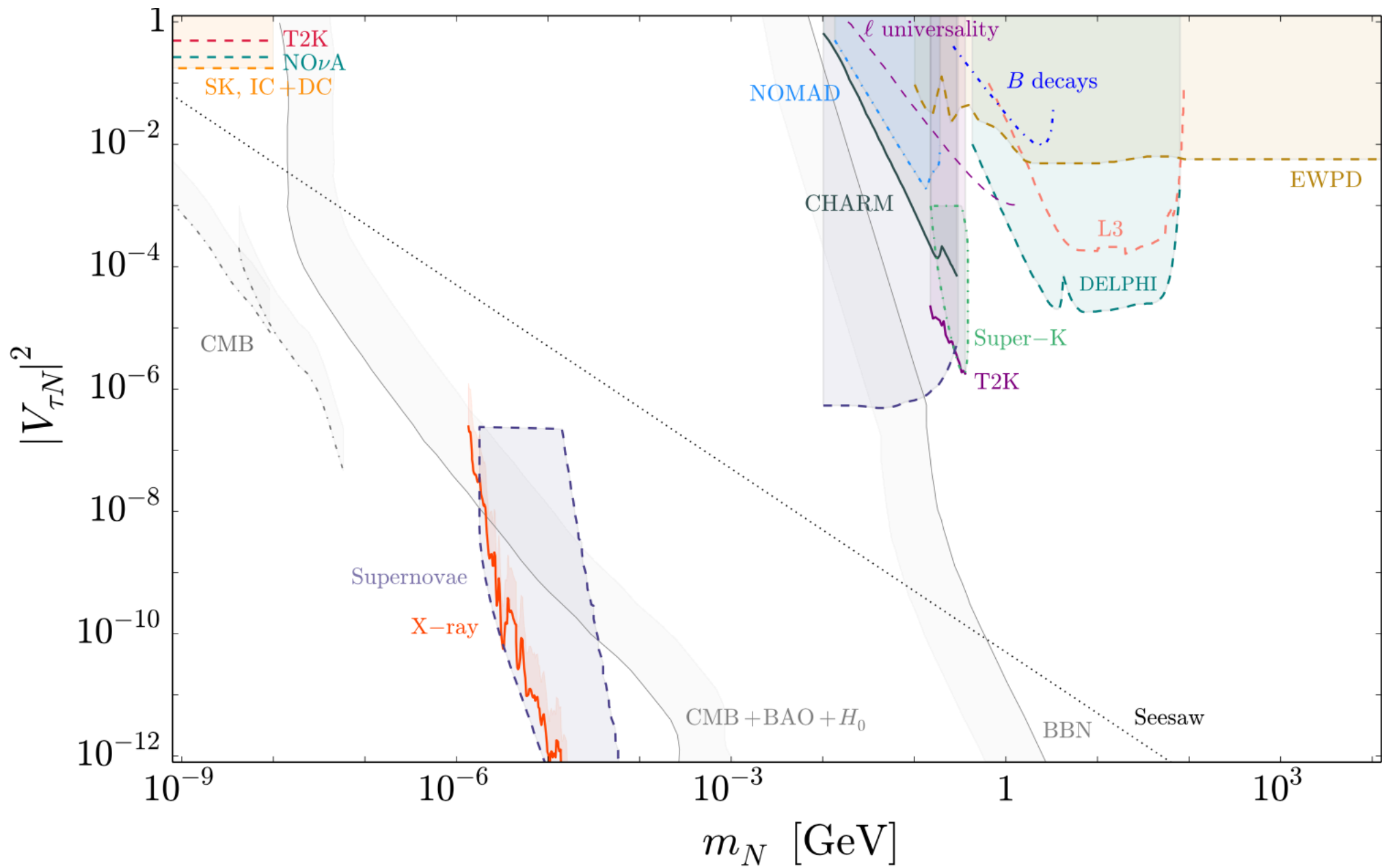
# Future Prospect

[Bolton, Deppisch, Dev, JHEP (2020), 1912.03058]









# Sterile Neutrino Dark Matter

# Neutrino Minimal Standard Model (nuMSM)

[Asaka, Blanchet, Shaposhnikov, 2005]  $(\nu_R)^c \equiv C \bar{\nu}_R^T$

$$\mathcal{L} = \mathcal{L}_{\text{SM}} + \underbrace{i\bar{\nu}_R \not{\partial} \nu_R}_{\text{singlet RH neutrino}} - \underbrace{\bar{\ell}_L F \nu_R \tilde{\Phi} - \tilde{\Phi}^\dagger \bar{\nu}_R F^\dagger \ell_L}_{\text{Yukawa coupling: Dirac mass with non-zero Higgs VEV}} - \underbrace{\frac{1}{2}(\bar{\nu}_R^c M_M \nu_R + \bar{\nu}_R M_M^\dagger \nu_R^c)}_{\text{Majorana mass}}.$$

$\ell_L = (\nu_L, e_L)^T$   
 $\tilde{\Phi} = \epsilon \Phi^*$

Three RH neutrinos with Majorana mass and Yukawa couplings.

After electroweak symmetry breaking, the mass term

$$\frac{1}{2} (\bar{\nu}_L \quad \bar{\nu}_R^c) \begin{pmatrix} 0 & m_D \\ m_D^T & M_M \end{pmatrix} \begin{pmatrix} \nu_L^c \\ \nu_R \end{pmatrix} + h.c.,$$

The hierarchy  $m_D \equiv Fv \ll M_M$  gives mass eigenvalues with

**three light active neutrinos and three heavy sterile neutrinos.**

(See-saw mechanism)

# Sterile Neutrinos and mixing

After electroweak symmetry breaking, the mass eigenstates are

interaction  
eigenstates

$$\begin{aligned}
 B_\mu &= c_W A_\mu - s_W Z_\mu, \\
 \nu_{L\alpha} &= U_{\alpha i} \nu_i + \Theta_{\alpha i} \nu_{si}^c, \\
 \nu_{Ri}^c &= -(\Theta^\dagger U)_{ij} \nu_j + \nu_{si}^c,
 \end{aligned}$$

mass  
eigenstates

with PMNS matrix  $U$

$$U = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu 1} & U_{\mu 2} & U_{\mu 3} \\ U_{\tau 1} & U_{\tau 2} & U_{\tau 3} \end{pmatrix} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \begin{pmatrix} c_{13} & 0 & s_{13} e^{-i\delta} \\ 0 & 1 & 0 \\ -s_{13} e^{i\delta} & 0 & c_{13} \end{pmatrix} \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} e^{i\alpha_1} & 0 & 0 \\ 0 & e^{i\alpha_2} & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

and the mixing parameter

$$\Theta = m_D M_{\nu R}^{-1} \ll 1,$$

and the light active neutrino mass

$$m_\nu \simeq -m_D \frac{1}{M_{\nu R}} m_D^T = -\Theta M_{\nu R} \Theta^T. \quad \text{seesaw mechanism}$$

# Interaction of RH Neutrino

RH sterile neutrinos can interact with SM sector through

- **Mass mixing** after electroweak symmetry breaking
- Yukawa interaction with Higgs and LH neutrino

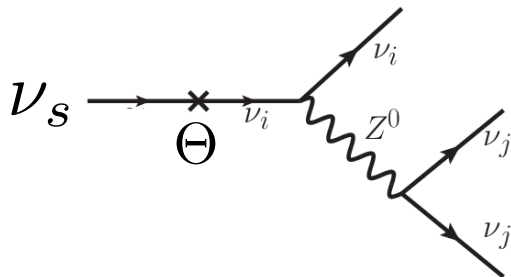
$$\Theta = m_D M_{\nu_R}^{-1} \ll 1,$$

$$y_{\nu\alpha i} \frac{v}{\sqrt{2}} = iU(m_\nu^{\text{diag}})^{1/2} \Omega (M_{\nu_R})^{1/2},$$

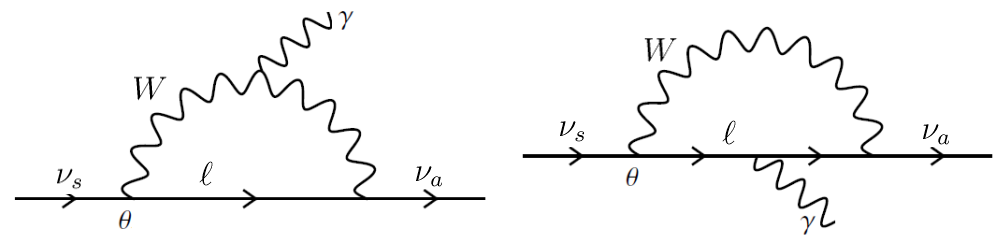
The interaction induces

- **Decay of sterile neutrinos** into SM neutrino and photon (X-ray)

$$\nu_s \rightarrow 3\nu$$



$$\nu_s \rightarrow \nu + \gamma$$



# Cosmic Sterile Neutrino Background

# Sterile neutrino DM in nuMSM

To explain the two mass differences in the neutrino observations, two RH neutrinos are enough. The third RH neutrino, the lightest one around keV, can be DM candidate.

[Dodelson, Widrow, 1994] [Dolgov, Hansen, 2002] [Asaka, Blanchet, Shaposhnikov, 2005]

**Production of DM**      oscillation from active neutrinos

- Dodelson-Widrow mechanism (Non-resonant production)

$$\Omega_s \sim 0.2 \left( \frac{\sin^2 \theta}{3 \times 10^{-9}} \right) \left( \frac{m_s}{3 \text{ keV}} \right)^{1.8}$$

- Shi-Fuller (Resonant production) with lepton asymmetry

**Decay rate of DM**       $\nu_s \rightarrow 3\nu$

$$\Gamma_\gamma(m_s, \sin^2 2\theta) \approx 1.36 \times 10^{-30} \text{ s}^{-1} \left( \frac{\sin^2 2\theta}{10^{-7}} \right) \left( \frac{m_s}{1 \text{ keV}} \right)^5,$$



# Production of sterile neutrino

Boltzmann equation

[Dodelson, Widrow, 1993]

[Abazajian, Fuller, Pate, 2001]

$$\frac{\partial f_s(E, t)}{\partial t} - HE \frac{\partial f_s(E, t)}{\partial E} = \frac{1}{4} \sin^2(2\theta_M) \Gamma_\alpha (f_\alpha - f_s)$$

with mixing angle in the matter and the interaction rate with thermal particles

$$\sin^2(2\theta_M) = \frac{\sin^2(2\theta)}{\sin^2(2\theta) + [\cos(2\theta) - 2E V_T(T)/m_s^2]^2}, \quad \Gamma_\alpha \approx 1.27 \times G_F^2 T^4 E,$$

$$\text{potential in matter } V_T = -BT^4 E, \quad \text{and } B \sim \begin{cases} 10.88 \times 10^{-9} \text{ GeV}^{-4} & T > 2m_e \\ 3.04 \times 10^{-9} \text{ GeV}^{-4} & T < 2m_e \end{cases}.$$

The maximum production rate happens at

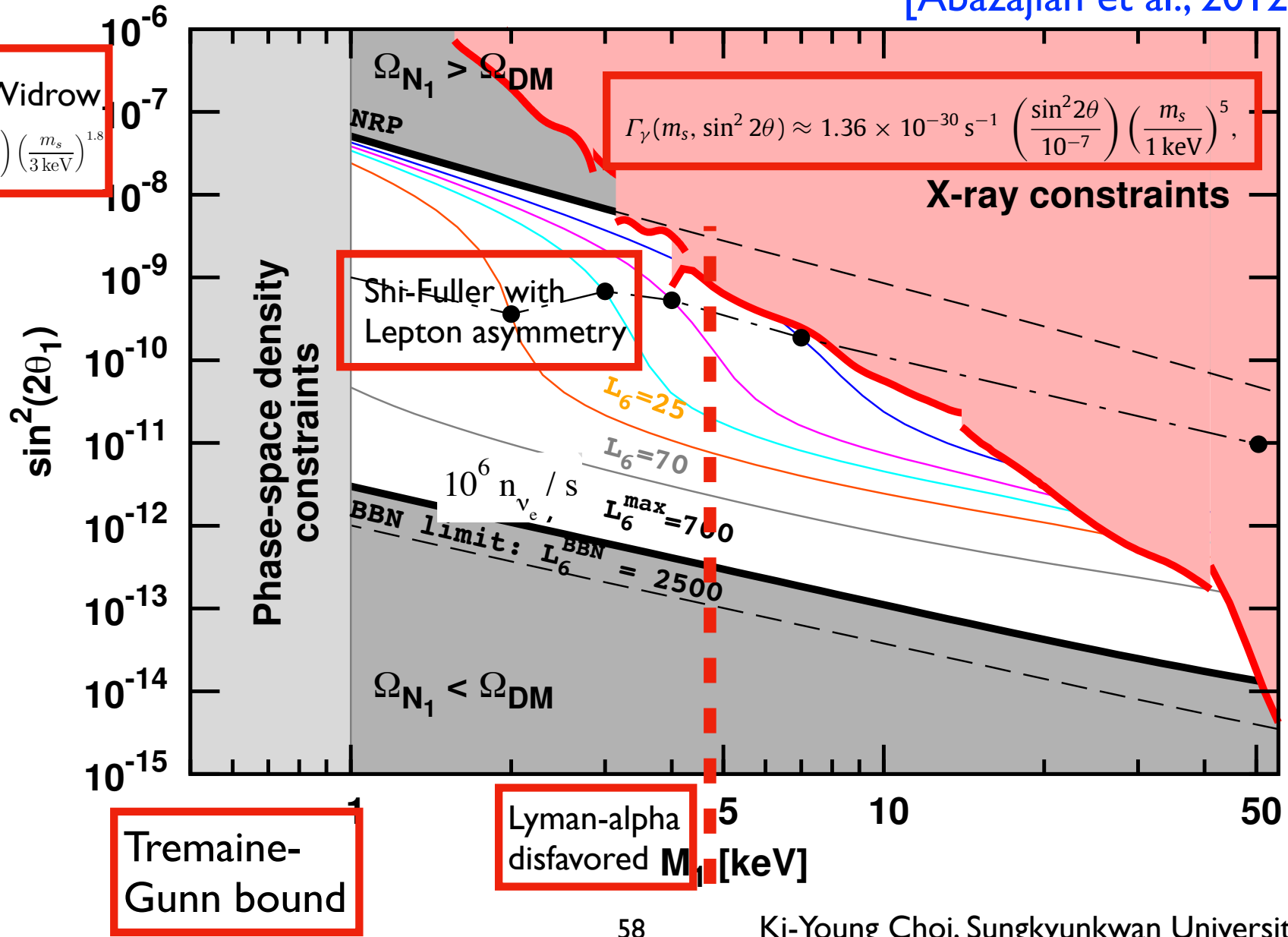
$$T_{\max} \simeq 108 \text{ MeV} \left( \frac{m_s}{\text{keV}} \right)^{1/3}$$

# Sterile Neutrino DM in the nuMSM

[Abazajian et al., 2012]

Dodelson-Widrow  

$$\Omega_s \sim 0.2 \left( \frac{\sin^2 \theta}{3 \times 10^{-9}} \right) \left( \frac{m_s}{3 \text{ keV}} \right)^{1.8}$$



# Now, Sterile neutrino 100% DM is ruled out?

